

FY 2015 Minneapolis

HUD Consolidated Annual Performance and Evaluation
Report (CAPER)

- Community Development Block Grant
- Emergency Solutions Grant
- Housing Opportunities for Persons with AIDS
- HOME Investment Partnerships



Submitted to HUD on August 29, 2016

CR-05 - Goals and Outcomes

Progress the jurisdiction has made in carrying out its strategic plan and its action plan. 91.520(a)

This could be an overview that includes major initiatives and highlights that were proposed and executed throughout the program year.

The FY 2015 Consolidated Annual Performance and Evaluation Report (CAPER) is a consolidated report on activities in the following U.S. Department of Housing and Urban Development (HUD) entitlement formula grants received by the City of Minneapolis: Community Development Block Grant (CDBG), Emergency Solutions Grant (ESG), HOME Investment Partnerships (HOME), and Housing Opportunities for Persons with AIDS (HOPWA). The FY 2015 CAPER covers the 12-month program year June 1, 2015 - May 31, 2016 which is the first year of the Five Year Consolidated Plan Strategy 2015-2019.

Major accomplishments over the past year include the completion of the Commons at Penn mixed-use development in north Minneapolis that received \$300,000 of CDBG assistance and the Rose development in south Minneapolis that completed the long term community reinvestment strategies at Portland and Franklin Avenues. The City was able to use CDBG to provide business financing and technical assistance to 129 business concerns.

Comparison of the proposed versus actual outcomes for each outcome measure submitted with the consolidated plan and explain, if applicable, why progress was not made toward meeting goals and objectives. 91.520(g)

Categories, priority levels, funding sources and amounts, outcomes/objectives, goal outcome indicators, units of measure, targets, actual outcomes/outputs, and percentage completed for each of the grantee's program year goals.

Goal	Category	Source / Amount	Indicator	Unit of Measure	Expected – Strategic Plan	Actual – Strategic Plan	Percent Complete	Expected – Program Year	Actual – Program Year	Percent Complete
Community Economic Development	Non-Housing Community Development	CDBG: \$	Public service activities other than Low/Moderate Income Housing Benefit	Persons Assisted	2595	128	4.93%	519	128	24.66%

Community Economic Development	Non-Housing Community Development	CDBG: \$	Jobs created/retained	Jobs	1875	450	24.00%	375	450	120.00%
Community Economic Development	Non-Housing Community Development	CDBG: \$	Businesses assisted	Businesses Assisted	500	129	25.80%			
Safe, Equitable, Livable Environment	Homeless Non-Homeless Special Needs Non-Housing Community Development Public Services	CDBG: \$ / HOPWA: \$ / ESG: \$	Public service activities other than Low/Moderate Income Housing Benefit	Persons Assisted	9853	874	8.87%	740	874	118.11%
Safe, Equitable, Livable Environment	Homeless Non-Homeless Special Needs Non-Housing Community Development Public Services	CDBG: \$ / HOPWA: \$ / ESG: \$	Public service activities for Low/Moderate Income Housing Benefit	Households Assisted	400	0	0.00%			

Safe, Equitable, Livable Environment	Homeless Non- Homeless Special Needs Non-Housing Community Development Public Services	CDBG: \$ / HOPWA: \$ / ESG: \$	Rental units rehabilitated	Household Housing Unit	224	0	0.00%			
Safe, Equitable, Livable Environment	Homeless Non- Homeless Special Needs Non-Housing Community Development Public Services	CDBG: \$ / HOPWA: \$ / ESG: \$	Homeowner Housing Rehabilitated	Household Housing Unit	56	20	35.71%			
Safe, Equitable, Livable Environment	Homeless Non- Homeless Special Needs Non-Housing Community Development Public Services	CDBG: \$ / HOPWA: \$ / ESG: \$	Tenant-based rental assistance / Rapid Rehousing	Households Assisted	750	229	30.53%			

Safe, Equitable, Livable Environment	Homeless Non- Homeless Special Needs Non-Housing Community Development Public Services	CDBG: \$ / HOPWA: \$ / ESG: \$	Homeless Person Overnight Shelter	Persons Assisted	5000	4160	83.20%			
Safe, Equitable, Livable Environment	Homeless Non- Homeless Special Needs Non-Housing Community Development Public Services	CDBG: \$ / HOPWA: \$ / ESG: \$	Other	Other	227472	227472	100.00%			
Sustainable, Affordable Housing	Affordable Housing Public Housing Non- Homeless Special Needs	CDBG: \$ / HOPWA: \$ / HOME: \$	Rental units constructed	Household Housing Unit	475	475	100.00%			

Sustainable, Affordable Housing	Affordable Public Housing Non-Homeless Special Needs	CDBG: \$ / HOPWA: \$ / HOME: \$	Rental units rehabilitated	Household Housing Unit	775	306	39.48%			
Sustainable, Affordable Housing	Affordable Public Housing Non-Homeless Special Needs	CDBG: \$ / HOPWA: \$ / HOME: \$	Homeowner Housing Added	Household Housing Unit	50	4	8.00%			
Sustainable, Affordable Housing	Affordable Public Housing Non-Homeless Special Needs	CDBG: \$ / HOPWA: \$ / HOME: \$	Homeowner Housing Rehabilitated	Household Housing Unit	150	3	2.00%	30	3	10.00%
Sustainable, Affordable Housing	Affordable Public Housing Non-Homeless Special Needs	CDBG: \$ / HOPWA: \$ / HOME: \$	Direct Financial Assistance to Homebuyers	Households Assisted	50	4	8.00%			

Sustainable, Affordable Housing	Affordable Housing Public Housing Non-Homeless Special Needs	CDBG: \$ / HOPWA: \$ / HOME: \$	Tenant-based rental assistance / Rapid Rehousing	Households Assisted	375	229	61.07%			
Sustainable, Affordable Housing	Affordable Housing Public Housing Non-Homeless Special Needs	CDBG: \$ / HOPWA: \$ / HOME: \$	Homeless Person Overnight Shelter	Persons Assisted	250	0	0.00%			
Sustainable, Affordable Housing	Affordable Housing Public Housing Non-Homeless Special Needs	CDBG: \$ / HOPWA: \$ / HOME: \$	Housing for People with HIV/AIDS added	Household Housing Unit	30	2	6.67%			
Sustainable, Affordable Housing	Affordable Housing Public Housing Non-Homeless Special Needs	CDBG: \$ / HOPWA: \$ / HOME: \$	Buildings Demolished	Buildings	100	25	25.00%			

Sustainable, Affordable Housing	Affordable Housing Public Housing Non- Homeless Special Needs	CDBG: \$ / HOPWA: \$ / HOME: \$	Housing Code Enforcement/Foreclosed Property Care	Household Housing Unit	430	0	0.00%			
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Table 1 - Accomplishments – Program Year & Strategic Plan to Date

Assess how the jurisdiction's use of funds, particularly CDBG, addresses the priorities and specific objectives identified in the plan, giving special attention to the highest priority activities identified.

With a 25% reduction in overall Consolidated Plan funding since 2010, the City has continued to be challenged in its efforts to address community development priorities, goals and strategies with HUD resources. The City continues to seek expanded economic opportunities to benefit its low and moderate income citizens, preserve and create decent, affordable housing opportunities, address the needs faced by those who are homeless or are threatened with homelessness, provide accessible public services for vulnerable populations, affirmatively further fair housing, and leverage its federal HUD funding with other funds to make significant, sustainable change in the community.

The City can point to its efforts as success, however, great need still exists in the community, especially for those at the lowest of incomes. Housing costs in the city have continued to rise at a rate higher than personal income. Rental vacancy rates are low, units that are priced at the most affordable levels and exhibiting quality still incur great demand. Low income renters compete for limited rental market opportunities.

Increasing cuts at both the federal and state levels of government have put a squeeze on public service programs, while the demand for these services have increased. The ability of the City to meet these particular needs with its HUD funding has been limited with federal CDBG budgets not keeping pace with inflation and being aggressively cut.

CR-10 - Racial and Ethnic composition of families assisted

Describe the families assisted (including the racial and ethnic status of families assisted).

91.520(a)

	CDBG	HOME	HOPWA	ESG
White	396	11	58	1,285
Black or African American	736	52	172	2,075
Asian	249	19	6	29
American Indian or American Native	133	0	14	225
Native Hawaiian or Other Pacific Islander	1	0	1	59
Total	1,515	82	251	3,673
Hispanic	250	10	15	180
Not Hispanic	1,265	72	247	3,608

Table 2 – Table of assistance to racial and ethnic populations by source of funds

Narrative

Figures for the Racial and Ethnic composition of families assisted under ESG program are incomplete. The City is working with the HMIS service provider and subrecipients to receive correct beneficiary figures.

CR-15 - Resources and Investments 91.520(a)

Identify the resources made available

Source of Funds	Source	Resources Made Available	Amount Expended During Program Year
CDBG		35,904,849	15,972,349
HOME		7,243,560	6,458,835
HOPWA		4,369,221	1,245,667
ESG		3,544,830	642,006

Table 3 – Resources Made Available

Narrative

Resources made available through 2015 grant agreements and receipted program income are shown in the above table. It does not include unexpended prior year funding. The City received \$1,517,361 of CDBG program income during PY 2015. All program income was expended for immediate needs within the program year, and the program-income balance remaining at 5/31/16 is zero. The City is in compliance with 24 CFR 570.504(b)(iii), by not having program income remaining in excess of one twelfth (1/12th) of the most recent grant (1/12th x \$10,452,853= \$871,071). HOME program income is detailed below in this report. There was no program income received with ESG and HOPWA programs.

The City met its CDBG expenditure timeliness target at a draw ratio of 1.31, below the threshold ratio of 1.5.

The following references CDBG and HOME loan portfolio performance:

Economic Development:

17 outstanding loans, with a combined principal balance of \$4,233,449

Housing Rehab/Other:

Housing Development:

CDBG funded: 124 loans outstanding with combined principal balance of \$63,077,183

HOME funded: 90 loans outstanding with combined principal balance of \$41,186,024

Single Family Loans:

CDBG funded Home Improvement Loan/Grants:

- Interest free deferred 125 \$1,934,703
- Low interest amortizing 29 \$381,489

Mortgage Assistance Loans/Grants:

CDBG funded: 99 \$1,399,794

NSP funded: 102 \$1,148,471

HOME funded: 0 \$0

Parcels acquired or improved with CDBG funds that are available for sale during the period of June 1, 2015 through May 31, 2016:

- a) Residential: 17 properties
- b) Commercial: 0 properties

Loans written off/forgiven during the 2015 program year:

Of the 29 Single Family amortizing loans, 6 are in default (\$249,812). Liens are on these properties and if the loan is not brought current, the loan is paid back to the City upon property sale or title transfer, unless the borrower’s primary loan, if any, is foreclosed at which time the City’s loan is then written off. Economic development loan amount of \$8,250 was forgiven.

Identify the geographic distribution and location of investments

Target Area	Planned Percentage of Allocation	Actual Percentage of Allocation	Narrative Description

Table 4 – Identify the geographic distribution and location of investments

Narrative

CDBG is directed on an area basis to census tracts in the city where the majority of residents are of low- and moderate-income. ESG funds are expended for eligible activities within the City and Hennepin County for projects benefiting Minneapolis residents. HOPWA funds programs serving site-based initiatives and providing tenant rental assistance throughout the metropolitan area. HOME rehabilitation funds are spent throughout the City for income-eligible units. The City's Affordable Housing Trust Fund program composed partially of CDBG and HOME funds, awards priority points for new housing construction in non-poverty-impacted areas of Minneapolis. If a new construction project receives HOME funds out this program, that project is sited in an area that is non-impacted by race or poverty. New affordable housing is targeted for designated growth areas and commercial and transit corridors that can benefit from and support increased housing density.

Leveraging

Explain how federal funds leveraged additional resources (private, state and local funds), including a description of how matching requirements were satisfied, as well as how any publicly owned land or property located within the jurisdiction that were used to address the needs identified in the plan.

Other resources made available to support Consolidated Plan strategies include General Fund dollars; federal grants made available from the U.S. Departments of Justice, Housing and Urban Development, Commerce, Health and Human Services, Environmental Protection Agency; state grants made available by Trade and Economic Development; Human Services; Children, Families and Learning; Metropolitan Council; and local resources through foundations, financial institutions, the Family Housing Fund, Neighborhood Revitalization Program and subrecipient in-kind goods and services.

Match requirements for the HOME and Emergency Solutions Grant (ESG) programs are met through funding provided by the project, Hennepin County or other government bodies. All RFPs for these projects specify the need of committed match in order to qualify for funding. Match provided to the ESG program is met by funded programs and composed primarily of state pass through and private funding to covered programs.

As part of its compliance with the need to pursue all available resources to support its Consolidated Plan, the City is required to provide Certifications of Consistency to its Consolidated Plan to organizations seeking federal HUD funding. These certifications testify to the fact that the proposed funding application meets strategies identified in the City's Consolidated Plan. City staff reviews certification requests against Consolidated Plan strategies and recommends certification of eligible proposals. All requests for certifications received by the City during the past year were certified as addressing Consolidated Plan strategies.

Fiscal Year Summary – HOME Match	
1. Excess match from prior Federal fiscal year	36,619,984
2. Match contributed during current Federal fiscal year	319,649
3. Total match available for current Federal fiscal year (Line 1 plus Line 2)	36,939,633
4. Match liability for current Federal fiscal year	649,466
5. Excess match carried over to next Federal fiscal year (Line 3 minus Line 4)	36,290,166

Table 5 – Fiscal Year Summary - HOME Match Report

Match Contribution for the Federal Fiscal Year								
Project No. or Other ID	Date of Contribution	Cash (non-Federal sources)	Foregone Taxes, Fees, Charges	Appraised Land/Real Property	Required Infrastructure	Site Preparation, Construction Materials, Donated labor	Bond Financing	Total Match
2187-The Cameron	06/29/2015	255,719	0	0	0	0	63,930	319,649

Table 6 – Match Contribution for the Federal Fiscal Year

HOME MBE/WBE report

Program Income – Enter the program amounts for the reporting period				
Balance on hand at beginning of reporting period \$	Amount received during reporting period \$	Total amount expended during reporting period \$	Amount expended for TBRA \$	Balance on hand at end of reporting period \$
27,322	2,369,001	1,499,747	0	896,576

Table 7 – Program Income

Minority Business Enterprises and Women Business Enterprises – Indicate the number and dollar value of contracts for HOME projects completed during the reporting period						
	Total	Minority Business Enterprises				White Non-Hispanic
		Alaskan Native or American Indian	Asian or Pacific Islander	Black Non-Hispanic	Hispanic	
Contracts						
Dollar Amount	7,275,637	206,074	178,905	623,179	0	6,267,479
Number	16	2	2	8	0	4
Sub-Contracts						
Number	241	4	3	9	5	220
Dollar Amount	25,554,106	839,782	86,950	380,517	299,418	23,947,439
	Total	Women Business Enterprises	Male			
Contracts						
Dollar Amount	7,275,637	3,322,309	3,953,328			
Number	16	4	12			
Sub-Contracts						
Number	241	19	222			
Dollar Amount	25,554,107	1,059,439	24,494,668			

Table 8 – Minority Business and Women Business Enterprises

Minority Owners of Rental Property – Indicate the number of HOME assisted rental property owners and the total amount of HOME funds in these rental properties assisted						
	Total	Minority Property Owners				White Non-Hispanic
		Alaskan Native or American Indian	Asian or Pacific Islander	Black Non-Hispanic	Hispanic	
Number	0	0	0	0	0	0
Dollar Amount	0	0	0	0	0	0

Table 9 – Minority Owners of Rental Property

Relocation and Real Property Acquisition – Indicate the number of persons displaced, the cost of relocation payments, the number of parcels acquired, and the cost of acquisition						
Parcels Acquired		0		0		
Businesses Displaced		0		0		
Nonprofit Organizations Displaced		0		0		
Households Temporarily Relocated, not Displaced		0		0		
Households Displaced	Total	Minority Property Enterprises				White Non-Hispanic
		Alaskan Native or American Indian	Asian or Pacific Islander	Black Non-Hispanic	Hispanic	
Number	0	0	0	0	0	0
Cost	0	0	0	0	0	0

Table 10 – Relocation and Real Property Acquisition

CR-20 - Affordable Housing 91.520(b)

Evaluation of the jurisdiction's progress in providing affordable housing, including the number and types of families served, the number of extremely low-income, low-income, moderate-income, and middle-income persons served.

	One-Year Goal	Actual
Number of Homeless households to be provided affordable housing units	0	53
Number of Non-Homeless households to be provided affordable housing units	0	422
Number of Special-Needs households to be provided affordable housing units	5	151
Total	5	626

Table 11 – Number of Households

	One-Year Goal	Actual
Number of households supported through Rental Assistance	0	209
Number of households supported through The Production of New Units	0	479
Number of households supported through Rehab of Existing Units	0	309
Number of households supported through Acquisition of Existing Units	0	10
Total	0	1,007

Table 12 – Number of Households Supported

Discuss the difference between goals and outcomes and problems encountered in meeting these goals.

Rental assistance households number will increase after resolution of HMIS data issues with the Emergency Solutions Grant program which affects the reporting numbers for Catholic Charities Housing First rapid rehousing.

One-year goals in the above tables did not properly load with the HUD on-line system. The one-year goals were Number of Households supported through: Rental Assistance = 353; Production of New Units = 95; Rehab of Existing Units = 155; Acquisition of Existing Units = 20 for a total one-year goal of 623 households.

The one-year goals for homeless and special needs housing assistance were Number of homeless to be provided affordable housing units = 275; Number of non-homeless to be provided affordable housing

units = 0; Number of special-needs to be provided affordable housing units = 153 for a total of 428 households.

Discuss how these outcomes will impact future annual action plans.

The City will continue to budget approximately half of its CDBG resources to the support of affordable housing creation and stabilization and use its HOME funds to creatively bring new affordable housing into the market.

Include the number of extremely low-income, low-income, and moderate-income persons served by each activity where information on income by family size is required to determine the eligibility of the activity.

Number of Persons Served	CDBG Actual	HOME Actual
Extremely Low-income	740	12
Low-income	715	0
Moderate-income	149	16
Total	1,604	28

Table 13 – Number of Persons Served

Narrative Information

Numbers of persons served by activity is pulled from IDIS reports of CDBG and HOME summary of accomplishments.

Ongoing challenges in creation of affordable housing include the lack of project-based Section 8 rental assistance to make units affordable at <30% MMI, shortage of large family rental housing units (and the rising costs to produce those larger units), and the continuing challenge in providing location choice. Due to the deep subsidy required for new production, preservation of existing units is easier than creation of new units. It is a continuing challenge to the City and its development partners to leverage enough resources to meet this commitment. However, preservation activities are just as important in holding an inventory of available, affordable housing units.

The City continues its work with housing foreclosures with funding for its foreclosure prevention program, stepped up enforcement of vacant properties, and the addition of new financing products for households threatened with foreclosure. Even though foreclosure numbers have stabilized, the concentration of foreclosed properties threatens to undo past community efforts at stabilizing neighborhoods. The City received Neighborhood Stabilization Program (NSP) funds from HUD and the State of Minnesota. These funds will assist local communities in dealing with the market effects caused by foreclosed properties.

CR-25 - Homeless and Other Special Needs 91.220(d, e); 91.320(d, e); 91.520(c)

Evaluate the jurisdiction's progress in meeting its specific objectives for reducing and ending homelessness through:

Reaching out to homeless persons (especially unsheltered persons) and assessing their individual needs

The City of Minneapolis participates in the local Continuum of Care model. The lead administrative entity for the Continuum of Care in Hennepin County and the City of Minneapolis is the Hennepin County Housing Department in collaboration with the County's Human Service Department. The Heading Home Hennepin Executive Committee is responsible for planning and implementing the Continuum of Care in the County. The County provides staff support for the overall Continuum of Care; soliciting, reviewing and recommending applications for HUD funding; providing certifications of consistency with other HUD and state funding programs and development of Continuum of Care documentation materials required for applicants for HUD homeless program funding.

