

License Application: Off Sale Malt Liquor/Small Brewer

Definition: Off Sale Malt Liquor/Small Brewer: The sale of malt liquor for drinking away from your business. You may sell up to 128 oz per customer per day in any packaging which meets state and federal rules. You must have a <u>State of Minnesota Wholesaler's/Manufacturer's Intoxicating Liquor License</u>. A Small Brewer may produce 7,500 barrels or less of malt liquor annually. A Brewer may produce up to 150,000 barrels of malt liquor per year. A public hearing may be required. This will be scheduled by your <u>License Inspector</u>.

On Sale Liquor, Taproom: Brewers, licensed under <u>MN statue 340A.301</u>, may sell the malt liquor you produce for drinking at your business. You are not required to serve food.

You may use this application for both a Taproom and an Off Sale Malt Liquor, Small Brewer license. You will be charged a <u>fee</u> for each license. You will only pay one alcohol investigation fee. If you have questions, you may email us at <u>businesslicenses@minneapolismn.gov</u>, contact your <u>License Inspector</u>, or call our office at 612-673-2080.

Part One
This application has two parts. Part One: Complete the application and include all the requirements listed below.
You may send your application by email (<u>businesslicenses@minneapolismn.gov</u>), US mail, or drop it off at our
office. Part Two: Begin preparing items in Part Two. Submit them to your <u>License Inspector</u> as soon as possible.
Application Requirements
1. Alcohol License Application (Form #1)
2. [] <u>Floor Plan</u> (Form #2): Attach an 8.5" by 11", scaled diagram. Include the square footage as well as labels
of the interior and outdoor areas.
3. <u>Certified Food Protection Manager:</u> The Minnesota Food Code requires every food business to hire one (1)
full-time Certified Food Protection Manager within 45 days of opening.
Attach a copy of your Minnesota Department of Health certificate.
I currently do not have a Certified Food Protection Manager.
4. Menu: Attach a copy of the menu and/or list of food items for sale.
Food Plan Requirement: Are you doing any of the following:
Starting a food business at a location that NEVER had a license for food business
Adding or replacing equipment that requires gas, plumbing or mechanical connections
Adding or replacing ventless cooking equipment or a ventless hood
If you checked any of the boxes above, you MUST complete and email a <u>Food Plan Review Form</u> to
<u>development@minneapolismn.gov</u> . There is a <u>fee</u> for this review. <i>This is a separate review and we cannot</i>
approve your license until it is completed.
Permits are required for any equipment changes or work requiring gas, plumbing or mechanical connections. If
you have questions, call 612-673-3000 or email <u>development@minneapolismn.gov</u> .
6. Would you like to apply for an On Sale Taproom License? 🔄 Yes 🔄 No 🛛 If yes,
Floor Plan : Attach an 8.5" by 11", scaled diagram. Include the square footage, tables, chairs, bar area, etc.,
and interior and outdoor areas.
You will add your On Sale Micro Distiller Cocktail Room License Application in Part Two .
7. Sewer Availability Charge (SAC): The Metropolitan Council charges a fee for new or upgraded sewer
connections. You can find out online if a SAC is due for your address. If you have questions, call 612-673-3000
or email <u>development@minneapolismn.gov</u> .
Attach a copy of your SAC Determination Letter.

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Alcohol License Application

1. Lice	nse Type(s)				
On Sale Off Sale					
Liquor Wine Strong Beer 3.2 Beer Cocktail F	Room Taproom Off Sal	e Malt Liquor 🗌	Distillery		
Type of Business: Restaurant/Bar Hotel Night	: Club 🗌				
Sunday Sales license? Yes No					
If yes, check the food services available on Sundays:					
Full Food Menu Limited Menu with Short Order S	ervice Grill and Sandwi	ches Only			
2. Applicant					
Legal Company Name	Business Name/DBA				
Name (Last, First, MI)	Owner Officer I	Partner Mana	ger		
Business Address	City	State	Zip Code		
Mailing Address (if different than business address)	City	State	Zip Code		
E-mail Address	Minnesota Sales Tax ID Nun	nber (Required)			
Business Telephone Number	Cell Phone Number				
Type of Ownership: Corporation LLC Sole Proprietor Partnership Non-Profit	Date of Incorporation State of Incorporation				
Sole Proprietor Partnership Non-Profit Is this business publicly traded? Yes No	Proposed Opening Date:				
3. Business Jubilety traded. 3.					
Starting a new business in a new building.	Adding a new license	to an existing bu	usiness.		
(New Business)	(New License)				
Starting a new business in an existing building.	Taking over an existing	ng business. (Nev	v Owner)		
(New Business) Name of Previous Tenant:	Name of existing bus	siness:			
Changing Equipment.	Remodeling Only.				
4. Enterta	ainment				
A. Check all categories of