The City of Minneapolis works closely with a number of local and state agencies to ensure that Federal entitlement opportunities such as HOPWA, HOME, and ESG meet the funding priorities supporting the Continuum of Care. For instance, the City works to direct funding to increasing the number of housing units, as well as expansion of the scope of services provided to homeless persons. Entitlement funds were used to assist the local Continuum of Care in 2015 by: coordinating outreach and assessment at shelter entrance points, emphasizing permanent supportive housing and prevention services versus continuing emergency shelter, and combining housing with appropriate supportive services.

Addressing the emergency shelter and transitional housing needs of homeless persons

The City provides ESG funds to support programs and projects that serve persons who are homeless and those persons who are threatened with homelessness. Funding is used for rehabilitation and development of quality emergency shelter space, rapid re-housing and homelessness prevention service options, and for street outreach services for the chronically homeless.

Initial barriers seen in the programs are both environmental and system issues. With a decreasing vacancy rate it can be difficult to find appropriate and affordable housing for hard to house clients. There can be program restrictions realized when attempting to find a range of housing resources for clients. Additionally there are implementation concerns raised with instituting the new program requirements of the Emergency Solutions Grant with existing and newly developed programs. The City in coordination with the City-County Office to End Homelessness continues to explore ways in which to effectively address these barriers.

Helping low-income individuals and families avoid becoming homeless, especially extremely low-income individuals and families and those who are: likely to become homeless after

being discharged from publicly funded institutions and systems of care (such as health care facilities, mental health facilities, foster care and other youth facilities, and corrections programs and institutions); and, receiving assistance from public or private agencies that address housing, health, social services, employment, education, or youth needs

Hennepin County's Adult Discharge Policy and Planning Coordination works with the Social Work Manager at Hennepin County Medical Center (HCMC) in assisting patients who were homeless prior to admittance find appropriate housing prior to discharge. Discharged patients with minor medical recuperation needs are referred to Salvation Army Harbor Lights medical respite facility. Case Managers work with many of these patients to move into permanent housing with Group Residential Housing subsidies. The Hennepin Health program (Accountable Care Organization) works with County Human Services to address post-discharge housing and service needs of homeless persons with chronic or major medical needs to stabilize housing and prevent re-hospitalization.

Protocol is in place to prevent discharging people to a shelter or streets from a state regional mental health facility. Per state mandate all persons committed to any treatment facility are assigned a mental health case manager through the home county of the person discharged. Discharge planning begins during the commitment process & continues through discharge. Housing stability remains a part of the treatment plan after discharge. The case manager assists in locating appropriate housing. Hennepin County's Adult Discharge Policy and Planning Coordinator works with the Adult Discharge Planning Committee to develop & implement appropriate protocols for homeless patients discharged from the Hennepin County Medical Center and publically supported residential treatment facilities.

Minnesota Department of Corrections (DOC) completes intake on entry and assesses eligibility for county services and income supports. For those homeless upon entering, a correctional officer will coordinate with County personnel for housing search assistance.

Helping homeless persons (especially chronically homeless individuals and families, families with children, veterans and their families, and unaccompanied youth) make the transition to permanent housing and independent living, including shortening the period of time that individuals and families experience homelessness, facilitating access for homeless individuals and families to affordable housing units, and preventing individuals and families who were recently homeless from becoming homeless again

The City works with programs that offer assistance to families to make the transition to permanent housing. Funding for the Minnesota AIDS Project Transitional Housing Program is one example. Family Housing Fund research recommends that time limits for transitional program participation be eased due to complexities of conditions faced by clients and the tight rental market which makes it difficult for clients to secure permanent housing. The Minnesota AIDS Project (MAP) and Metropolitan Council Housing & Redevelopment Authority (Metro HRA) work to offer continued rental assistance to persons

with HIV/AIDS when they expire their time limits in the transitional program. MAP staff works with clients to pursue alternative subsidy options: increased income, other public forms of assistance or personal rent supports.

Minneapolis through CPED uses its Affordable Housing Trust Fund to create additional supportive housing opportunities. Hennepin County also works to address permanent housing barriers with the Family Homeless Prevention Assistance Program.

CR-30 - Public Housing 91.220(h); 91.320(j)

Actions taken to address the needs of public housing

The City has contributed CDBG funding to the Minneapolis Public Housing Authority (MPHA) for improvements to the housing inventory and support for resident initiatives. CDBG funding for resident participation enables resident councils to promote resident citizen participation in the review of public housing programs, policies and community building activities. Federal funding reductions realized for the 2011 program year eliminated the use of CDBG for rehabilitation programming at MPHA public housing, and through 2012, remaining CDBG dollars funded additional rehabilitation work on two MPHA single family scattered site residences. Due to budget cuts there were no CDBG funds provided to MPHA and no accomplishments to report since 2013.

Actions taken to encourage public housing residents to become more involved in management and participate in homeownership

The MPHA will continue its work in supporting public housing resident involvement and participation in agency activities that impact residents and their homes. MPHA will work with established resident councils and representation systems such as its resident committees including, Resident Advisory Board, Tenant Advisory Committee, Security Advisory Committee and the Maintenance, Modernization and Management Committee to support this goal.

Actions taken to provide assistance to troubled PHAs

The local PHA, Minneapolis Public Housing Authority is not considered a troubled PHA.

CR-35 - Other Actions 91.220(j)-(k); 91.320(i)-(j)

Actions taken to remove or ameliorate the negative effects of public policies that serve as barriers to affordable housing such as land use controls, tax policies affecting land, zoning ordinances, building codes, fees and charges, growth limitations, and policies affecting the return on residential investment. 91.220 (j); 91.320 (i)

- In the area of regulatory controls, the city has administratively reformed its licensing and examining boards to ensure objectivity and eliminate unnecessary regulation in housing development. The city continues to update unnecessary regulation in housing development. The city no longer limits the pool of contractors that can enter the city to facilitate housing development, which encourages a more competitive pricing environment.
- In response to other regulatory controls and life safety issues that may affect the cost of affordable housing, the city housing agency continues to work with various regulatory departments to cancel special assessments and outstanding water charges on properties during the acquisition process. Construction Code Services waives the deposit on condemned buildings when the housing agency or the county requests to rehabilitate these buildings. The Minnesota Conservation Code has given the building official the opportunity to extend greater discretion when rehabilitating existing buildings.
- CPED's land acquisition programs, (Higher Density Corridor Housing Program for multifamily housing projects and Capital Acquisition Revolving Fund for mixed-use projects) address a key barrier to affordable housing – the need to assemble sites for development. These initiatives allow the city to gain control of land for disposition to developers for affordable and mixed-income development on the city's corridors, creating a critical linkage between affordable housing, jobs and transit.
- The Department of Regulatory Services works to facilitate the rehabilitation of vacant homes through the Restoration Agreement program. These agreements suspend or hold in abeyance the Vacant Building Registration fee in exchange for completing the rehabilitation in a timely manner. In addition, this process pairs property owners with an inspector who is able to help them through the rehabilitation process. Through the suspension of fees and the assistance of staff, this program makes it easier for low to moderate income property owners to rehabilitate and reoccupy vacant homes in Minneapolis.

Actions taken to address obstacles to meeting underserved needs. 91.220(k); 91.320(j)

In order to address obstacles to meeting underserved needs, the City has continued to commit its full Consolidated Plan resources to addressing priority needs identified in its goals of 1) Sustainable Affordable Housing; 2) Community Economic Development; and 3) Safe, Equitable, Livable Environment. The City has also sought additional funding for both its entitlement resources and complementary funding efforts. The City has received a Promise Zone designation and is working on focusing leveraged resources for those communities.

In areas of public services and public facilities, the City still seeks to address its priority areas. Over the past several years national CDBG budgets have been reduced which has reduced funding available for Public Services (up to 15 percent of CDBG can be used on public service programming). Annually the

City budgets the maximum of 15 percent of its CDBG grant for public services to address the needs of its residents.

Actions taken to reduce lead-based paint hazards. 91.220(k); 91.320(j)

The City has worked for years to institute a comprehensive lead-based paint strategy to address the issue of lead hazards in the city's housing stock with the goal of eliminating these hazards from the overall City Housing stock. The City may not realistically be able to reach the goal of zero poisoned children; however, it will continue to sustain its goal through partnerships with rehab agencies, education, enforcing current lead law and community engagement. Two years ago, the State changed the definition of when the City was allowed to respond to lead poisoning from 10ug/dl to 5ug/dl to align with the Center for Disease Controls change in level of concern.

The City of Minneapolis has taken a two pronged approach towards the goal of eliminating childhood lead poisoning. The elevated blood lead response program performs risk assessments, writes corrective orders and issues administrative citations for non-compliance. The program also combines CDBG funds with a HUD lead hazard control grant which is performing risk assessments and making properties lead safe in a targeted neighborhoods traditionally high for lead poisoning. Using resources from the City, Hennepin County and HUD, this office addresses lead-based paint hazards through:

- Identification and mitigation of lead hazards from units occupied by children with elevated blood lead levels.
- Education and outreach to the parents/guardians of children most impacted by lead hazards in dwellings.
- Lead-based paint hazard awareness training for housing inspectors and education materials on the disclosure rules and lead safe work practices distributed to rental property owners.
- Prevention of lead poisoning by incorporating lead hazard reduction into the maximum numbers of dwelling units undergoing rehabilitation activities.
- Inspections of rental properties where children with blood lead levels between 5-9.9ug/dl reside. Deteriorated painted surfaces result in corrective orders being issued, and HUD grant enroll offered to assist with costs of replacing windows.
- Homeowners where children with blood lead levels between 5-9.9ug/dl reside are offered and educational visit and HUD grant enrollment is offered to assist with costs of replacing windows.

These efforts have seen the level of child screening for lead increase while at the same time the number of children diagnosed with an elevated blood lead level has decreased.

In 2015, under the City's Childcare Facilities Loan & Grant program, 8 home daycares were made lead-safe using interim controls and abatement methods. In the City's Lead Hazard Reduction Program, CDBG funds were used for lead risk assessments, clearances, or rehab activities on 93 properties, 91 of which are affordable properties.

Actions taken to reduce the number of poverty-level families. 91.220(k); 91.320(j)

The City of Minneapolis continued its efforts to reduce the number of poverty-level families in the city through focusing its development resources on programs and activities that facilitate the creation or retention of job opportunities

Improved housing and human development activities are essential components of the City's anti-poverty strategy. Various programs are utilized by the City in this effort, including among others CPED programs and initiatives of the Minneapolis Public Housing Authority. In areas of economic development, the City has worked with private and non-profit partners to create an environment to develop opportunities for residents to leave poverty.

Businesses provided with public funds for economic assistance from the City are required to post job openings with the City's Employment and Training Program in an attempt to link City residents with these jobs. In FY 2015, CDBG-funded vocational training efforts assisted 450 adults in obtaining private sector, permanent jobs.

The City also funds programs that prepare youth for their future by equipping them with job and career building skills. Youth employment training programs funded through CDBG public service funds enabled 128 youth to receive job training skills and experience in FY 2015 through summer youth job training.

The City works to clean up polluted industrial sites in order to attract new light industrial businesses, or to site new housing or commercial development opportunities. Minneapolis CPED is the key public agency in coordinating the cleanup of sites. Funding assistance is received from the Environmental Protection Agency, the Minnesota Pollution Control Agency and Department of Trade and Economic Development, the Metropolitan Council and other local sources. The benefits to Brownfield's redevelopment is an increase in the tax base, provision of job opportunities to city residents, improvement in the environment, and recycling of city land to productive uses.

The City addresses economic development needs by providing business development assistance. Recognizing that jobs and strong, growing local businesses are a cornerstone of economic stability in the Central Business District and neighborhood business districts throughout the City, the City of Minneapolis' Department of Community Planning and Economic Development provides assistance to businesses with identifying locations for expansion or relocation and understanding and navigating various city regulatory processes, and establishes business-to-business connections in key economic sectors. CPED also funds local business organizations to provide assistance to entrepreneurs and small businesses with developing a business plan, accounting, marketing, merchandising and gaining access to capital. The City has a number of business financing programs for purchasing equipment and property, real estate development and tenant improvements, working capital and micro loans.

Actions taken to develop institutional structure. 91.220(k); 91.320(j)

Institutional partnerships continue to allow the city to realize progress on its housing and community development work. However, much remains to be done especially in the area of delivering the benefits of progress equitably throughout the community. Communities of color and very low-income continue

to realize unequal outcomes in housing and service delivery compared with the broader community. How institutional actors work to address these gaps continues to be a focus of the city over this five year planning cycle.

Actions taken to enhance coordination between public and private housing and social service agencies. 91.220(k); 91.320(j)

The institutional structure through which the City carries out its housing and community development plan consists of public, private and nonprofit partners. Many have worked with and supported the city in carrying out the affordable and supportive housing strategy for the community.

The primary public entities are the City of Minneapolis, Minneapolis Community Planning and Economic Development, the Minneapolis Public Housing Authority (MPHA), Hennepin County, and the Minnesota Housing. Nonprofit organizations include nonprofit developers, community housing development organizations, and the Family Housing Fund. The federal government and the state are key funding source for rental and ownership housing projects. Local funds are available for housing and non-housing activities. Private sector partners such as local financial institutions, for-profit developers, faith-based organizations and the foundation community continue to be valuable in assisting Minneapolis meet its housing and community development goals and strategies.

The City works with these partners to design programs that effectively work to better the conditions present in the city. However, gaps in program delivery still occur whether through funding shortfalls, differing timetables, and contrary regulations. The City seeks to resolve these gaps through its commitment to its institutional relationships evidenced by its close working relations with its partners. The city will continue to meet with and inform its partners of its housing and community development needs, goals and strategies.

Identify actions taken to overcome the effects of any impediments identified in the jurisdictions analysis of impediments to fair housing choice. 91.520(a)

Over the past year, the City has supported the Housing Discrimination Law Project with resources that closed 73 cases with 35 favorable outcomes for the clients assisted. The City's Department of Civil Rights resolved three housing cases brought to its compliant intake process with an additional three open cases.

Since the issuance of the 2015 Regional Analysis of Impediments, the City has been subject to a fair housing complaint brought by community interests that allege in summary that the City has adopted, maintained, and enforced policies and practices in its housing programs, land use and financing that have had the effect of limiting development of affordable housing in high-opportunity, majority-white communities and locating affordable housing units in low-opportunity, high-poverty communities with effect of furthering racial and ethnic segregation in Minneapolis. Since receipt of the complaint, the City has engaged the plaintiffs and HUD in settlement discussions. The result of these settlement discussions

in which the City has denied all complaint allegations, has led to the City entering into a Voluntary Compliance Agreement with HUD and the plaintiffs. The Voluntary Compliance Agreement calls for the City to work with the plaintiffs, other metropolitan HUD entitlement grant cities and counties, and a range of community stakeholders to provide for a revision to the 2015 Regional Analysis of Impediments. This work is to be performed under a HUD-directed community advisory process. The revised Analysis of Impediments is to be produced by May 2017. Completion of the revised Analysis will satisfy the terms of the Voluntary Compliance Agreement and HUD will close the complaint against the City as a voluntary and full settlement of the plaintiff's claims and allegations.

CR-40 - Monitoring 91.220 and 91.230

Describe the standards and procedures used to monitor activities carried out in furtherance of the plan and used to ensure long-term compliance with requirements of the programs involved, including minority business outreach and the comprehensive planning requirements

The City Finance and IGR/Grants Offices provide overall assurance that Consolidated Plan grant programs implemented through the City, its Subrecipient programs, and other community-based organizations are being carried-out as required. Each department who manages grant-funded programming is responsible for monitoring their respective program activity for compliance with City, OMB, and HUD program standards. Finance and IGR/Grants will monitor these activities in cooperation with the department implementing Consolidated Plan programming.

Programmatic, regulatory and contract compliance is achieved through the City's administrative structure, its offices of contract management, and through Finance/Grants and the IGR/Grants Office. Structured reviews are conducted on-site to ensure consistency with the contract, for determining the adequacy of program performance and to ensure that reported information is accurate. The Finance and Grants Offices monitor for program compliance and performance, provide technical assistance to grant-funded program managers. This framework allows for an effective oversight of the monitoring and technical assistance process. This communication link, together with guidance offered from the Minneapolis HUD Field Office, provides the capacity to identify potential risk. The Grants office uses a monitoring checklist which includes standard HUD monitoring guidelines; the Finance department monitors compliance using a checklist specific to minimum standards determined by the City, State and Federal government. If potential issues of non-compliance are identified a corrective action is implemented based on City policy to prevent continuance of a violation and to mitigate adverse effects of violation.

The objectives of the City's monitoring system are, to satisfy the statutory requirements of grantor agencies, to assist contractors in properly administering grant-funded programs implemented on behalf of the City, to minimize the City's liability by identifying and correcting major program deficiencies before they result in financial penalties and/or funding sanctions, and to provide City management and grantor agencies with performance information to guide them in making future funding decisions (i.e. verify the quantity and assess the quality of the services being delivered).

HOME is a critical source of funding in several of the City's housing programs managed through CPED. A third-party contracted provider monitors all HOME-funded rental projects, including on-site property and property management file inspections, on at least an annual basis to verify compliance with HOME requirements including tenant income, rent restrictions, unit mix and occupancy, lease provisions, and affirmative marketing.

The Civil Rights Department monitors City-funded projects (including HOME) through its Contract Compliance unit for Davis Bacon and Section 3 and sets development participation goals for

women/minority-owned businesses through its Small and Underutilized Business Program.

Citizen Participation Plan 91.105(d); 91.115(d)

Describe the efforts to provide citizens with reasonable notice and an opportunity to comment on performance reports.

The 2015 CAPER was made available for at least a 15-day review period before its August 29, 2016 submission to HUD.

The City's Consolidated Plan citizen participation plan encourages the inclusion of all City residents throughout the Consolidated Plan development process--especially low-income residents who are the primary clients for HUD programs, non-profit organizations and other interested parties. At least three public hearings are held each year to address housing and community development needs, development of proposed activities, and review of program performance.

To ensure broad-based participation, extensive communication efforts are used during the implementation of the City's Consolidated Plan citizen participation plan. A mailing distribution list of approximately 200 names is revised continuously. The list includes public, private and social service agencies and individuals requesting notification of Consolidated Plan meetings, hearings and materials. Public notices for public hearings are published in Finance and Commerce, following City notification practices.

The various printed notices notify where copies of the Consolidated Plan are available and invite persons to either speak at the public hearings and/or submit written comments. Public hearings are accessible and sign language interpretation is available for public hearings.

The public comment period for the 2015 CAPER was August 12-26, 2016. The City's Community Development Committee held a public hearing on the 2015 CAPER on August 23, 2016. Public comments received during the public comment period are included in the appendix of the CAPER. Copies of the draft 2015 CAPER were made available at the Office of Grants & Special Projects, Community Planning and Economic Development, Hennepin County Public Libraries located in the City of Minneapolis, Mid-Minnesota Legal Aid offices and upon request. The draft report was posted at the following website: www.minneapolismn.gov/grants. Copies of the final 2015 CAPER submitted to HUD on August 29, 2016 will be available for public review at the Office of Grants & Special Projects (301M City Hall), and upon request. The final 2015 CAPER as approved by HUD will be posted when available to the website at www.minneapolismn.gov/grants.

CR-45 - CDBG 91.520(c)

Specify the nature of, and reasons for, any changes in the jurisdiction’s program objectives and indications of how the jurisdiction would change its programs as a result of its experiences.

No changes were made or are anticipated for Minneapolis' CDBG program objectives. HUD requires that City should not have more than 1.5 times their annual CDBG grant amount unexpended 60 days prior to the start of a new grant program year. The City met its CDBG expenditure timeliness target at a draw ratio of 1.31, below the threshold ratio of 1.5.

Does this Jurisdiction have any open Brownfields Economic Development Initiative (BEDI) grants?	No
--	----

[BEDI grantees] Describe accomplishments and program outcomes during the last year.

CR-50 - HOME 91.520(d)

Include the results of on-site inspections of affordable rental housing assisted under the program to determine compliance with housing codes and other applicable regulations

Please list those projects that should have been inspected on-site this program year based upon the schedule in §92.504(d). Indicate which of these were inspected and a summary of issues that were detected during the inspection. For those that were not inspected, please indicate the reason and how you will remedy the situation.

The City works with an outside agency to perform on-site and desk monitoring of HOME-funded projects to ensure compliance is being met by the owners of housing projects. The City works with an outside agency to perform on-site and desk monitoring of HOME-funded projects to ensure compliance is being met by the owners of housing projects. During FY 2015, a total of 142 units, 25 HOME-funded projects, were monitored either by an on-site inspection or a desk monitoring. 77 HOME funded units were inspected through an on-site inspection, a total of 17 HOME-funded projects. Of the 77 units that recieved an on-site inspection, there were 9 UPCS findings and 2 missing HOME certifications. 8 HOME-funded projects, 65 HOME-funded units, recieved a desk monitoring. Of the 65 units that recieved a desk monitoring, 4 had a missing HOME certification finding.

Provide an assessment of the jurisdiction's affirmative marketing actions for HOME units. 92.351(b)

Prior to properties being held open to the public, CPED-contracted marketing agents mail out information to their mailing lists. In addition, properties are advertised for sale in various community newspapers as well as the Minneapolis Star Tribune and marketing signs on the property. Open houses are held allowing prospective buyers a review of the home interiors. All buyers are given the option of being represented by their choice of agent, who is paid by seller at time of closing. All interested in purchasing a property may submit purchase offers with accompanying documentation by a specified date. When multiple offers are received, an impartial in-house lottery is used to rank order offers.

Affirmative marketing actions and outreach to minority- and women-owned businesses continue to be performed in a satisfactory manner. It indicates continued efforts on the parts of owners to affirmatively market available units.

Home Unit Completions by Racial/Ethnic Category

Source: IDIS 2015 Summary of Accomplishments 8/9/16

First Time Homebuyer	Total	Hispanic
White	5	1

Black/African American	6	0
Asian	3	0
American Indian/Alaskan Native & Black/African American	1	0
Other/Multi-Racial	1	0
Rentals		
	Total	Hispanic
White	3	0
Black/African American	7	1
Other/Multi-Racial	2	0

Table 14 - HOME Unit Completions by Racial/Ethnic Category

Refer to IDIS reports to describe the amount and use of program income for projects, including the number of projects and owner and tenant characteristics

The city expended \$1.499 million of HOME program income in support of the development of 8 single family owner-occupied housing for new homeowners. These program income funds also supported 4 new rental units being constructed in the Corcoran Triangle development at the Lake Street Midtown Station and 10 new rental senior units being constructed in downtown Minneapolis. All of these activities are under development and will be completed in the next program year.

Describe other actions taken to foster and maintain affordable housing. 91.220(k) (STATES ONLY: Including the coordination of LIHTC with the development of affordable housing). 91.320(j)

The has pursued the following actions for the development of rental housing to grow the population and to have no net loss of affordable housing across all income levels. City housing policy is the positive gain on affordable housing units through:

- Annually seeking to create more units affordable at 30-60% of Metropolitan Median Income (MMI) through new construction/positive conversion than the number of habitable units affordable to 30-60% of MMI that are demolished as a result of City sponsored projects.
- Funding housing programs serving those above 60% of Metropolitan Area Median Income shall continue and those programs will remain a vital part of the City's housing policy.
- Twenty percent (20%) of the units of each City assisted housing project of ten or more units will be affordable to households earning 60% or less of the Metropolitan Area Median Income. It is understood that these affordable units may include any mix of rental and/or homeownership, and can be located on the project site or anywhere within the City of Minneapolis.
- Projects which utilize any funding from the Affordable Housing Trust Fund (CDBG/HOME) must have 20% of the housing units available to households at or below 50% of area median income.

In the past year the City has provided financing for the development and preservation of affordable

ownership housing by

- Preserving and improving the physical condition of existing ownership housing through home improvement offerings.
- Supporting in-fill development of new three or more bedroom houses for large families through a combination of construction gap financing and affordability loans.
- Promoting and supporting first-time homeownership opportunities and outreach for traditionally underserved populations.

Source: IDIS 2015 Summary of Accomplishments 8/9/16

Activity Type	Disbursed Amount	Units Completed	Units Occupied
Rental	\$837,587	12	12
1st Time Homebuyer	\$465,493	16	16

Table 15 - HOME Disbursements and Unit Completions

Activity Type	0%-30%	51%-60%	61%-80%	Total 0%-60%
Rental	12	0	0	12
1st Time Homebuyer	0	3	13	3

Table 16 - HOME Unit Completions by Area Median Income

CR-55 - HOPWA 91.520(e)

Identify the number of individuals assisted and the types of assistance provided

Table for report on the one-year goals for the number of households provided housing through the use of HOPWA activities for: short-term rent, mortgage, and utility assistance payments to prevent homelessness of the individual or family; tenant-based rental assistance; and units provided in housing facilities developed, leased, or operated with HOPWA funds.

Number of Households Served Through:	One-year Goal	Actual
Short-term rent, mortgage, and utility assistance to prevent homelessness of the individual or family	0	0
Tenant-based rental assistance	153	151
Units provided in permanent housing facilities developed, leased, or operated with HOPWA funds	36	36
Units provided in transitional short-term housing facilities developed, leased, or operated with HOPWA funds	0	0

Table 17 – HOPWA Number of Households Served

Narrative

In program year 2015, 151 persons with HIV/AIDS were supported with HOPWA grant funding; it was estimated that 153 households would receive housing assistance during the 2015 program year. Additionally, 34 facility-based stewardship units were supported through their use agreements while an additional 2 units came on line with the opening of Clare Terrace in Robbinsdale, MN.

The City of Minneapolis works with program sponsors, funding partners and the Minnesota HIV/AIDS Housing Coalition to identify and develop its funding plans and priorities for HOPWA expenditures. The need to obtain or keep affordable housing is great, and the programming design for HOPWA assistance helps meet the housing needs of families and persons with HIV/AIDS. Stable housing situations are often second only to health care in importance for this population – and it is widely asserted that “housing is healthcare.” The Minnesota AIDS Project (MAP) and Metropolitan Council Housing and Redevelopment Authority (Metro HRA) coordinate to offer continued rental assistance to persons with HIV/AIDS when they expire their time limits in MAP’s transitional housing program.

CR-60 - ESG 91.520(g) (ESG Recipients only)

ESG Supplement to the CAPER in *e-snaps*

For Paperwork Reduction Act

1. Recipient Information—All Recipients Complete

Basic Grant Information

Recipient Name	MINNEAPOLIS
Organizational DUNS Number	066530411
EIN/TIN Number	416005375
Identify the Field Office	MINNEAPOLIS
Identify CoC(s) in which the recipient or subrecipient(s) will provide ESG assistance	Minneapolis/Hennepin County CoC

ESG Contact Name

Prefix	Mr
First Name	MATTHEW
Middle Name	A
Last Name	BOWER
Suffix	0
Title	Manager Resource Coordination

ESG Contact Address

Street Address 1	350 South Fifth St.
Street Address 2	307M City Hall
City	Minneapolis
State	MN
ZIP Code	-
Phone Number	6126732188
Extension	0
Fax Number	0
Email Address	matthew.bower@minneapolismn.gov

ESG Secondary Contact

Prefix	Ms
First Name	Tiffany
Last Name	Glasper
Suffix	0
Title	Senior Project Coordinator
Phone Number	6126735221
Extension	0
Email Address	tiffany.glasper@minneapolismn.gov

2. Reporting Period—All Recipients Complete

Program Year Start Date 06/01/2015
Program Year End Date 05/31/2016

3a. Subrecipient Form – Complete one form for each subrecipient

Subrecipient or Contractor Name: SALVATION ARMY (MINNEAPOLIS)

City: Minneapolis

State: MN

Zip Code: 55403, 1309

DUNS Number:

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Faith-Based Organization

ESG Subgrant or Contract Award Amount: 367377

Subrecipient or Contractor Name: ST. STEPHEN'S HUMAN SERVICES

City: Minneapolis

State: MN

Zip Code: 55404, 3315

DUNS Number: 607313850

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Faith-Based Organization

ESG Subgrant or Contract Award Amount: 859563

Subrecipient or Contractor Name: CATHOLIC CHARITIES HOUSING FIRST

City: Minneapolis

State: MN

Zip Code: 55403, 2513

DUNS Number: 108504168

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Faith-Based Organization

ESG Subgrant or Contract Award Amount: 322893

Subrecipient or Contractor Name: Our Saviour's Outreach Ministries

City: Minneapolis

State: MN

Zip Code: 55404, 3842

DUNS Number: 601158137

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Faith-Based Organization

ESG Subgrant or Contract Award Amount: 314377

Subrecipient or Contractor Name: The Bridge for Youth

City: Minneapolis

State: MN

Zip Code: 55405, 2705

DUNS Number: 097091243

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 335000

Subrecipient or Contractor Name: First Covenant Church

City: Minneapolis

State: MN

Zip Code: 55415, 1702

DUNS Number: 077621035

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Faith-Based Organization

ESG Subgrant or Contract Award Amount: 124900

CR-65 - Persons Assisted

4. Persons Served

4a. Complete for Homelessness Prevention Activities

Number of Persons in Households	Total
Adults	0
Children	0
Don't Know/Refused/Other	0
Missing Information	0
Total	0

Table 18 – Household Information for Homeless Prevention Activities

4b. Complete for Rapid Re-Housing Activities

Number of Persons in Households	Total
Adults	71
Children	117
Don't Know/Refused/Other	0
Missing Information	0
Total	188

Table 19 – Household Information for Rapid Re-Housing Activities

4c. Complete for Shelter

Number of Persons in Households	Total
Adults	3,853
Children	1
Don't Know/Refused/Other	22
Missing Information	284
Total	4,160

Table 20 – Shelter Information

4d. Street Outreach

Number of Persons in Households	Total
Adults	35
Children	6
Don't Know/Refused/Other	0
Missing Information	0
Total	41

Table 21 – Household Information for Street Outreach

4e. Totals for all Persons Served with ESG

Number of Persons in Households	Total
Adults	3,959
Children	124
Don't Know/Refused/Other	22
Missing Information	284
Total	4,389

Table 22 – Household Information for Persons Served with ESG

5. Gender—Complete for All Activities

	Total
Male	2,392
Female	1,662
Transgender	9
Don't Know/Refused/Other	4
Missing Information	322
Total	4,389

Table 23 - Gender Information

6. Age—Complete for All Activities

	Total
Under 18	124
18-24	426
25 and over	3,533
Don't Know/Refused/Other	22
Missing Information	284
Total	4,389

Table 24 – Age Information

7. Special Populations Served—Complete for All Activities

Number of Persons in Households

Subpopulation	Total	Total Persons Served – Prevention	Total Persons Served – RRH	Total Persons Served in Emergency Shelters
Veterans	245	0	0	245
Victims of Domestic Violence	2	0	2	0
Elderly	294	0	0	293
HIV/AIDS	3	0	0	2
Chronically Homeless	76	0	17	41
Persons with Disabilities:				
Severely Mentally Ill	161	0	43	93
Chronic Substance Abuse	63	0	7	42
Other Disability	84	0	13	49
Total (Unduplicated if possible)	0	0	82	725

Table 25 – Special Population Served

Subpopulation	Total Persons Served - Street Outreach
Veterans	0
Victims of Domestic Violence	0
Elderly	1
HIV/AIDS	1
Chronically Homeless	18

Persons with Disabilities:	
Severely Mentally Ill	25
Chronic Substance Abuse	14
Other Disability	22
Total (Unduplicated if possible)	

Table 26 - Special Populations Served

Street outreach subpopulations listed in the table.

Subpopulation	Total Persons Served-Street Outreach
Veterans	0
Victims of Domestic Violence	0
Elderly	1
HIV/AIDS	1
Chronically Homeless	18
Persons with Disabilities:	
Severely Mentally Ill	25
Chronic Substance Abuse	14
Other Disabilities	22
Total (unduplicated count)	81

Table 27 - Special Populations Served -Additional Beneficiaries

CR-70 – ESG 91.520(g) - Assistance Provided and Outcomes

10. Shelter Utilization

Number of New Units - Rehabbed	622
Number of New Units - Conversion	0
Total Number of bed-nights available	208,780
Total Number of bed-nights provided	178,850
Capacity Utilization	85.66%

Table 28 – Shelter Capacity

11. Project Outcomes Data measured under the performance standards developed in consultation with the CoC(s)

Rapid Re-housing Outcomes

- Participants will be re-housed into stable housing within 30 days of eligibility determination for services
- Participants receiving Rapid Re-housing will not become homeless during services or within six months of case closing

Homelessness Prevention Outcomes

- Eligible renter households will not become homeless
- At Risk of Homelessness Families, Adults and Youth will retain stable, permanent housing
- Households receiving services will not become homeless during the six months following case closing

Emergency Shelter Outcomes

- The building must be maintained as an emergency shelter for homeless people for a period of either 3 or 10 years depending on the degree of renovation and the value of the building
- Shelters assisted must at minimum meet the shelter and housing standards as outlined in 24 CFR 576.403, as well as state and local government safety and sanitation standards, as applicable, including the requirements for lead-based paint and energy-efficient appliances

Street Outreach Outcomes

- Connect unsheltered individuals to housing, health care and services
- Identify high frequency uses of emergency services
- Reduce livability crimes

CR-75 – Expenditures

11. Expenditures

11a. ESG Expenditures for Homelessness Prevention

	Dollar Amount of Expenditures in Program Year		
	2013	2014	2015
Expenditures for Rental Assistance	0	0	0
Expenditures for Housing Relocation and Stabilization Services - Financial Assistance	0	0	0
Expenditures for Housing Relocation & Stabilization Services - Services	0	0	0
Expenditures for Homeless Prevention under Emergency Shelter Grants Program	0	0	0
Subtotal Homelessness Prevention	0	0	0

Table 29 – ESG Expenditures for Homelessness Prevention

11b. ESG Expenditures for Rapid Re-Housing

	Dollar Amount of Expenditures in Program Year		
	2013	2014	2015
Expenditures for Rental Assistance	35,837	224,005	0
Expenditures for Housing Relocation and Stabilization Services - Financial Assistance	0	0	0
Expenditures for Housing Relocation & Stabilization Services - Services	0	0	0
Expenditures for Homeless Assistance under Emergency Shelter Grants Program	0	0	0
Subtotal Rapid Re-Housing	35,837	224,005	0

Table 30 – ESG Expenditures for Rapid Re-Housing

11c. ESG Expenditures for Emergency Shelter

	Dollar Amount of Expenditures in Program Year		
	2013	2014	2015
Essential Services	0	0	0
Operations	0	0	0
Renovation	111,151	105,579	0
Major Rehab	0	0	0
Conversion	0	0	0
Subtotal	111,151	105,579	0

Table 31 – ESG Expenditures for Emergency Shelter

11d. Other Grant Expenditures

	Dollar Amount of Expenditures in Program Year		
	2013	2014	2015
HMIS	1,479	8,956	0
Administration	0	5,000	0
Street Outreach	0	150,000	0

Table 32 - Other Grant Expenditures

11e. Total ESG Grant Funds

Total ESG Funds Expended	2013	2014	2015
492,007	148,467	343,540	0

Table 33 - Total ESG Funds Expended

11f. Match Source

	2013	2014	2015
Other Non-ESG HUD Funds	0	0	0
Other Federal Funds	0	0	0
State Government	0	0	0
Local Government	0	0	149,743
Private Funds	0	0	0
Other	0	0	0
Fees	0	0	0
Program Income	0	0	0
Total Match Amount	0	0	149,743

Table 34 - Other Funds Expended on Eligible ESG Activities

11g. Total

Total Amount of Funds Expended on ESG Activities	2013	2014	2015
641,750	148,467	343,540	149,743

Table 35 - Total Amount of Funds Expended on ESG Activities

Attachment

HOPWA 2015 CAPER



Housing Opportunities for Persons with AIDS (HOPWA) Program

Consolidated Annual Performance and Evaluation Report (CAPER) Measuring Performance Outcomes

Revised 1/22/15

OMB Number 2506-0133 (Expiration Date: 12/31/2017)

The CAPER report for HOPWA formula grantees provides annual information on program accomplishments that supports program evaluation and the ability to measure program beneficiary outcomes as related to: maintain housing stability; prevent homelessness; and improve access to care and support. This information is also covered under the Consolidated Plan Management Process (CPMP) report and includes Narrative Responses and Performance Charts required under the Consolidated Planning regulations. The public reporting burden for the collection of information is estimated to average 42 hours per annual response, or less if an automated data collection and retrieval system is in use, along with 60 hours for record keeping, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Grantees are required to report on the activities undertaken only, thus there may be components of these reporting requirements that may not be applicable. This agency may not conduct or sponsor, and a person is not required to respond to a collection of information unless that collection displays a valid OMB control number.

Previous editions are obsolete

Form HUD-40110-D (Expiration Date: 10/31/2017)

Overview. The Consolidated Annual Performance and Evaluation Report (CAPER) provides annual performance reporting on client outputs and outcomes that enables an assessment of grantee performance in achieving the housing stability outcome measure. The CAPER, in conjunction with the Integrated Disbursement Information System (IDIS), fulfills statutory and regulatory program reporting requirements and provides the grantee and HUD with the necessary information to assess the overall program performance and accomplishments against planned goals and objectives.

HOPWA formula grantees are required to submit a CAPER, and complete annual performance information for all activities undertaken during each program year in the IDIS, demonstrating coordination with other Consolidated Plan resources. HUD uses the CAPER and IDIS data to obtain essential information on grant activities, project sponsors, Subrecipient organizations, housing sites, units and households, and beneficiaries (which includes racial and ethnic data on program participants). The Consolidated Plan Management Process tool (CPMP) provides an optional tool to integrate the reporting of HOPWA specific activities with other planning and reporting of Consolidated Plan activities.

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- A. Information on Individuals, Beneficiaries and Households Receiving HOPWA Housing Subsidy Assistance (TBRA, STRMU, PHP, Facility-Based Units, Master Leased Units ONLY)
- B. Facility-Based Housing Assistance

Continued Use Periods. Grantees that received HOPWA funding for new construction, acquisition, or substantial rehabilitations are required to operate their facilities for HOPWA-eligible beneficiaries for a ten (10) years period. If no further HOPWA funds are used to support the facility, in place of completing Section 7B of the CAPER, the grantee must submit an Annual Certification of Continued Project Operation throughout the required use periods. This certification is included in Part 6 in CAPER. The required use period is three (3) years if the rehabilitation is non-substantial.

In connection with the development of the Department's standards for Homeless Management Information System (HMIS), universal data elements are being collected for clients of HOPWA-funded homeless assistance projects. These project sponsor/subrecipient records would include: Name, Social Security Number, Date of Birth, Ethnicity and Race, Gender, Veteran Status, Disabling Conditions, Residence Prior to Program Entry, Zip Code of Last Permanent Address, Housing Status, Program Entry Date, Program Exit Date, Personal Identification Number, and Household Identification Number. These are intended to match the elements under HMIS. The HOPWA program-level data elements include: Income and Sources, Non-Cash Benefits, HIV/AIDS Status, Services Provided, and Housing Status or Destination at the end of the operating year. Other suggested but optional elements are: Physical Disability, Developmental Disability, Chronic Health Condition, Mental Health, Substance Abuse, Domestic Violence, Date of Contact, Date of Engagement, Financial

Assistance, Fostering Re-education & Stabilization Services, Employment, Education, General Health Status, Pregnancy Status, Reentry Into Learning, Veteran's Information, and Children's Education. Other HOPWA projects sponsors may also benefit from collecting these data elements.

Final Assembly of Report. After the entire report is assembled, please number each page sequentially.

Filing Requirements. Within 90 days of the completion of each program year, grantees must submit their completed CAPER to the CPD Director in the grantee's State or Local HUD Field Office, and to the HOPWA Program Office, at HOPWA@hud.gov. Electronic submission to HOPWA Program office is preferred; however, if electronic submission is not possible, hard copies can be mailed to: Office of HIV/AIDS Housing, Room 7242, U.S. Department of Housing and Urban Development, 451 Seventh Street, SW, Washington, D.C.

Record Keeping. Names and other individual information must be kept confidential, as required by 24 CFR 574.440. However, HUD reserves the right to review the information used to complete this report for grants management oversight purposes, except for recording any names and other identifying information. In the case that HUD must review client level data, no client names or identifying information will be retained or recorded. Information is reported in aggregate to HUD without personal identification. Do not submit client or personal information in data systems to HUD.

Definitions

Adjustment for Duplication: Enables the calculation of unduplicated output totals by accounting for the total number of households or units that received more than one type of HOPWA assistance in a given service category such as HOPWA Subsidy Assistance or Supportive Services. For example, if a client household received both TBRA and STRMU during the operating year, report that household in the category of HOPWA Housing Subsidy Assistance in Part 3, Chart 1, Column [1b] in the following manner:

HOPWA Housing Subsidy Assistance		[1] Outputs: Number of Households
1.	Tenant-Based Rental Assistance	1
2a.	Permanent Housing Facilities: Received Operating Subsidies/Leased units	
2b.	Transitional/Short-term Facilities: Received Operating Subsidies	
3a.	Permanent Housing Facilities: Capital Development Projects placed in service during the operating year	
3b.	Transitional/Short-term Facilities: Capital Development Projects placed in service during the operating year	
4.	Short-term Rent, Mortgage, and Utility Assistance	1
5.	Adjustment for duplication (subtract)	1
6.	TOTAL Housing Subsidy Assistance (Sum of Rows 1-4 minus Row 5)	1

Administrative Costs: Costs for general management, oversight, coordination, evaluation, and reporting. By statute, grantee administrative costs are limited to 3% of total grant award, to be expended over the life of the grant. Project sponsor administrative costs are limited to 7% of the portion of the grant amount they receive.

Beneficiary(ies): All members of a household who received HOPWA assistance during the operating year including the one individual who qualified the household for HOPWA assistance as well as any other members of the household (with or without HIV) who benefited from the assistance.

Central Contractor Registration (CCR): The primary registrant database for the U.S. Federal Government. CCR collects, validates, stores, and disseminates data in support of agency acquisition missions, including Federal agency contract and assistance awards. Both current and potential federal government registrants (grantees) are required to register in CCR in order to be awarded contracts by the federal government. Registrants must update or renew their registration at least once per year to maintain an active status. Although recipients of direct federal contracts and grant awards have been required to be registered with CCR since 2003, this requirement is now being extended to indirect recipients of federal funds with the passage of ARRA (American Recovery and Reinvestment Act). For ARRA and FFATA (Federal Funding Accountability and Transparency Act) federal regulations, all grantees and sub-grantees or subcontractors receiving federal grant awards or contracts must have a DUNS (Data Universal Numbering System) Number.

Chronically Homeless Person: An individual or family who: (i) is homeless and lives or resides individual or family who: (i) is homeless and lives or resides in a place not meant for human habitation, a safe haven, or in an emergency shelter, (ii) has been homeless and living or residing in a place not meant for human habitation, a safe haven, or in an emergency shelter continuously for at least 1 year or on at least 4 separate occasions in the last 3 years; and (iii) has an adult head of household (or a minor head of household if no adult is present in the household) with a diagnosable substance use disorder, serious mental illness, developmental disability (as defined in section 102 of the Developmental Disabilities Assistance and Bill of Rights Act of 2000 (42 U.S.C. 15002)), post-traumatic stress disorder, cognitive impairments resulting from a brain injury, or chronic physical illness or disability, including the co-occurrence of 2 or more of those conditions. Additionally, the statutory definition includes as chronically homeless a person who currently lives or resides in an institutional care facility, including a jail, substance abuse or mental health treatment facility, hospital or other similar facility, and has resided there for fewer than 90 days if such person and the other criteria for homeless prior to entering that facility. (See 42 U.S.C. 11360(2)) This does not include doubled-up or overcrowding situations.

Disabling Condition: Evidencing a diagnosable substance use disorder, serious mental illness, developmental disability, chronic physical illness, or disability, including the co-occurrence of two or more of these conditions. In addition, a disabling condition may limit an individual's ability to work or perform one or more activities of daily living. An HIV/AIDS diagnosis is considered a disabling condition.

Facility-Based Housing Assistance: All eligible HOPWA Housing expenditures for or associated with supporting facilities including community residences, SRD dwellings, short-term facilities, project-based rental units, master-leased units, and other housing facilities approved by HUD.

Faith-Based Organization: Religious organizations of three types: (1) congregations; (2) national networks, which include national denominations, their social service arms (for example, Catholic Charities, Lutheran Social Services), and networks of related organizations (such as YMCA and YWCA); and (3) freestanding religious organizations, which are incorporated separately from congregations and national networks.

Grassroots Organization: An organization headquartered in the local community where it provides services; has a social services budget of \$300,000 or less annually, and six or fewer full-time equivalent employees. Local affiliates of national organizations are not considered

"grassroots."

HOPWA Eligible Individual: The one (1) low-income person with HIV/AIDS who qualifies a household for HOPWA assistance. This person may be considered "Head of Household." When the CAPER asks for information on eligible individuals, report on this individual person only. Where there is more than one person with HIV/AIDS in the household, the additional PWH/A(s), would be considered a beneficiary(s).

HOPWA Housing Information Services: Services dedicated to helping persons living with HIV/AIDS and their families to identify, locate, and acquire housing. This may also include fair housing counseling for eligible persons who may encounter discrimination based on race, color, religion, sex, age, national origin, familial status, or handicap/disability.

HOPWA Housing Subsidy Assistance Total: The unduplicated number of households receiving housing subsidies (TBRA, SFHML, Permanent Housing Placement services and Master Leasing) and/or residing in units of facilities dedicated to persons living with HIV/AIDS and their families and supported with HOPWA funds during the operating year.

Household: A single individual or a family composed of two or more persons for which household incomes are used to determine eligibility and for calculation of the resident rent payment. The term is used for collecting data on changes in income, changes in access to services, receipt of housing information services, and outcomes on achieving housing stability. Live-In Aides (see definition for Live-In Aide) and non-beneficiaries (e.g. a shared housing arrangement with a roommate) who resided in the unit are not reported on in the CAPER.

Housing Stability: The degree to which the HOPWA project assisted beneficiaries to remain in stable housing during the operating year. See *Part 5: Determining Housing Stability Outcomes* for definitions of stable and unstable housing situations.

In-kind Leveraged Resources: These involve additional types of support provided to assist HOPWA beneficiaries such as volunteer services, materials, use of equipment and building space. The actual value of the support can be the contribution of professional services, based on customary rates for this specialized support, or actual costs contributed from other leveraged resources. In determining a rate for the contribution of volunteer time and services, use the rate established in HUD notices, such as the rate of ten dollars per hour. The value of any donated material, equipment, building, or lease should be based on the fair market value at time of donation. Related documentation can be found: recent bills of sales, advertised prices, appraisals, or other information for comparable property similarly situated.

Leveraged Funds: The amount of funds expended during the operating year from non-HOPWA federal, state, local, and private sources by grantees or sponsors in dedicating assistance to this client population. Leveraged funds or other assistance are used directly in or in support of HOPWA program delivery.

Live-In Aide: A person who resides with the HOPWA eligible individual and who meets the following criteria: (1) is essential to the care and well-being of the person; (2) is not obligated for the support of the person; and (3) would not be living in the unit except to provide the necessary supportive services. See the *Code of Federal Regulations Title 24, Part 5.403* and the *HOPWA Grantee Oversight Resource Guide* for additional references.

Master Leasing: Applies to a nonprofit or public agency that leases units of housing (scattered-sites or entire buildings) from a landlord, and subleases the units to homeless or low-income tenants. By assuming the tenancy burden, the agency facilitates housing of clients who may not be able to maintain a lease on their own due to poor credit, evictions, or lack of sufficient income.

Operating Costs: Applies to facility-based housing only, for facilities that are currently open. Operating costs can include day-to-day housing

function and operation costs like utilities, maintenance, equipment, insurance, security, furnishings, supplies and salary for staff costs directly related to the housing project but not staff costs for delivering services.

Outcome: The degree to which the HOPWA assisted household has been enabled to establish or maintain a stable living environment in housing that is safe, decent, and sanitary, (per the regulations at 24 CFR 574.310(e)) and to reduce the risks of homelessness, and improve access to HIV treatment and other health care and support.

Output: The number of units of housing or households that receive HOPWA assistance during the operating year.

Permanent Housing Placement: A supportive housing service that helps establish the household in the housing unit, including but not limited to reasonable cash-for-security deposits not to exceed two months of rent costs.

Program Income: Gross income directly generated from the use of HOPWA funds, including repayments. See grant administration requirements on program income for state and local governments at 24 CFR 85.25, or for non-profits at 24 CFR 84.24.

Project-Based Rental Assistance (PBRA): A rental subsidy program that is tied to specific facilities or units owned or controlled by a project sponsor or Subrecipient. Assistance is tied directly to the properties and is not portable or transferable.

Project Sponsor Organizations: Any nonprofit organization or governmental housing agency that receives funds under a contract with the grantee to provide eligible housing and other support services or administrative services as defined in 24 CFR 574.300. Project Sponsor organizations are required to provide performance data on households served and funds expended. Funding flows to a project sponsor as follows:

HUD Funding → Grantee → Project Sponsor

Short-Term Rent, Mortgage, and Utility (STRMUL) Assistance: A time-limited, housing subsidy assistance designed to prevent homelessness and increase housing stability. Grantees may provide assistance for up to 21 weeks in any 52 week period. The amount of assistance varies per client depending on funds available, tenant need and program guidelines.

Stewardship Units: Units developed with HOPWA, where HOPWA funds were used for acquisition, new construction and rehabilitation that no longer receive operating subsidies from HOPWA. Report information for the units is subject to the three-year use agreement if rehabilitation is non-substantial and to the ten-year use agreement if rehabilitation is substantial.

Subrecipient Organization: Any organization that receives funds from a project sponsor to provide eligible housing and other support services and/or administrative services as defined in 24 CFR 574.300. If a subrecipient organization provides housing and/or other supportive services directly to clients, the subrecipient organization must provide performance data on households served and funds expended. Funding flows to subrecipients as follows:

HUD Funding → Grantee → Project Sponsor → Subrecipient

Tenant-Based Rental Assistance (TBRA): TBRA is a rental subsidy program similar to the Housing Choice Voucher program that grantees can provide to help low-income households access affordable housing. The TBRA voucher is not tied to a specific unit, so tenants may move to a different unit without losing their assistance, subject to individual program rules. The subsidy amount is determined in part based on household income and rental costs associated with the tenant's lease.

Transgender: Transgender is defined as a person who identifies with, or presents as, a gender that is different from his/her gender at birth.

Veteran: A veteran is someone who has served on active duty in the Armed Forces of the United States. This does not include inactive military reserves or the National Guard unless the person was called up to active duty.

**Housing Opportunities for Person with AIDS (HOPWA)
Consolidated Annual Performance and Evaluation Report (CAPER)
Measuring Performance Outputs and Outcomes**

OMB Number 2506-0133 (Expiration Date: 10/31/2017)

Part I: Grantee Executive Summary

As applicable, complete the charts below to provide more detailed information about the agencies and organizations responsible for the administration and implementation of the HOPWA program. Chart 1 requests general Grantee Information and Chart 2 is to be completed for each organization selected or designated as a project sponsor, as defined by CFR 574.3. In Chart 3, indicate each subrecipient organization with a contract/agreement of \$25,000 or greater that assists grantees or project sponsors carrying out their administrative or evaluation activities. In Chart 4, indicate each subrecipient organization with a contract/agreement to provide HOPWA-funded services to client households. These elements address requirements in the Federal Funding and Accountability and Transparency Act of 2006 (Public Law 109-282).

Note: Please see the definition section for distinctions between project sponsor and subrecipient.

Note: If any information does not apply to your organization, please enter N/A. Do not leave any section blank.

1. Grantee Information

HUD Grant Number EM0115FC09		Operating Year for this report From (mm/dd/yy) 06/01/15 To (mm/dd/yy) 05/31/15	
Grantee Name City of Minneapolis			
Business Address		301M City Hall 350 S. 5 th St	
City, County, State, Zip		Minneapolis	Hennepin MN 55415
Employer Identification Number (EIN) or Tax Identification Number (TIN)		41-6005375	
DUN & Bradstreet Number (DUNS):		066530411	Central Contractor Registration (CCR): Is the grantee's CCR status currently active? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No If yes, provide CCR Number:
Congressional District of Grantee's Business Address		MN-005	
*Congressional District of Primary Service Area(s)		N/A	
*City(ies) and County(ies) of Primary Service Area(s)		Cities: N/A	Counties: N/A
Organization's Website Address http://www.minneapolismn.gov/		Is there a waiting list(s) for HOPWA Housing Subsidy Assistance Services in the Grantee service Area? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If yes, explain in the narrative section what services maintain a waiting list and how this list is administered.	

* Service delivery area information only needed for program activities being directly carried out by the grantee.

2.0 Project Sponsor Information (1 of 2)

Please complete Chart 2 for each organization designated or selected to serve as a project sponsor, as defined by CFR 574.3. Use this section to report on organizations involved in the direct delivery of services for client households. These elements address requirements in the Federal Financial Accountability and Transparency Act of 2006 (Public Law 109-282).

Note: Please see the definitions for distinctions between project sponsor and subrecipient.

Note: If any information does not apply to your organization, please enter N/A.

Project Sponsor Agency Name Minnesota AIDS Project		Parent Company Name, if applicable N/A	
Name and Title of Contact at Project Sponsor Agency		Gayle Canino, Associate Director of Social Services	
Email Address		gcanino@mnaidproject.org	
Business Address		2577 Territorial Road	
City, County, State, Zip		St. Paul, Ramsey County, MN 55114-1500	
Phone Number (with area code)		612-373-2414	
Employer Identification Number (EIN) or Tax Identification Number (TIN)		41-153-1746	Fax Number (with area code) 612-341-5827
DLN & Bradstreet Number (DUNs)		154461745	
Congressional District of Project Sponsor's Business Address		MN-001	
Congressional District(s) of Primary Service Area(s)		MN-002, MN-003, MN-004, MN-005, MN-006, WI-003, WI-007	
City(ies) and County(ies) of Primary Service Area(s)		Cities: Anoka, Columbia Heights, Coon Rapids, Fridley, Ramsey, Apple Valley, Buusville, Eagan, Inver Grove Heights, South St. Paul, Bloomington, Brooklyn Park, Hopkins, Maple Grove, Minneapolis, Stillfield, Robinsdale, St. Louis Park, Maplewood, New Brighton, St. Paul, White Bear Lake, Prior Lake, Hudson, Maltwood Counties: Minnesota Counties: Sherburne, Isanti, Chisago, Wright, Anoka, Hennepin, Ramsey, Washington, Dakota, Soto, Carver, Wisconsin Counties: St. Croix and Pierce	
Total HOPWA contract amount for this Organization for the operating year			
Organization's Website Address www.mnaidproject.org			
Is the sponsor a nonprofit organization? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		Does your organization maintain a waiting list? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
Please check if yes and a faith-based organization <input type="checkbox"/>		If yes, explain in the narrative section how this list is administered.	
Please check if yes and a grassroots organization <input type="checkbox"/>			

2.1 Project Sponsor Information (2 of 2)

Please complete Chart 2 for each organization designated or selected to serve as a project sponsor, as defined by CFR 574.3. Use this section to report on organizations involved in the direct delivery of services for client households. These elements address requirements in the Federal Financial Accountability and Transparency Act of 2006 (Public Law 109-282).

Note: Please see the definitions for distinctions between project sponsor and subrecipient.

Note: If any information does not apply to your organization, please enter N/A.

Project Sponsor Agency Name Metropolitan Council Housing and Redevelopment Authority		Parent Company Name, if applicable State of Minnesota	
Name and Title of Contact at Project Sponsor Agency Mary Docher, Program Operations Supervisor			
Email Address Mary.docher@metc.state.mn.us			
Business Address 390 North Robert St			
City, County, State, Zip St. Paul, Ramsey, Minnesota 55101			
Phone Number (with area code) 651-602-1445			
Employer Identification Number (EIN) or Tax Identification Number (TIN) 41-6008898		Fax Number (with area code) 651-602-1313	
DUNS & Bradstreet Number (DUNs): 030018576			
Congressional District of Project Sponsor's Business Address MN-004			
Congressional District(s) of Primary Service Area(s) MN-002; MN-003; MN-004; MN-005; MN-006			
City(ies) and County(ies) of Primary Service Area(s) Anoka County: Anoka, Columbia Heights, Coon Rapids, Fridley, Ramsey, Carver; Chaska, Carver, Chanhassen, Waconia Dakota County: Eagan, Burnsville, Apple Valley, Hastings, Lakeville, Rosemount, Farmington, Inver Grove Heights, West St Paul, South St Paul, Mendota Heights Hennepin County: Bloomington, Brooklyn Park, Brooklyn Center, Crystal, Hopkins, Maple Grove, Minneapolis, Richfield, Robbinsdale, St. Louis Park, Edina, Eden Prairie, Plymouth, Golden Valley, New Hope, Minnetonka Ramsey County: Maplewood, New Brighton, St. Paul, White Bear Lake, Roseville, Arden Hills, Vadnais Heights, Falcon Heights, North Oaks, Shoreview. Scott County: Prior Lake, Shakopee, Savage, Belle Plaine, Jordan, New Prague Washington County: Mahtomedi, Stillwater, Woodbury, Cottage Grove, Lake Elmo, Forest Lake, Oakdale, Mahtomedi, Hugo		Counties: Anoka, Hennepin, Ramsey, Washington, Dakota, Scott and Carver	
Total HOPIWA contract amount for this Organization for the operating year \$503,613.00			
Organization's Website Address Metrocouncil.org			
Is the sponsor a nonprofit organization? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <i>Please check if yes and a faith-based organization.</i> <input type="checkbox"/> <i>Please check if yes and a grassroots organization.</i> <input type="checkbox"/>		Does your organization maintain a waiting list? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If yes, explain in the narrative section how this list is administered.	

3. Administrative Subrecipient Information

Use Chart 3 to provide the following information for each subrecipient with a contract/agreement of \$25,000 or greater that assists project sponsors to carry out their administrative services but no services directly to client households. Agreements include: grants, subgrants, loans, awards, cooperative agreements, and other forms of financial assistance; and contracts, subcontracts, purchase orders, task orders, and delivery orders. (Organizations listed may have contracts with project sponsors) These elements address requirements in the Federal Funding and Accountability and Transparency Act of 2006 (Public Law 109-282).

Note: Please see the definitions for distinctions between project sponsor and subrecipient.

Note: If any information does not apply to your organization, please enter N/A.

Subrecipient Name		Parent Company Name, if applicable	
Name and Title of Contact at Subrecipient			
Email Address			
Business Address			
City, State, Zip, County			
Phone Number (with area code)		Fax Number (include area code)	
Employer Identification Number (EIN) or Tax Identification Number (TIN)			
DUNS & Braudstreet Number (DUNs):			
North American Industry Classification System (NAICS) Code			
Congressional District of Subrecipient's Business Address			
Congressional District of Primary Service Area			
City (ies) and County (ies) of Primary Service Area(s)		Cities:	Counties:
Total HOPWA Subcontract Amount of this Organization for the operating year			

4. Program Subrecipient Information

Complete the following information for each subrecipient organization providing HOPWA-funded services to client households. These organizations would hold a contract/agreement with a project sponsor(s) to provide these services. For example, a subrecipient organization may receive funds from a project sponsor to provide nutritional services for clients residing within a HOPWA facility-based housing program. Please note that subrecipients who work directly with client households must provide performance data for the grantee to include in Parts 2-7 of the CAPER.

Note: Please see the definition of a subrecipient for more information.

Note: Types of contracts/agreements may include: grants, sub-grants, loans, awards, cooperative agreements, and other forms of financial assistance; and contracts, subcontracts, purchase orders, task orders, and delivery orders.

Note: If any information is not applicable to the organization, please report N/A in the appropriate box. Do not leave boxes blank.

Sub-recipient Name		Parent Company Name, if applicable	
Name and Title of Contact at Contractor/ Sub-contractor Agency			
Email Address			
Business Address			
City, County, State, Zip			
Phone Number (include area code)		Fax Number (include area code)	
Employer Identification Number (EIN) or Tax Identification Number (TIN)			
DUN & Bradstreet Number (DUNS)			
North American Industry Classification System (NAICS) Code			
Congressional District of the Sub-recipient's Business Address			
Congressional District(s) of Primary Service Area			
City(ies) and County(ies) of Primary Service Area		Cities:	Counties:
Total HOPWA Subcontract Amount of this Organization for the operating year			

5. Grantee Narrative and Performance Assessment

a. Grantee and Community Overview

Provide a one to three page narrative summarizing major achievements and highlights that were proposed and completed during the program year. Include a brief description of the grant organization, area of service, the name(s) of the program contact(s), and an overview of the range/type of housing activities provided. This overview may be used for public information, including posting on HUD's website. *Note: Text fields are expandable.*

The City's HOPWA resources are designated for the provision of tenant-based rental assistance, client advocacy and case management services, based on the needs identified by responses to its annual request for proposal (RFP) and by the recommendations from the MN HIV Housing Coalition. The Metropolitan Council HRA and Minnesota AIDS Project sponsor these activities. Periodically, HOPWA entitlement funding is provided for capital projects depending on responses to the City's RFP for HOPWA funding. Since 1996, approximately \$2.2 million of Minneapolis HOPWA funding has been leveraged throughout this metropolitan area for capital projects including new construction and rehabilitation of housing for persons living with HIV/AIDS at risk of homelessness. In program year 2015, 151 persons with HIV/AIDS were supported with HOPWA grant funding; it was estimated that 153 households would receive housing assistance during the 2015 program year. Additionally, 34 facility-based stewardship units were supported through their use agreements while an additional 2 units came on line with the opening of Clare Terrace in Robbinsdale, MN.

The City of Minneapolis works with program sponsors, funding partners and the Minnesota HIV/AIDS Housing Coalition to identify and develop its funding plans and priorities for HOPWA expenditures. The need to obtain or keep affordable housing is great, and the programming design for HOPWA assistance helps meet the housing needs of families and persons with HIV/AIDS. Stable housing situations are often second only to health care in importance for this population – and it is widely asserted that “housing is healthcare.” The Minnesota AIDS Project (MAP) and Metropolitan Council Housing and Redevelopment Authority (Metro HRA) coordinate to offer continued rental assistance to persons with HIV/AIDS when they expire their time limits in MAP's transitional housing program.

b. Annual Performance under the Action Plan

Provide a narrative addressing each of the following four items:

1. Outputs Reported. Describe significant accomplishments or challenges in achieving the number of housing units supported and the number households assisted with HOPWA funds during this operating year compared to plans for this assistance, as approved in the Consolidated Plan/Action Plan. Describe how HOPWA funds were distributed during your program year among different categories of housing and geographic areas to address needs throughout the grant service area, consistent with approved plans.

From June 1, 2015 to May 31, 2016 THP provided services to 82 households with an HIV positive person utilizing HOPWA grant funds. THP clients enter the program through referrals from Medical HIV Case Managers. The goal of THP is to optimize client's ability to obtain and maintain decent, affordable housing which in turn will be a key factor in optimizing their health.

THP is not a facility, but a scattered site housing program. So clients find housing in the community where they want to live. Housing Specialist work with their clients to implement permanent housing solutions; this may be using the time in THP to get education/training for a future job, taking time to look for work or applying for social security and applying for as many subsidized housing options as possible.

Wait List; MAP's Housing Program currently has a wait list. As stated above all clients are referred to the program through their Medical HIV Case Manager. HIV Case Managers turn in a THP application, release to exchange information, clients HIV/AIDS medical verification and income status. The housing staff reviews the applications and all other supporting documents. It is then determined if clients meet the following criteria, income eligibility, HIV/AIDS diagnosis and are either at risk of being homeless or arc

homeless. When clients meet the above criteria they are placed on the wait list. When an opening is available the client is assigned to a Housing Specialist who works with the client on finding stable housing.

2. Outcomes Assessed. Assess your program's success in enabling HOPWA beneficiaries to establish and/or better maintain a stable living environment in housing that is safe, decent, and sanitary, and improve access to care. Compare current year results to baseline results for clients. Describe how program activities/projects contributed to meeting stated goals. If program did not achieve expected targets, please describe how your program plans to address challenges in program implementation and the steps currently being taken to achieve goals in next operating year. If your program exceeded program targets, please describe strategies the program utilized and how those contributed to program successes.

Out of the 82 clients in the program 76 clients maintained contact with their HIV Medical Case Manager, 79 clients maintained contact with their HIV Physician and 82 clients maintained their medical insurance. We continue to coordinate services with clients Medical HIV Case Managers so that appropriate interventions take place to assist clients in maintaining housing and meeting their health care goals.

In 2015 clients completed an evaluation at the time of discharge from the program. 85 % of these clients stated that they were satisfied with their current housing, felt confident that they could work problems out with their landlords and maintain current housing.

Client comments included but not limited to a client stating that the Housing Specialist helped them find housing and understand how to keep housing. One client stated that my Housing Specialist helped me secure permanent housing and now I am able to take care of my health needs.

Metro HRA administers the Housing Assistance Program (HAP) through HOPWA funds.

The HAP had 8 households exit the program, 2 were transferred to the Housing Choice Voucher program, 2 voluntarily left HAP because they had other housing, 2 had an expired certificate, 1 was paying full rent, and 1 was terminated for refusing to cooperate with the recertification process and did not request an informal hearing to dispute the termination.

Metro HRA initially established and continues to maintain the following general objectives for HAP:

1. All participants will choose the location and type of housing that best meets their needs and will have the opportunity to maintain housing stability.

Because of the coordination with Map's TTP, households are not required to change housing location when shifting from one program to the other, thus maintaining housing stability.

2. All participants will pay no more than 30% of their adjusted gross income as rent.

Because participant rent increases only to the extent household income increases, participants are shielded from steep annual rent increases.

3. 70% of participants will maintain housing for one year or more.

At this time, 86% of current participants have been on HAP for one year or more. Of 56 participants who were on the program at the beginning of the year, 48 (86%) remain assisted. We have also added 13 new participants to this program during this grant period

4. At least 35% of participants will be people of color.

Presently 77% of participants are people of color and 43% are families with children.

5. All participants in need of services will be referred to appropriate social service agencies, including providers of mental health, substance abuse and or HIV/AIDS case management.

The HRA maintains good working relationships with mental health and HIV/AIDS service providers in order to provide necessary referrals. The HRA has a partnership with the Minnesota/AIDS Project's AIDS-LINE to provide referrals as needed. To determine if participants are in need of services, the HRA surveys participants at annual recertification.

6. A high level of self-sufficiency, shown by adults' ability to work or go to school, is not always possible with the HOPWA program given the potential for declines in the health of persons with AIDS/HIV. The HOPWA Program does not have a self-sufficiency requirement, but services are referred

to the participants through the AIDS-line for anyone who indicates a need to locate income sources, assistance to further their education, assistance in obtaining employment.

Presently, 23% of total participants have an adult(s) in the household that are employed. All participants are encouraged and guided through MAP when on the Temporary Housing Program (THP) to apply for any programs or income sources they qualify for, such as SSI, Food Support, etc. By working with participants to obtain all benefits they qualify for, we are able to keep Housing Assistance Payments (HAP) at a minimum and assist more households than the project funding identifies.

3. Coordination. Report on program coordination with other mainstream housing and supportive services resources, including the use of committed leveraging from other public and private sources that helped to address needs for eligible persons identified in the Consolidated Plan/Strategic Plan.

We have committed leverage funding from Hennepin County that supports the Transitional Housing Program. This funding supports administrative and support positions. MAP is able to provide comprehensive services to the Transitional Housing program clients through the combination of HOPWA and Hennepin County funding sources.

MAP's Transitional Housing Program coordinates with mainstream housing and supportive services and also HIV specific services. We coordinate care with all the metro HIV Medical Case Managers in the Twin Cities. All clients in THP are required to have a HIV Case Manager. While a client is in the Transitional Housing Program the assigned Housing Specialist work with clients on applying for any section 8 units that are available and applying for any public housing lists. We have an established MOU with Metro HIRA and coordinate client's long term housing solutions with them whenever possible.

Social and medical care services funded through the Ryan White Care Act are available to THP clients and include numerous HIV specific educational and supportive services such as medication adherence assistance, support groups, on-site meals, food delivery and food shelves, mental health services, chemical dependency services and more, all sensitive to the needs of persons living with HIV. MAP services available to THP clients include Benefits Counseling, Information and Referral through the MAP AIDSLine, Positive Link – an education and self-advocacy supportive program, Legal Services, Transportation, and Every Penny Counts Emergency Assistance. When a Housing Specialist recognizes the need for a particular wrap-around service for a client they alert the HIV case manager who coordinates this care and the Housing Specialist connects clients to housing and basic need specific resources.

MAP THP continually works to partner with landlords, supportive housing projects and other providers who can help enhance the housing opportunities for the clients we serve. Housing Specialists also refer clients to other support housing services such as Bridging and the Salvation Army for furniture, The Aliveness Project for services such as on-site meals, food shelf and complementary care, and other community organizations for telephone, economic and energy assistance as well as other community food shelves.

The HIV service system is extensive and comprehensive and Housing Specialists and HIV Medical Case Managers successfully coordinate services both within that system as well as the corrections, mental health/chemical dependency and child welfare system to assist clients in meeting their needs

4. Technical Assistance. Describe any program technical assistance needs and how they would benefit program beneficiaries.

No technical assistance identified for the reporting period.

c. Barriers and Trends Overview

Provide a narrative addressing items 1 through 3. Explain how barriers and trends affected your program's ability to achieve the objectives and outcomes discussed in the previous section.

1. Describe any barriers (including regulatory and non-regulatory) encountered in the administration or implementation of the HOPWA program, how they affected your program's ability to achieve the objectives and outcomes discussed, and actions taken in response to barriers, and recommendations for program improvement. Provide an explanation for each barrier selected.

MAP THP - Section 8 wait list rarely have any openings.

We continue to have clients who have extensive legal histories. This can hinder a client's ability to obtain housing. We foster relationships with landlords in the community, which assists us in finding housing for those clients with extensive legal histories.

Continue to allocate HOPWA funds for tenant-based housing subsidies. These subsidies play a key role in helping a large number of HIV positive persons obtain and ultimately maintain stable housing. The housing first approach helps these households to establish themselves and get a stable place to live. Since these funds offer flexibility in their use, clients can choose to live in neighborhoods, which work for them and accommodate their needs, such as medical, transportation, school, work and more. Once stabilized in an apartment Housing Specialist work and plan for more permanent solutions, HOPWA funds buy tenants time to work on life goals and gain stability so that they can receive needed medical care, apply for work or social security and get assistance with many more services needed to establish permanent housing.

Metro HRA HAP Barriers:

- Wait time for permanent subsidy. The Housing Choice Voucher waiting list is currently closed. The list was open in February 2015, the first time since May 2007. When the list was opened, 36,000 applications were received and only 2,000 applications were placed on the list through a random lottery. Because of the limited resources for permanent subsidies through the Housing Choice Voucher program, certificate turnover on HAP is low.
- Placement of clients with legal and criminal histories. In a housing market with less than a 2% vacancy rate, finding housing for clients with blemishes on their record becomes more difficult. We foster relationships with landlords in the community, which assists us in finding housing for those clients with extensive legal histories.

Recommendation:

- Continue to allocate HOPWA funds for tenant-based housing subsidies. These subsidies play a key role in helping a large number of HIV positive persons obtain and ultimately maintain stable housing. The Housing First model assists households to establish themselves and secure stable housing. The HOPWA funds offer flexibility in their use, participants can choose to live in neighborhoods that accommodate their needs, such as medical, transportation, school, work and more. Once stabilized in an apartment, staff work to plan for more permanent solution. Having a stable housing resource provides the time to work on life goals and gain stability. Participants are able to receive needed medical care,

<input type="checkbox"/> HOPWA/HUD Regulations	<input type="checkbox"/> Planning	<input type="checkbox"/> Housing Availability	<input type="checkbox"/> Rent Determination and Fair Market Rents
<input type="checkbox"/> Discrimination/Confidentiality	<input type="checkbox"/> Multiple Diagnoses	<input type="checkbox"/> Eligibility	<input type="checkbox"/> Technical Assistance or Training
<input type="checkbox"/> Supportive Services	<input type="checkbox"/> Credit History	<input type="checkbox"/> Rental History	<input type="checkbox"/> Criminal Justice History
<input type="checkbox"/> Housing Affordability	<input type="checkbox"/> Geography/Rural Access	<input type="checkbox"/> Other, please explain further	

apply for social security benefits, obtain employment and receive assistance with many more services needed to establish permanent housing.

- Continue to increase funding for long term subsidies. As stated above the waitlist for the Housing Choice Voucher program is closed and the wait is at least 2-3 years for a voucher. The HAP certificates

allow participants to live in neighborhoods that meet their needs and when they need to move they are able to take the HAP certificate with them.

2. Describe any trends in the community that may affect the way in which the needs of persons living with HIV/AIDS are being addressed, and provide any other information important to the future provision of services to this population.

The rising cost of rent and low vacancy rates are affecting HIV positive low income individuals in finding and maintaining safe affordable rental housing. The need for long term housing certificates-subsidies continues to increase. The Twin Cities region continues to experience a tight housing rental market. The region has a vacancy rate of about 2.7% and rising rents. This tight market makes finding and maintain affordable housing for HIV positive low income individuals incredibly difficult. The need for long term housing certificates-subsidies continues to increase.

3. Identify any evaluations, studies, or other assessments of the HOPWA program that are available to the public.

All clients complete a program evaluation at the time of discharge. These evaluations assist the program in planning for future services. We also complete a six month/year follow-up call to assess if our clients have maintained housing.

d. Unmet Housing Needs: An Assessment of Unmet Housing Needs

In Chart 1, provide an assessment of the number of HOPWA-eligible households that require HOPWA housing subsidy assistance but are not currently served by any HOPWA-funded housing subsidy assistance in this service area.

In Row 1, report the total unmet need of the geographical service area, as reported in *Unmet Needs for Persons with HIV/AIDS*, Chart 1B of the Consolidated or Annual Plan(s), or as reported under HOPWA worksheet in the Needs Workbook of the Consolidated Planning Management Process (CPMP) tool.

Note: Report most current data available, through Consolidated or Annual Plan(s), and account for local housing issues, or changes in HIV/AIDS cases, by using combination of one or more of the sources in Chart 2.

If data is collected on the type of housing that is needed in Rows a. through e., enter the number of HOPWA-eligible households by type of housing subsidy assistance needed. For an approximate breakdown of overall unmet need by type of housing subsidy assistance refer to the Consolidated or Annual Plan (s), CPMP tool or local distribution of funds. Do not include clients who are already receiving HOPWA-funded housing subsidy assistance.

Refer to Chart 2, and check all sources consulted to calculate unmet need. Reference any data from neighboring states' or municipalities' Consolidated Plan or other planning efforts that informed the assessment of Unmet Need in your service area.

Note: In order to ensure that the unmet need assessment for the region is comprehensive, HOPWA formula grantees should include those unmet needs assessed by HOPWA competitive grantees operating within the service area.

1. Planning Estimate of Area's Unmet Needs for HOPWA-Eligible Households

1. Total number of households that have unmet housing subsidy assistance need.	86
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2. Recommended Data Sources for Assessing Unmet Need (check all sources used)

X	= Data as reported in the area Consolidated Plan, e.g. Table IB, CPMF charts, and related narratives
	= Data established by area HIV/AIDS housing planning and coordination efforts, e.g. Continuum of Care
	= Data from client information provided in Homeless Management Information Systems (HMIS)
	= Data from project sponsors or housing providers, including waiting lists for assistance or other assessments on need including those completed by HOPWA competitive grantees operating in the region.
	= Data from prisons or jails on persons being discharged with HIV/AIDS, if mandatory testing is conducted
	= Data from local Ryan White Planning Councils or reported in CARE Act Data Reports, e.g. number of clients with permanent housing
	= Data collected for HIV/AIDS surveillance reporting or other health assessments, e.g. local health department or CDC surveillance data

End of PART 1

PART 2: Sources of Leveraging and Program Income

1. Sources of Leveraging

Report the source(s) of cash or in-kind leveraged federal, state, local or private resources identified in the Consolidated or Annual Plan and used in the delivery of the HOPWA program and the amount of leveraged dollars. In Column [1], identify the type of leveraging. Some common sources of leveraged funds have been provided as a reference point. You may add Rows as necessary to report all sources of leveraged funds. Include Resident Rent payments paid by clients directly to private landlords. Do NOT include rents paid directly to a HOPWA program as this will be reported in the next section. In Column [2] report the amount of leveraged funds expended during the operating year. Use Column [3] to provide some detail about the type of leveraged contribution (e.g., case management services or clothing donations). In Column [4], check the appropriate box to indicate whether the leveraged contribution was a housing subsidy assistance or another form of support.

Note: Be sure to report on the number of households supported with these leveraged funds in Part 3, Chart 1, Column d.

A. Source of Leveraging Chart

[1] Source of Leveraging	[2] Amount of Leveraged Funds	[3] Type of Contribution	[4] Housing Subsidy Assistance or Other Support
Public Funding			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Ryan White-Housing Assistance			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Ryan White-Other			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Housing Choice Voucher Program			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Low Income Housing Tax Credit			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
HOME			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Shelter Plus Care			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Emergency Solutions Grant			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Public: Hennepin County	\$166,500		<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Public:			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Public:			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Public:			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Public:			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Private Funding			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Grants			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
In-kind Resources			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Private:			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Private:			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Funding			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Grantee/Project Sponsor/Subrecipient (Agency) Cash			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Resident Rent Payments by Client to Private Landlord	\$212,339		
TOTAL (Sum of all Rows)			

2. Program Income and Resident Rent Payments

In Section 2, Chart A., report the total amount of program income and resident rent payments directly generated from the use of HOPWA funds, including repayments. Include resident rent payments collected or paid directly to the HOPWA program. Do NOT include payments made directly from a client household to a private landlord.

Note: Please see report directions section for definition of program income. (Additional information on program income is available in the HOPWA Grantee Oversight Resource Guide).

A. Total Amount Program Income and Resident Rent Payment Collected During the Operating Year

Program Income and Resident Rent Payments Collected		Total Amount of Program Income (for this operating year)
1.	Program income (e.g. repayments)	
2.	Resident Rent Payments made directly to HOPWA Program	
3.	Total Program Income and Resident Rent Payments (Sum of Rows 1 and 2)	

B. Program Income and Resident Rent Payments Expended To Assist HOPWA Households

In Chart B, report on the total program income and resident rent payments (as reported above in Chart A) expended during the operating year. Use Row 1 to report Program Income and Resident Rent Payments expended on Housing Subsidy Assistance Programs (i.e., TBRA, STRMII, PHP, Master Leased Units, and Facility-Based Housing). Use Row 2 to report on the Program Income and Resident Rent Payment expended on Supportive Services and other non-direct Housing Costs.

Program Income and Resident Rent Payment Expended on HOPWA programs		Total Amount of Program Income Expended (for this operating year)
1.	Program Income and Resident Rent Payment Expended on Housing Subsidy Assistance costs	
2.	Program Income and Resident Rent Payment Expended on Supportive Services and other non-direct housing costs	
3.	Total Program Income Expended (Sum of Rows 1 and 2)	

End of PART 2

PART 3: Accomplishment Data Planned Goal and Actual Outputs

In Chart 1, enter performance information (goals and actual outputs) for all activities undertaken during the operating year supported with HOPWA funds. Performance is measured by the number of households and units of housing that were supported with HOPWA or other federal, state, local, or private funds for the purposes of providing housing assistance and support to persons living with HIV/AIDS and their families.

Note: The total households assisted with HOPWA funds and reported in PART 3 of the CAPER should be the same as reported in the annual year-end IDIS data, and goals reported should be consistent with the Annual Plan information. Any discrepancies or deviations should be explained in the narrative section of PART 1.

I. HOPWA Performance Planned Goal and Actual Outputs

HOPWA Performance Planned Goal and Actual	1) Output: Households				2) Output: Funding	
	HOPWA Assistance		Leveraged Households		HOPWA Funds	
	a.	b.	c.	d.	e.	f.
	Goal	Actual	Goal	Actual	HOPWA Budget	HOPWA Actual
HOPWA Housing Subsidy Assistance						
1. Tenant-Based Rental Assistance	153	151			937,545	1,032,160
2a. Permanent Housing Facilities: Received Operating Subsidies/Leased Units (Households Served)						
2b. Transitional/Short-term Facilities: Received Operating Subsidies/Leased Units (Households Served)						
3a. Permanent Housing Facilities: Capital Development Projects placed in service during the operating year (Households Served)						
3b. Transitional/Short-term Facilities: Capital Development Projects placed in service during the operating year (Households Served)						
4. Short-Term Rent, Mortgage and Utility Assistance						
5. Permanent Housing Placement Services						
6. Adjustments for duplication (subtract)						
7. Total HOPWA Housing Subsidy Assistance (Columns a. - d. equal the sum of Rows 1-5 minus Row 6; Columns e. and f. equal the sum of Rows 1-5)	153	151			937,545	1,032,160
Housing Development (Construction and Stewardship of facility based housing)						
8. Facility-based units: Capital Development Projects not yet opened (Housing Units)	2	2	34	34	160,000	100,000
9. Stewardship Units subject to 5 or 10 year use agreements	34	34				
10. Total Housing Developed (Sum of Rows 8 & 9)					160,000	100,000
Supportive Services						
11a. Supportive Services provided by project sponsors/subrecipient that also delivered HOPWA housing subsidy assistance						
11b. Supportive Services provided by project sponsors/subrecipient that only provides supportive services						
12. Adjustment for duplication (subtract)						
13. Total Supportive Services (Columns a. - d. equal the sum of Rows 11 a. & b. minus Row 12; Columns e. and f. equal the sum of Rows 11a. & 11b.)						
Housing Information Services						
14. Housing Information Services						
15. Total Housing Information Services						

Grant Administration and Other Activities		[1] Original Budget	[2] Original Budget
16.	Resource Identification to establish, coordinate and develop housing assistance resources		
17.	Technical Assistance (if approved in grant agreement)		
18.	Grantee Administration (maximum 3% of total HOPWA grant)		31,178 35,318
19.	Project Sponsor Administration (maximum 7% of portion of HOPWA grant awarded)		70,568 78,189
20.	Total Grant Administration and Other Activities (Sum of Rows 16 - 19)		101,746 113,507
Total Expended			
			[3] Original HOPWA Fund Expended Budget [4] Actual Expended
21.	Total Expenditures for program year (Sum of Rows 7, 10, 13, 15, and 20)		1,008,113 1,245,667

2. Listing of Supportive Services

Report on the households served and use of HOPWA funds for all supportive services. Do NOT report on supportive services leveraged with non-HOPWA funds.

Data check: Total unduplicated households and expenditures reported in Row 17 equal totals reported in Part 3, Chart 1, Row 13.

Supportive Services	[1] Output: Number of Households	[2] Output: Amount of HOPWA Funds Expended
1. Adult day care and personal assistance		
2. Alcohol and drug abuse services		
3. Case management		
4. Child care and other child services		
5. Education		
6. Employment assistance and training		
7. Health/medical/intensive care services, if approved Note: Client records must conform with 24 CFR § 574.510		
8. Legal services		
9. Life skills management (outside of case management)		
10. Meals/nutritional services		
11. Mental health services		
12. Outreach		
13. Transportation		
14. Other Activity (if approved in grant agreement). Specify:		
15. Sub-Total Households receiving Supportive Services (Sum of Rows 1-14)		
16. Adjustment for Duplication (subtract)		
17. TOTAL Unduplicated Households receiving Supportive Services (Column [1] equals Row 15 minus Row 16; Column [2] equals sum of Rows 1-14)		

3. Short-Term Rent, Mortgage and Utility Assistance (STRMU) Summary

In Row a., enter the total number of households served and the amount of HOPWA funds expended on Short-Term Rent, Mortgage and Utility (STRMU) Assistance. In Row b., enter the total number of STRMU-assisted households that received assistance with mortgage costs only (no utility costs) and the amount expended assisting these households. In Row c., enter the total number of STRMU-assisted households that received assistance with both mortgage and utility costs and the amount expended assisting these households. In Row d., enter the total number of STRMU-assisted households that received assistance with rental costs only (no utility costs) and the amount expended assisting these households. In Row e., enter the total number of STRMU-assisted households that received assistance with both rental and utility costs and the amount expended assisting these households. In Row f., enter the total number of STRMU-assisted households that received assistance with utility costs only (not including rent or mortgage costs) and the amount expended assisting these households. In row g., report the amount of STRMU funds expended to support direct program costs such as program operation staff.

Data Check: The total households reported as served with STRMU in Row a., column [1] and the total amount of HOPWA funds reported as expended in Row a., column [2] equals the household and expenditure total reported for STRMU in Part 3, Chart 1, Row 4, Columns b. and f., respectively.

Data Check: The total number of households reported in Column [1], Rows b., c., d., e., and f. equal the total number of STRMU households reported in Column [1], Row a. The total amount reported as expended in Column [2], Rows b., c., d., e., f. and g. equal the total amount of STRMU expenditures reported in Column [2], Row a.

Housing Subsidy Assistance Categories (STRMU)		[1] Output: Number of Households Served	[2] Output: Total HOPWA Funds Expended on STRMU during Operating Year
a.	Total Short-term mortgage, rent and/or utility (STRMU) assistance		
b.	Of the total STRMU reported on Row a., total who received assistance with mortgage costs ONLY.		
c.	Of the total STRMU reported on Row a., total who received assistance with mortgage and utility costs.		
d.	Of the total STRMU reported on Row a., total who received assistance with rental costs ONLY.		
e.	Of the total STRMU reported on Row a., total who received assistance with rental and utility costs.		
f.	Of the total STRMU reported on Row a., total who received assistance with utility costs ONLY.		
g.	Direct program delivery costs (e.g., program operations staff time)		

End of PART 3

Part 4: Summary of Performance Outcomes

In Column [1], report the total number of eligible households that received HOPWA housing subsidy assistance, by type. In Column [2], enter the number of households that continued to access each type of housing subsidy assistance into next operating year. In Column [3], report the housing status of all households that exited the program.
Data Check: The sum of Columns [2] (Number of Households Continuing) and [3] (Exited Households) equals the total reported in Column [1].
Note: Refer to the housing stability codes that appear in Part 5: Worksheet - Determining Housing Stability Outcomes.

Section 1. Housing Stability: Assessment of Client Outcomes on Maintaining Housing Stability (Permanent Housing and Related Facilities)

A. Permanent Housing Subsidy Assistance

	[1] Output: Total Number of Households Served	[2] Assessment: Number of Households that Continued Receiving HOPWA Housing Subsidy Assistance into the Next Operating Year	[3] Assessment: Number of Households that exited this HOPWA Program; their Housing Status after Exiting	[4] HOPWA Client Outcomes	
Tenant-Based Rental Assistance	151	93	1 Emergency Shelter/Streets	1	Unstable Arrangements
			2 Temporary Housing	1	
			3 Private Housing	18	Stable/Permanent Housing (PH)
			4 Other HOPWA	13	
			5 Other Subsidy	10	
			6 Institution	1	
			7 Jail/Prison	2	
			8 Disconnected/Township	11	
			9 Death	1	Life Event
Permanent Supportive Housing Facilities/ Units			1 Emergency Shelter/Streets		Unstable Arrangements
			2 Temporary Housing		Temporarily Stable, with Reduced Risk of Homelessness
			3 Private Housing		Stable/Permanent Housing (PH)
			4 Other HOPWA		
			5 Other Subsidy		
			6 Institution		
			7 Jail/Prison		
			8 Disconnected/Unknown		
			9 Death		Life Event

B. Transitional Housing Assistance

	[1] Output: Total Number of Households Served	[2] Assessment: Number of Households that Continued Receiving HOPWA Housing Subsidy Assistance into the Next Operating Year	[3] Assessment: Number of Households that exited this HOPWA Program; their Housing Status after Exiting	[4] HOPWA Client Outcomes	
Transitional/ Short-Term Housing Facilities/ Units			1 Emergency Shelter/Streets		Unstable Arrangements
			2 Temporary Housing		Temporarily Stable with Reduced Risk of Homelessness
			3 Private Housing		Stable/Permanent Housing (PH)
			4 Other HOPWA		
			5 Other Subsidy		
			6 Institution		
			7 Jail/Prison		
			8 Disconnected/Unknown		
			9 Death	1	Life Event

B. Total number of households receiving transitional/short-term housing assistance whose tenure exceeded 24 months
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Section 2. Prevention of Homelessness: Assessment of Client Outcomes on Reduced Risks of Homelessness (Short-Term Housing Subsidy Assistance)

Report the total number of households that received STRMU assistance in Column [1].

In Column [2], identify the outcomes of the households reported in Column [1] either at the time that they were known to have left the STRMU program or through the project sponsor or subrecipient's best assessment for stability at the end of the operating year.

Information in Column [3] provides a description of housing outcomes; therefore, data is not required.

At the bottom of the chart:

- In Row 1a, report those households that received STRMU assistance during the operating year of this report, and the prior operating year.
- In Row 1b, report those households that received STRMU assistance during the operating year of this report, and the two prior operating years.

Data Check: The total households reported as served with STRMU in Column [1] equals the total reported in Part 3, Chart 1, Row 4, Column b.

Data Check: The sum of Column [2] should equal the number of households reported in Column [1].

Assessment of Households that Received STRMU Assistance

[1] Output: Total number of households	[2] Assessment of Housing Status	[3] HOPWA Client Outcomes
	Maintain Private Housing without subsidy <i>(e.g. Assurances provided/completed and client is stable, not likely to seek additional support)</i>	Stable/Permanent Housing (PII)
	Other Private Housing without subsidy <i>(e.g. client switched housing units and is now stable, not likely to seek additional support)</i>	
	Other HOPWA Housing Subsidy Assistance	
	Other Housing Subsidy (PH)	
	Institution <i>(e.g. residential and long-term care)</i>	Temporarily Stable, with Reduced Risk of Homelessness
	Likely that additional STRMU is needed to maintain current housing arrangements	
	Transitional Facilities/Short-term <i>(e.g. temporary or transitional arrangement)</i>	
	Temporary/Non-Permanent Housing arrangement <i>(e.g. group up, lease, and moved in with family or friends but expects to live there less than 90 days)</i>	Unstable Arrangements
	Emergency Shelter/Street	
	Jail/Prison	
	Disconnected	Life Event
	Death	

1a. Total number of those households that received STRMU Assistance in the operating year of this report that also received STRMU assistance in the prior operating year (e.g. households that received STRMU assistance in two consecutive operating years).

1b. Total number of those households that received STRMU Assistance in the operating year of this report that also received STRMU assistance in the two prior operating years (e.g. households that received STRMU assistance in three consecutive operating years).

Section 3. HOPWA Outcomes on Access to Care and Support

1a. Total Number of Households

Line [1]: For project sponsors/subrecipients that provided HOPWA housing subsidy assistance during the operating year identify in the appropriate row the number of households that received HOPWA housing subsidy assistance (TBRA, STRMU, Facility-Based, PHP and Master Leasing) and HOPWA funded case management services. Use Row c. to adjust for duplication among the service categories and Row d. to provide an unduplicated household total.

Line [2]: For project sponsors/subrecipients that did NOT provide HOPWA housing subsidy assistance identify in the appropriate row the number of households that received HOPWA funded case management services.

Note: These numbers will help you to determine which clients to report Access to Care and Support Outcomes for and will be used by HUD as a basis for analyzing the percentage of households who demonstrated or maintained connections to care and support as identified in Chart 1b. below.

Total Number of Households	
1. For Project Sponsors/Subrecipients that provided HOPWA Housing Subsidy Assistance: Identify the total number of households that received the following HOPWA-funded services:	
a. Housing Subsidy Assistance (duplicated)-TBRA, STRMU, PHP, Facility-Based Housing, and Master Leasing	151
b. Case Management	
c. Adjustment for duplication (subtraction)	
d. Total Households Served by Project Sponsors/Subrecipients with Housing Subsidy Assistance (Sum of Rows a.d. minus Row c.)	151
2. For Project Sponsors/Subrecipients that did NOT provide HOPWA Housing Subsidy Assistance: Identify the total number of households that received the following HOPWA-funded service:	
a. HOPWA Case Management	
b. Total Households Served by Project Sponsors/Subrecipients without Housing Subsidy Assistance	

1b. Status of Households Accessing Care and Support

Column [1]: Of the households identified as receiving services from project sponsors/subrecipients that provided HOPWA housing subsidy assistance as identified in Chart 1a., Row 1d. above, report the number of households that demonstrated access or maintained connections to care and support within the program year.

Column [2]: Of the households identified as receiving services from project sponsors/subrecipients that did NOT provide HOPWA housing subsidy assistance as reported in Chart 1a., Row 2b., report the number of households that demonstrated improved access or maintained connections to care and support within the program year.

Note: For information on types and sources of income and medical insurance/assistance, refer to Charts below.

Categories of Services Accessed	[1] For project sponsors/subrecipients that provided HOPWA housing subsidy assistance, identify the households who demonstrated the following:	[2] For project sponsors/subrecipients that did NOT provide HOPWA housing subsidy assistance, identify the households who demonstrated the following:	Outcome Indicator
1. Has a housing plan for maintaining or establishing stable ongoing housing	143		Support for Stable Housing
2. Had contact with case manager/benefits counselor consistent with the schedule specified in client's individual service plan (may include leveraged services such as Ryan White Medical Case Management)	127		Access to Support
3. Had contact with a primary health care provider consistent with the schedule specified in client's individual service plan	145		Access to Health Care
4. Accessed and maintained medical insurance/assistance	143		Access to Health Care
5. Successfully accessed or maintained qualification for sources of income	143		Sources of Income

Chart 1b., Line 4: Sources of Medical Insurance and Assistance include, but are not limited to the following (Reference only)

<ul style="list-style-type: none"> • MEDICAID Health Insurance Program, or use local program name • MEDICARE Health Insurance Program, or use local program name 	<ul style="list-style-type: none"> • Veterans Affairs Medical Services • AIDS Drug Assistance Program (ADAP) • State Children's Health Insurance Program (SCHIP), or use local program name 	<ul style="list-style-type: none"> • Ryan White-funded Medical or Dental Assistance
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Chart 1b., Row 5: Sources of Income include, but are not limited to the following (Reference only)

<ul style="list-style-type: none"> • Earned Income • Veteran's Pension • Unemployment Insurance • Pension from Former Job • Supplemental Security Income (SSI) 	<ul style="list-style-type: none"> • Child Support • Social Security Disability Income (SSDI) • Alimony or other Spousal Support • Veteran's Disability Payment • Retirement Income from Social Security • Worker's Compensation 	<ul style="list-style-type: none"> • General Assistance (GA), or use local program name • Private Disability Insurance • Temporary Assistance for Needy Families (TANF) • Other Income Sources
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1c. Households that Obtained Employment

Column [1]: Of the households identified as receiving services from project sponsors/subrecipients that provided HOPWA housing subsidy assistance as identified in Chart 1a., Row 1d. above, report on the number of households that include persons who obtained an income-producing job during the operating year that resulted from HOPWA-funded job training, employment assistance, education or related case management/counseling services.

Column [2]: Of the households identified as receiving services from project sponsors/subrecipients that did NOT provide HOPWA housing subsidy assistance as reported in Chart 1a., Row 2b., report on the number of households that include persons who obtained an income-producing job during the operating year that resulted from HOPWA-funded job training, employment assistance, education or case management/counseling services.

Note: This includes jobs created by this project sponsor/subrecipients or obtained outside this agency.

Note: Do not include jobs that resulted from leveraged job training, employment assistance, education or case management/counseling services.

Categories of Services Accessed	[1] For project sponsors/subrecipients that provided HOPWA housing subsidy assistance, identify the households who demonstrated the following:	[2] For project sponsors/subrecipients that did NOT provide HOPWA housing subsidy assistance, identify the households who demonstrated the following:
Total number of households that obtained an income-producing job	5	

End of PART 4

PART 5: Worksheet - Determining Housing Stability Outcomes (optional)

1. This chart is designed to assess program results based on the information reported in Part 4 and to help Grantees determine overall program performance. Completion of this worksheet is optional.

Permanent Housing Subsidy Assistance	Stable Housing (# of households remaining in program plus 3-4+5+6)	Temporary Housing (2)	Unstable Arrangements (1+7+8)	Life Event (9)
Tenant-Based Rental Assistance (TBRA)				
Permanent Facility-based Housing Assistance/Units				
Transitional/Short-Term Facility-based Housing Assistance/Units				
Total Permanent HOPWA Housing Subsidy Assistance				
Reduced Risk of Homelessness: Short-Term Assistance	Stable/Permanent Housing	Temporarily Stable, with Reduced Risk of Homelessness	Unstable Arrangements	Life Events
Short-Term Rent, Mortgage, and Utility Assistance (STRMU)				
Total HOPWA Housing Subsidy Assistance				

Background on HOPWA Housing Stability Codes

Stable Permanent Housing/Ongoing Participation

- 3 = Private Housing in the private rental or home ownership market (without known subsidy, including permanent placement with families or other self-sufficient arrangements) with reasonable expectation that additional support is not needed.
- 4 = Other HOPWA-funded housing subsidy assistance (not STRMU), e.g. TBRA or Facility-Based Assistance.
- 5 = Other subsidized house or apartment (non-HOPWA sources, e.g., Section 8, HOME, public housing).
- 6 = Institutional setting with greater support and continued residence expected (e.g., residential or long-term care facility).

Temporary Housing

2 = Temporary housing - moved in with family/friends or other short-term arrangement, such as Ryan White subsidy, transitional housing for homeless, or temporary placement in institution (e.g., hospital, psychiatric hospital or other psychiatric facility, substance abuse treatment facility or detox center).

Unstable Arrangements

- 1 = Emergency shelter or no housing destination such as places not meant for habitation (e.g., a vehicle, an abandoned building, bus/train/subway station, or anywhere outside).
- 7 = Jail/prison.
- 8 = Disconnected or disappeared from project support, unknown destination or no assessments of housing needs were undertaken.

Life Event

9 = Death, i.e., remained in housing until death. This characteristic is not factored into the housing stability equation.

Tenant-based Rental Assistance: Stable Housing is the sum of the number of households that (i) remain in the housing and (ii) those that left the assistance as reported under: 3, 4, 5, and 6. Temporary Housing is the number of households that accessed assistance, and left their current housing for a non-permanent housing arrangement, as reported under item: 2. Unstable Situations is the sum of numbers reported under items: 1, 7, and 8.

Permanent Facility-Based Housing Assistance: Stable Housing is the sum of the number of households that (i) remain in the housing and (ii) those that left the assistance as shown as items: 3, 4, 5, and 6. Temporary Housing is the number of households that accessed assistance, and left their current housing for a non-permanent housing arrangement, as reported under item 2. Unstable Situations is the sum of numbers reported under items: 1, 7, and 8.

Transitional/Short-Term Facility-Based Housing Assistance: Stable Housing is the sum of the number of households that (i) continue in the residences (ii) those that left the assistance as shown as items: 3, 4, 5, and 6. Other Temporary Housing is the number of households that accessed assistance, and left their current housing for a non-permanent housing arrangement, as reported under item 2. Unstable Situations is the sum of numbers reported under items: 1, 7, and 8.

Tenure Assessment. A baseline of households in transitional/short-term facilities for assessment purposes, indicate the number of households whose tenure exceeded 24 months.

STRMU Assistance: Stable Housing is the sum of the number of households that accessed assistance for some portion of the permitted 21-week period and there is reasonable expectation that additional support is not needed in order to maintain permanent housing living situation (as this is a time-limited form of housing support) as reported under housing status: Maintain Private Housing with subsidy; Other Private with Subsidy; Other HOPWA support; Other Housing Subsidy; and Institution. Temporarily Stable, with Reduced Risk of Homelessness is the sum of the number of households that accessed assistance for some portion of the permitted 21-week period or left their current housing arrangement for a transitional facility or other temporary/non-permanent housing arrangement and there is reasonable expectation additional support will be needed to maintain housing arrangements in the next year, as reported under housing status: Likely to maintain current housing arrangements, with additional STRMU assistance; Transitional Facilities/Short-term; and Temporary/Non-Permanent Housing arrangements. Unstable Situation is the sum of number of households reported under housing status: Emergency Shelter; Jail/Prison; and Disconnected.

End of PART 5

PART 6: Annual Certification of Continued Usage for HOPWA Facility-Based Stewardship Units (ONLY)

The Annual Certification of Usage for HOPWA Facility-Based Stewardship Units is to be used in place of Part 7B of the CAPER if the facility was originally acquired, rehabilitated or constructed/developed in part with HOPWA funds but no HOPWA funds were expended during the operating year. Scattered site units may be grouped together on one page.

Grantees that used HOPWA funding for new construction, acquisition, or substantial rehabilitation are required to operate their facilities for HOPWA eligible individuals for at least ten (10) years. If non-substantial rehabilitation funds were used they are required to operate for at least three (3) years. Stewardship begins once the facility is put into operation.

Note: See definition of Stewardship Units.

1. General information

HUD Grant Number(s) MNH14E0001	Operating Year for this report From (mm/dd/yy) To (mm/dd/yy) <input type="checkbox"/> Final Yr <input checked="" type="checkbox"/> Yr 1; <input type="checkbox"/> Yr 2; <input type="checkbox"/> Yr 3; <input type="checkbox"/> Yr 4; <input type="checkbox"/> Yr 5; <input type="checkbox"/> Yr 6; <input type="checkbox"/> Yr 7; <input type="checkbox"/> Yr 8; <input type="checkbox"/> Yr 9; <input type="checkbox"/> Yr 10;
Grantee Name City of Minneapolis	Date Facility Began Operations (mm/dd/yy) 12/02/15

2. Number of Units and Non-HOPWA Expenditures

Facility Name: Clare Terrace	Number of Stewardship Units Developed with HOPWA funds	Amount of Non-HOPWA Funds Expended in Support of the Stewardship Units during the Operating Year
Total Stewardship Units (subject to 3- or 10- year use periods)	2	\$8,220

3. Details of Project Site

Project Sites: Name of HOPWA-funded project	Clare Terrace
Site Information: Project Zip Code(s)	55422
Site Information: Congressional District(s)	MN-005
Is the address of the project site confidential?	<input type="checkbox"/> Yes, protect information; do not list <input checked="" type="checkbox"/> Not confidential; information can be made available to the public.
If the site is not confidential: Please provide the contact information, phone, email address/location, if business address is different from facility address	Clare Housing 929 Central Ave. NE Minneapolis MN 55413 (612) 236-9515

I certify that the facility that received assistance for acquisition, rehabilitation, or new construction from the Housing Opportunities for Persons with AIDS Program has operated as a facility to assist HOPWA-eligible persons from the date shown above. I also certify that the grant is still serving the planned number of HOPWA-eligible households at this facility through leveraged resources and all other requirements of the grant agreement are being satisfied.

<i>I hereby certify that all the information stated herein, as well as any information provided in the accompanying herewith, is true and accurate.</i>	
Name & Title of Authorized Official of the organization that continues to operate the facility: Charles D. Peterson	Signature & DATE (mm/dd/yy) See Attached
Name & Title of Contact at Grantee Agency (person who can answer questions about the report and program) Michele J. Loyer, Program Director	Contact Phone (with area code) (512) 236-9526

PART 6: Annual Certification of Continued Usage for HOPWA Facility-Based Stewardship Units (ONLY)

The Annual Certification of Usage for HOPWA Facility-Based Stewardship Units is to be used in place of Part 713 of the CAPER if the facility was originally acquired, rehabilitated or constructed/developed in part with HOPWA funds but no HOPWA funds were expended during the operating year. Scattered site units may be grouped together on one page.

Grantees that used HOPWA funding for new construction, acquisition, or substantial rehabilitation are required to operate their facilities for HOPWA eligible individuals for at least ten (10) years. If non-substantial rehabilitation funds were used they are required to operate for at least three (3) years. Stewardship begins once the facility is put into operation.

Note: See definition of Stewardship Units.

1. General Information

HUD Grant Number(s) MNH141001	Operating Year for this report From (mm/dd/yy) To (mm/dd/yy) <input type="checkbox"/> Final Yr <input checked="" type="checkbox"/> Yr 1; <input type="checkbox"/> Yr 2; <input type="checkbox"/> Yr 3; <input type="checkbox"/> Yr 4; <input type="checkbox"/> Yr 5; <input type="checkbox"/> Yr 6; <input type="checkbox"/> Yr 7; <input type="checkbox"/> Yr 8; <input type="checkbox"/> Yr 9; <input type="checkbox"/> Yr 10.
Grantee Name Clare Housing	Date Facility Began Operations (mm/dd/yy)

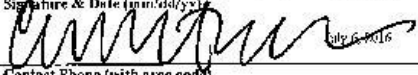
2. Number of Units and Non-HOPWA Expenditures

Facility Name: Clare Terrace	Number of Stewardship Units Developed with HOPWA Funds	Amount of Non-HOPWA Funds Expended in Support of the Stewardship Units during the Operating Year
Total Stewardship Units (subject to 3- or 10- year use periods)	1	\$ 8229.00

3. Details of Project Site

Project Site: Name of HOPWA-funded project	Clare Terrace
Site Information: Project Zip Code(s)	55422
Site Information: Congressional District(s)	MN-5
Is the address of the project site confidential?	<input type="checkbox"/> Yes, protect information; do not list <input checked="" type="checkbox"/> Not confidential; information can be made available to the public
If the site is not confidential: Please provide the contact information, phone, email address/location, if business address is different from facility address	Clare Housing 929 Central Avenue NE Minneapolis, MN 55413 (612) 236-9815

I certify that the facility that received assistance for acquisition, rehabilitation, or new construction from the Housing Opportunities for Persons with AIDS Program has operated as a facility to assist HOPWA-eligible persons from the date shown above. I also certify that the grant is still serving the planned number of HOPWA-eligible households at this facility through leveraged resources and all other requirements of the grant agreement are being satisfied.

<i>They hereby certify that all the information stated herein, as well as any information provided in the accompanying herewith, is true and accurate.</i>	
Name & Title of Authorized Official of the organization that continues to operate the facility: Charles D. Peterson	Signature & Date (mm/dd/yy)  July 6, 2016
Name & Title of Contact at Grantee Agency (person who can answer questions about the report and program): Michelle J. Royer, Program Director	Contact Phone (with area code) (612) 236-9526

End of PART 6

1. General information

HUD Grant Number(s) MNH031001	Operating Year for this report <i>From (mm/dd/yy) To (mm/dd/yy)</i> <input type="checkbox"/> Final Yr <input type="checkbox"/> Yr 1; <input type="checkbox"/> Yr 2; <input type="checkbox"/> Yr 3; <input type="checkbox"/> Yr 4; <input type="checkbox"/> Yr 5; <input type="checkbox"/> Yr 6; <input type="checkbox"/> Yr 7; <input type="checkbox"/> Yr 8; <input type="checkbox"/> Yr 9; <input checked="" type="checkbox"/> Yr 10;
Grantee Name City of Minneapolis	Date Facility Began Operations (mm/dd/yy) 09/01/05

2. Number of Units and Non-HOPWA Expenditures

Facility Name: Clare Apartments	Number of Stewardship Units Developed with HOPWA funds	Amount of Non-HOPWA Funds Expended in Support of the Stewardship Units during the Operating Year
Total Stewardship Units (subject to 3- or 10-year use periods)	32	\$467,091

3. Details of Project Site

Project Sites: Name of HOPWA-funded project	Clare Apartments
Site Information: Project Zip Code(s)	55413
Site Information: Congressional District(s)	MIN-005
Is the address of the project site confidential?	<input type="checkbox"/> Yes, protect information; do not list <input checked="" type="checkbox"/> Not confidential; information can be made available to the public
If the site is not confidential: Please provide the contact information, phone, email address/location, if business address is different from facility address	Clare Housing 929 Central Ave. NE Minneapolis MN 55413

I certify that the facility that received assistance for acquisition, rehabilitation, or new construction from the Housing Opportunities for Persons with AIDS Program has operated as a facility to assist HOPWA-eligible persons from the d.a.c shown above. I also certify that the grant is still serving the planned number of HOPWA-eligible households at this facility through leveraged resources and all other requirements of the grant agreement are being satisfied.

<i>I hereby certify that all the information stated herein, as well as any information provided in the accompanying herewith, is true and accurate.</i>	
Name & Title of Authorized Official of the organization that continues to operate the facility: Charles D. Peterson	Signature & Date (mm/dd/yy) See attached
Name & Title of Contact at Grantee Agency <i>(person who can answer questions about the report and program)</i> Michelle T. Boyer, Director of Programs	Contact Phone (with area code) (512) 236-8526

End of PART 6

PART 6: Annual Certification of Continued Usage for HOPWA Facility-Based Stewardship Units (ONLY)

The Annual Certification of Usage for HOPWA Facility-Based Stewardship Units is to be used in place of Part 7B of the CAPER if the facility was originally acquired, rehabilitated or constructed/developed in part with HOPWA funds but no HOPWA funds were expended during the operating year. Scattered site units may be grouped together on one page.

Grantees that used HOPWA funding for new construction, acquisition, or substantial rehabilitation are required to operate their facilities for HOPWA eligible individuals for at least ten (10) years. If non-substantial rehabilitation funds were used they are required to operate for at least three (3) years. Stewardship begins once the facility is put into operation.

Note: See definition of Stewardship Units.

1. General information

HUD Grant Number(s) MN1037001	Operating Year for this report From: (06/01/2015) To: (03/31/2016) <input type="checkbox"/> Final Yr <input type="checkbox"/> Yr 1; <input type="checkbox"/> Yr 2; <input type="checkbox"/> Yr 3; <input type="checkbox"/> Yr 4; <input type="checkbox"/> Yr 5; <input type="checkbox"/> Yr 6; <input type="checkbox"/> Yr 7; <input type="checkbox"/> Yr 8; <input type="checkbox"/> Yr 9; <input checked="" type="checkbox"/> Yr 10;
Grantee Name Clare Housing	Date facility Began Operations (mm/dd/yyyy) 09/01/2005

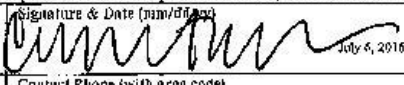
2. Number of Units and Non-HOPWA Expenditures

Facility Name: Clare Apartments	Number of Stewardship Units Developed with HOPWA funds	Amount of Non-HOPWA Funds Expended in Support of the Stewardship Units during the Operating Year
Total Stewardship Units (subject to 3- or 10- year use periods)	32	\$ 467,092

3. Details of Project Site

Project Site: Name of HOPWA-funded project	Clare Apartments
Site Information: Project Zip Code(s)	55413
Site Information: Congressional District(s)	MIN-5
Is the address of the project site confidential?	<input type="checkbox"/> Yes, protect information; do not list <input checked="" type="checkbox"/> No; confidential; information can be made available to the public.
If the site is not confidential: Please provide the contact information, phone, email address/location, if business address is different from facility address	Clare Housing 929 Central Ave. NE Minneapolis, MN 55413

I certify that the facility that received assistance for acquisition, rehabilitation, or new construction from the Housing Opportunities for Persons with AIDS Program has operated as a facility to assist HOPWA-eligible persons from the date shown above. I also certify that the grant is still serving the planned number of HOPWA-eligible households at this facility through leveraged resources and all other requirements of the grant agreement are being satisfied.

I hereby certify that all the information stated herein, as well as any information provided in the accompanying herewith, is true and accurate.	
Name & Title of Authorized Official of the organization that continues to operate the facility: Charles D. Peterson	Signature & Date (mm/dd/yyyy)  July 6, 2016
Name & Title of Contact at Grantee Agency (person who can answer questions about the report and program) Michele L. Boyer, Director of Programs	Contact Phone (with area code) (612) 236-9526

End of PART 6

Part 7: Summary Overview of Grant Activities

A. Information on Individuals, Beneficiaries, and Households Receiving HOPWA Housing Subsidy Assistance (TBRA, STRMU, Facility-Based Units, Permanent Housing Placement and Master Leased Units ONLY)

Note: Reporting for this section should include ONLY those individuals, beneficiaries, or households that received and/or resided in a household that received HOPWA Housing Subsidy Assistance as reported in Part 3, Chart 1, Row 7, Column b. (e.g., do not include households that received HOPWA supportive services ONLY).

Section 1. HOPWA-Eligible Individuals who Received HOPWA Housing Subsidy Assistance

a. Total HOPWA Eligible Individuals Living with HIV/AIDS

In Chart a., provide the total number of eligible (and unduplicated) low-income individuals living with HIV/AIDS who qualified their household to receive HOPWA housing subsidy assistance during the operating year. This total should include only the individual who qualified the household for HOPWA assistance, NOT all HIV positive individuals in the household.

Individuals Served with Housing Subsidy Assistance	Total
Number of individuals with HIV/AIDS who qualified their household to receive HOPWA housing subsidy assistance.	151

Chart b. Prior Living Situation

In Chart b., report the prior living situations for all Eligible Individuals reported in Chart a. In Row 1, report the total number of individuals who continued to receive HOPWA housing subsidy assistance from the prior operating year into this operating year. In Rows 2 through 17, indicate the prior living arrangements for all new HOPWA housing subsidy assistance recipients during the operating year.

Data Check: The total number of eligible individuals served in Row 18 equals the total number of individuals served through housing subsidy assistance reported in Chart a. above.

Category	Total HOPWA Eligible Individuals Receiving Housing Subsidy Assistance
1. Continuing to receive HOPWA support from the prior operating year	94
New Individuals who received HOPWA Housing Subsidy Assistance support during Operating Year:	
2. Place not meant for human habitation (such as a vehicle, abandoned building, bus/train/subway station/airport, or outside)	2
3. Emergency shelter (including hotel, motel, or campground paid for with emergency shelter voucher)	1
4. Transitional housing for homeless persons	14
5. Total number of new Eligible Individuals who received HOPWA Housing Subsidy Assistance with a Prior Living Situation that meets HUD definition of homelessness (Sum of Rows 2-4)	17
6. Permanent housing for formerly homeless persons (such as Shelter Plus Care, SIF, or SRO Mod Rehab)	
7. Psychiatric hospital or other psychiatric facility	
8. Substance abuse treatment facility or detox center	1
9. Hospital (non-psychiatric facility)	
10. Foster care home or foster care group home	
11. Jail, prison or juvenile detention facility	
12. Rented room, apartment, or house	12
13. House you own	
14. Staying or living in someone else's (family and friends) room, apartment, or house	19
15. Hotel or motel paid for without emergency shelter voucher	
16. Other	
17. Don't Know or Refused	
18. TOTAL Number of HOPWA Eligible Individuals (sum of Rows 1 and 5-17)	143

c. Homeless Individual Summary

In Chart c., indicate the number of eligible individuals reported in Chart b., Row 5 as homeless who also are homeless Veterans and/or meet the definition for Chronically Homeless (See Definition section of CAPER). The totals in Chart c. do not need to equal the total in Chart b., Row 5.

Category	Number of Homeless Veteran(s)	Number of Chronically Homeless
HOPWA eligible individuals served with HOPWA Housing Subsidy Assistance	0	1

Section 2. Beneficiaries

In Chart a., report the total number of HOPWA eligible individuals living with HIV/AIDS who received HOPWA housing subsidy assistance (as reported in Part 7A, Section 1, Chart a.), and all associated members of their household who benefited from receiving HOPWA housing subsidy assistance (resided with HOPWA eligible individuals).

Note: See definition of HOPWA Eligible Individual

Note: See definition of Transgender.

Note: See definition of Beneficiaries.

Data Check: The sum of each of the Charts b. & c. on the following two pages equals the total number of beneficiaries served with HOPWA housing subsidy assistance as determined in Chart a., Row 4 below.

a. Total Number of Beneficiaries Served with HOPWA Housing Subsidy Assistance

Individuals and Families Served with HOPWA Housing Subsidy Assistance	Total Number
1. Number of individuals with HIV/AIDS who qualified the household to receive HOPWA housing subsidy assistance (equals the number of HOPWA Eligible Individuals reported in Part 7A, Section 1, Chart a.)	151
2. Number of A.I.F. other persons diagnosed as HIV positive who reside with the HOPWA eligible individuals identified in Row 1 and who benefited from the HOPWA housing subsidy assistance	6
3. Number of A.I.F. other persons NOT diagnosed as HIV positive who reside with the HOPWA eligible individual identified in Row 1 and who benefited from the HOPWA housing subsidy	105
4. TOTAL number of ALL beneficiaries served with Housing Subsidy Assistance (Sum of Rows 1, 2, & 3)	262

b. Age and Gender

In Chart b., indicate the Age and Gender of all beneficiaries as reported in Chart a. directly above. Report the Age and Gender of all HOPWA Eligible Individuals (those reported in Chart a., Row 1) using Rows 1-5 below and the Age and Gender of all other beneficiaries (those reported in Chart a., Rows 2 and 3) using Rows 6-10 below. The number of individuals reported in Row 11, Column E, equals the total number of beneficiaries reported in Part 7, Section 2, Chart a., Row 4.

		HOPWA Eligible Individuals (Chart a, Row 1)				
		A.	B.	C.	D.	E.
		Male	Female	Transgender M to F	Transgender F to M	TOTAL (Sum of Columns A-D)
1.	Under 18					
2.	18 to 30 years	11	8			19
3.	31 to 50 years	32	17			49
4.	51 years and Older	12	20	1		33
5.	Subtotal (Sum of Rows 1-4)	55	45	1		101
		All Other Beneficiaries (Chart a, Rows 2 and 3)				
		A.	B.	C.	D.	E.
		Male	Female	Transgender M to F	Transgender F to M	TOTAL (Sum of Columns A-D)
6.	Under 18	45	33			78
7.	18 to 30 years	8	8			16
8.	31 to 50 years	8	5			13
9.	51 years and Older	8	8			16
10.	Subtotal (Sum of Rows 6-9)	69	54			123
		Total Beneficiaries (Chart a, Row 4)				
11.	TOTAL (Sum of Rows 5 & 10)	124	99	1		224

c. Race and Ethnicity*

In Chart c., indicate the Race and Ethnicity of all beneficiaries receiving HOPWA Housing Subsidy Assistance as reported in Section 2, Chart a., Row 4. Report the race of all HOPWA eligible individuals in Column [A]. Report the ethnicity of all HOPWA eligible individuals in column [B]. Report the race of all other individuals who benefitted from the HOPWA housing subsidy assistance in column [C]. Report the ethnicity of all other individuals who benefitted from the HOPWA housing subsidy assistance in column [D]. The summed total of columns [A] and [C] equals the total number of beneficiaries reported above in Section 2, Chart a., Row 4.

Category	HOPWA Eligible Individuals		All Other Beneficiaries	
	[A] Race [all individuals reported in Section 2, Chart a., Row 1]	[B] Ethnicity [Also identified as Hispanic or Latino]	[C] Race [total of individuals reported in Section 2, Chart a., Rows 2 & 3]	[D] Ethnicity [Also identified as Hispanic or Latino]
1. American Indian/Alaskan Native	8	2	8	0
2. Asian	0	0	0	0
3. Black/African American	94	0	78	0
4. Native Hawaiian/Other Pacific Islander	1	0	0	0
5. White	23	0	19	0
6. American Indian/Alaskan Native & White	0	0	0	0
7. Asian & White	0	0	0	0
8. Black/African American & White	0	0	0	0
9. American Indian/Alaskan Native & Black/African American	0	0	0	0
10. Other Multi-Racial	0	0	0	0
11. Column Totals (Sum of Rows 1-10)	126	2	117	0

Data Check: Sum of Row 11 Column A and Row 11 Column C equals the total number HOPWA Beneficiaries reported in Part 3A Section 2, Chart a., Row 4.

*Reference (data requested consistent with Form HUD-27061 Race and Ethnicity Data Reporting Form)

Section 3. Households

Household Area Median Income

Report the area median income(s) for all households served with HOPWA housing subsidy assistance.

Data Check: The total number of households served with HOPWA housing subsidy assistance should equal Part 3C, Row 7, Column b and Part 7A, Section 1, Chart a. (Total HOPWA Eligible Individuals Served with HOPWA Housing Subsidy Assistance).

Note: Refer to http://www.huduser.org/portal/datasets/il/il2010/selec_Geography_mfi.cfm for information on area median income in your community.

Percentage of Area Median Income		Households Served with HOPWA Housing Subsidy Assistance
1.	0-30% of area median income (extremely low)	148
2.	31-50% of area median income (very low)	3
3.	>1-80% of area median income (low)	
4.	Total (Sum of Rows 1-3)	151

Part 7: Summary Overview of Grant Activities
B. Facility-Based Housing Assistance

Complete one Part 7B for each facility developed or supported through HOPWA funds.

Do not complete this Section for programs originally developed with HOPWA funds but no longer supported with HOPWA funds. If a facility was developed with HOPWA funds (subject to ten years of operation for acquisition, new construction, and substantial rehabilitation costs of stewardship units, or three years for non-substantial rehabilitation costs), but HOPWA funds are no longer used to support the facility, the project sponsor or subrecipient should complete Part 6: Annual Certification of Continued Usage for HOPWA Facility-Based Stewardship Units (ONLY).

Complete Charts 2a., Project Site Information, and 2b., Type of HOPWA Capital Development Project Units, for all Development Projects, including facilities that were past development projects, but continued to receive HOPWA operating dollars this reporting year.

1. Project Sponsor/Subrecipient Agency Name (Required)

Clare Housing
 929 Central Ave NE
 Minneapolis, MN 554413

2. Capital Development

2a. Project Site Information for HOPWA Capital Development of Projects (For Current or Past Capital Development Projects that receive HOPWA Operating Costs this reporting year)

Note: If units are scattered-sites, report on them as a group and under type of Facility write "Scattered Sites."

Type of Development this operating year	HOPWA Funds Expended this operating year (if applicable)	Non-HOPWA funds Expended (if applicable)	Name of Facility: Clare Terrace
<input checked="" type="checkbox"/> New construction	\$ 100,000	\$3,593,182	Type of Facility [Check only one box.] <input checked="" type="checkbox"/> Permanent housing <input type="checkbox"/> Short-term Shelter or Transitional housing <input type="checkbox"/> Supportive services only facility
<input type="checkbox"/> Rehabilitation	\$	\$	
<input type="checkbox"/> Acquisition	\$	\$	
<input type="checkbox"/> Operating	\$	\$	
a. Purchase/lease of property:			Date (mm/dd/yy): 12/30/14
b. Rehabilitation/Construction Dates:			Date started: 12/16/14 Date Completed: 12/31/15
c. Operation dates:			Date residents began to occupy: 12/02/15 <input type="checkbox"/> Not yet occupied
d. Date supportive services began:			Date started: 12/02/15 <input type="checkbox"/> Not yet providing services
e. Number of units in the facility:			HOPWA-funded units = 2 Total Units = 36
f. Is a waiting list maintained for the facility?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No If yes, number of participants on the list at the end of operating year: 170
g. What is the address of the facility (if different from business address)?			3555 Terrace Ave N., Robbinsdale, MN 55422
h. Is the address of the project site confidential?			<input type="checkbox"/> Yes, protect information; do not publish list <input checked="" type="checkbox"/> No, can be made available to the public

2b. Number and Type of HOPWA Capital Development Project Units (For Current or Past Capital Development Projects that receive HOPWA Operating Costs this Reporting Year)

For units entered above in 2a, please list the number of HOPWA units that fulfill the following criteria:

	Number Designated for the Chronically Homeless	Number Designated to Assist the Homeless	Number Energy-Star Compliant	Number 504 Accessible
Rental units constructed (new) and/or acquired with or without rehab	6	7	2	3
Rental units rehabbed				
Homeownership units constructed (if approved)				

3. Units Assisted in Types of Housing Facility/Units Leased by Project Sponsor or Subrecipient

Charts 3a, 3b, and 4 are required for each facility. In Charts 3a. and 3b., indicate the type and number of housing units in the facility, including master leased units, project-based or other scattered site units leased by the organization, categorized by the number of bedrooms per unit.

Note: The number units may not equal the total number of households served.

Please complete separate charts for each housing facility assisted. Scattered site units may be grouped together.

3a. Check one only

- Permanent Supportive Housing Facility/Units
- Short-term Shelter or Transitional Supportive Housing Facility/Units

3b. Type of Facility

Complete the following Chart for all facilities leased, master leased, project-based, or operated with HOPWA funds during the reporting year.

Name of Project Sponsor/Agency Operating the Facility/Leased Units:

Type of housing facility operated by the project sponsor/subrecipient	Total Number of Units in use during the Operating Year Categorized by the Number of Bedrooms per Unit					
	SRO/Studio/0 bdrm	1 bdrm	2 bdrm	3 bdrm	4 bdrm	5+ bdrm
a. Single room occupancy dwelling						
b. Community residence						
c. Project-based rental assistance units or leased units						
d. Other housing facility Specify:						

4. Households and Housing Expenditures

Enter the total number of households served and the amount of HOPWA funds expended by the project sponsor/subrecipient on subsidies for housing involving the use of facilities, master leased units, project based or other scattered site units leased by the organization.

Housing Assistance Category: Facility Based Housing	Output: Number of Households	Output: Total HOPWA Funds Expended during Operating Year by Project Sponsor/subrecipient
a. Leasing Costs		
b. Operating Costs		
c. Project-Based Rental Assistance (PBRA) or other leased units		
d. Other Activity (if approved in grant agreement) Specify:		
e. Adjustment to eliminate duplication (subtract)		
f. TOTAL Facility-Based Housing Assistance (Sum Rows a. through d. minus Row e.)		

PR26- CDBG Financial Summary

Office of Community Planning and Development DATE: 08-24-16
 U.S. Department of Housing and Urban Development TIME: 16:52
 Integrated Disbursement and Information System PAGE: 1
 PR26 - CDBG Financial Summary Report
 Program Year 2015
 MINNEAPOLIS, MN

PART I: SUMMARY OF CDBG RESOURCES

01 UNEXPENDED CDBG FUNDS AT END OF PREVIOUS PROGRAM YEAR	19,755,602.64
02 ENTITLEMENT GRANT	10,452,853.00
03 SURPLUS URBAN RENEWAL	0.00
04 SECTION 108 GUARANTEED LOAN FUNDS	0.00
05 CURRENT YEAR PROGRAM INCOME	985,007.09
05a CURRENT YEAR SECTION 108 PROGRAM INCOME (FOR SI TYPE)	0.00
06 FUNDS RETURNED TO THE LINE-OF-CREDIT	0.00
06a FUNDS RETURNED TO THE LOCAL CDBG ACCOUNT	0.00
07 ADJUSTMENT TO COMPUTE TOTAL AVAILABLE	532,354.05
08 TOTAL AVAILABLE (SUM, LINES 01-07)	31,725,816.78

PART II: SUMMARY OF CDBG EXPENDITURES

09 DISBURSEMENTS OTHER THAN SECTION 108 REPAYMENTS AND PLANNING/ADMINISTRATION	13,838,197.58
10 ADJUSTMENT TO COMPUTE TOTAL AMOUNT SUBJECT TO LOW/MOD BENEFIT	0.00
11 AMOUNT SUBJECT TO LOW/MOD BENEFIT (LINE 09 + LINE 10)	13,838,197.58
12 DISBURSED IN IDIS FOR PLANNING/ADMINISTRATION	2,134,151.60
13 DISBURSED IN IDIS FOR SECTION 108 REPAYMENTS	0.00
14 ADJUSTMENT TO COMPUTE TOTAL EXPENDITURES	0.00
15 TOTAL EXPENDITURES (SUM, LINES 11-14)	15,972,349.18
16 UNEXPENDED BALANCE (LINE 08 - LINE 15)	15,753,467.60

PART III: LOW/MOD BENEFIT THIS REPORTING PERIOD

17 EXPENDED FOR LOW/MOD HOUSING IN SPECIAL AREAS	0.00
18 EXPENDED FOR LOW/MOD MULTI-UNIT HOUSING	5,443,582.58
19 DISBURSED FOR OTHER LOW/MOD ACTIVITIES	6,118,140.30
20 ADJUSTMENT TO COMPUTE TOTAL LOW/MOD CREDIT	0.00
21 TOTAL LOW/MOD CREDIT (SUM, LINES 17-20)	11,561,722.88
22 PERCENT LOW/MOD CREDIT (LINE 21/LINE 11)	83.55%

LOW/MOD BENEFIT FOR MULTI-YEAR CERTIFICATIONS

23 PROGRAM YEARS (PY) COVERED IN CERTIFICATION	PY:14 PY:15 PY:16
24 CUMULATIVE NET EXPENDITURES SUBJECT TO LOW/MOD BENEFIT CALCULATION	22,765,312.43
25 CUMULATIVE EXPENDITURES BENEFITING LOW/MOD PERSONS	19,116,053.97
26 PERCENT BENEFIT TO LOW/MOD PERSONS (LINE 25/LINE 24)	83.97%

PART IV: PUBLIC SERVICE (PS) CAP CALCULATIONS

27 DISBURSED IN IDIS FOR PUBLIC SERVICES	1,564,723.16
28 PS UNLIQUIDATED OBLIGATIONS AT END OF CURRENT PROGRAM YEAR	51,424.85
29 PS UNLIQUIDATED OBLIGATIONS AT END OF PREVIOUS PROGRAM YEAR	112,045.01
30 ADJUSTMENT TO COMPUTE TOTAL PS OBLIGATIONS	0.00
31 TOTAL PS OBLIGATIONS (LINE 27 + LINE 28 - LINE 29 + LINE 30)	1,504,103.00
32 ENTITLEMENT GRANT	10,452,853.00
33 PRIOR YEAR PROGRAM INCOME	3,064,782.19
34 ADJUSTMENT TO COMPUTE TOTAL SUBJECT TO PS CAP	0.00
35 TOTAL SUBJECT TO PS CAP (SUM, LINES 32-34)	13,517,635.19
36 PERCENT FUNDS OBLIGATED FOR PS ACTIVITIES (LINE 31/LINE 35)	11.13%

PART V: PLANNING AND ADMINISTRATION (PA) CAP

37 DISBURSED IN IDIS FOR PLANNING/ADMINISTRATION	2,134,151.60
38 PA UNLIQUIDATED OBLIGATIONS AT END OF CURRENT PROGRAM YEAR	680,340.22
39 PA UNLIQUIDATED OBLIGATIONS AT END OF PREVIOUS PROGRAM YEAR	710,381.82
40 ADJUSTMENT TO COMPUTE TOTAL PA OBLIGATIONS	0.00
41 TOTAL PA OBLIGATIONS (LINE 37 + LINE 38 - LINE 39 +LINE 40)	2,104,110.00
42 ENTITLEMENT GRANT	10,452,853.00
43 CURRENT YEAR PROGRAM INCOME	985,007.09
44 ADJUSTMENT TO COMPUTE TOTAL SUBJECT TO PA CAP	532,354.05
45 TOTAL SUBJECT TO PA CAP (SUM, LINES 42-44)	11,970,214.14
46 PERCENT FUNDS OBLIGATED FOR PA ACTIVITIES (LINE 41/LINE 45)	17.58%

HOME APR

Annual Performance Report HOME Program

U.S. Department of Housing
and Urban Development
Office of Community Planning
and Development

OMB Approval No. 2506-0171
(exp. 8/31/2009)

Public reporting burden for this collection of information is estimated to average 2.5 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless that collection displays a valid OMB control number.

The HOME statute imposes a significant number of data collection and reporting requirements. This includes information on assisted properties, on the owners or tenants of the properties, and on other programmatic areas. The information will be used: 1) to assist HOME participants in managing their programs; 2) to track performance of participants in meeting fund commitment and expenditure deadlines; 3) to permit HUD to determine whether each participant meets the HOME statutory income targeting and affordability requirements; and 4) to permit HUD to determine compliance with other statutory and regulatory program requirements. This data collection is authorized under Title II of the Cranston-Gonzalez National Affordable Housing Act or related authorities. Access to Federal grant funds is contingent on the reporting of certain project-specific data elements. Records of information collected will be maintained by the recipients of the assistance. Information on activities and expenditures of grant funds is public information and is generally available for disclosure. Recipients are responsible for ensuring confidentiality when public disclosure is not required.

This form is intended to collect numeric data to be aggregated nationally as a complement to data collected through the Cash and Management Information (CMI) System. Participants should enter the reporting period in the first block. The reporting period is October 1 to September 30. Instructions are included for each section if further explanation is needed.

Submit this form on or before December 31. Send one copy to the appropriate HUD Field Office and one copy to: HOME Program, Rm 7176, 451 7th Street, S.W., Washington D.C. 20410	This report is for period (mm/dd/yyyy) Starting 06/01/2015	Ending 05/31/2016	Date Submitted (mm/dd/yyyy) 08/29/2016
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Part I Participant Identification

1. Participant Number MC-270201	2. Participant Name City of Minneapolis		
3. Name of Person completing this report Matt Bower	4. Phone Number (Include Area Code) 612-673-2188		
5. Address 350 South Fifth St., 301M City Hall	6. City Minneapolis	7. State MN	8. Zip Code 55415

Part II Program Income

Enter the following program income amounts for the reporting period: in block 1, enter the balance on hand at the beginning; in block 2, enter the amount generated; in block 3, enter the amount expended; and in block 4, enter the amount for Tenant-Based rental Assistance.

1. Balance on hand at Beginning of Reporting Period	2. Amount received during Reporting Period	3. Total amount expended during Reporting Period	4. Amount expended for Tenant-Based Rental Assistance 0	5. Balance on hand at end of Reporting Period (1 + 2 - 3) = 5 892,334.84
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Part III Minority Business Enterprises (MBE) and Women Business Enterprises (WBE)

In the table below, indicate the number and dollar value of contracts for HOME projects completed during the reporting period.

	a. Total	Minority Business Enterprises (MBE)			f. White Non-Hispanic
		b. Alaska Native or American Indian	c. Asian or Pacific Islander	d. Black Non-Hispanic	
A. Contracts					
1. Number		2			4
2. Dollar Amount		206,074			0
B. Sub-Contracts					
1. Number		4	3	9	5
2. Dollar Amount					299,418
	a. Total	b. Women Business Enterprises (WBE)	c. Male		
C. Contracts					
1. Number					
2. Dollar Amount					
D. Sub-Contracts					
1. Number					
2. Dollar Amounts					

Part IV Minority Owners of Rental Property

In the table below, indicate the number of HOME assisted rental property owners and the total dollar amount of HOME funds in these rental properties assisted during the reporting period.

	a. Total	Minority Property Owners				f. White Non-Hispanic
		b. Alaska Native or American Indian	c. Asian or Pacific Islander	d. Black Non-Hispanic	e. Hispanic	
1. Number						
2. Dollar Amount						

Part V Relocation and Real Property Acquisition

Indicate the number of persons displaced, the cost of relocation payments, the number of parcels acquired, and the cost of acquisition. The data provided should reflect only displacements and acquisitions occurring during the reporting period.

	a. Number	b. Cost	Minority Business Enterprises (MBE)				
Households Displaced	a. Total		b. Alaska Native or American Indian	c. Asian or Pacific Islander	d. Black Non-Hispanic	e. Hispanic	f. White Non-Hispanic
1. Parcels Acquired							
2. Businesses Displaced							
3. Nonprofit Organizations Displaced							
4. Households Temporarily Relocated, not Displaced							
5. Households Displaced -Number							
6. Households Displaced -Cost							

2015 ESG ECart Attachment



Copy of
ESG-CAPER-Reporting

2015 CAPER Citizen Participation

Citizen Participation

City of Minneapolis FY2015 CAPER

Summary of Public Comments

Public Hearing August 23, 2016

This public hearing was held for the purpose of obtaining comments on the City's proposed 2015 Consolidated Annual Performance and Evaluation Report to HUD.

Comments are summarized as follows: One resident spoke at the public hearing pertaining to the CAPER referencing that the city should make an effort to use CDBG funds to provide food and beverage incentives to neighborhood groups for neighborhood meetings, in the effort of community engagement and to increase participation in neighborhood meeting and activities. The Committee received these comments for the record.

City of Minneapolis FY 2015 CAPER

Summary of Written Public Comments

Any public comments received during the August 12- August 27, 2016 public comment period, will be submitted to HUD with the final draft on August 29.

Comments received: No written comments were received.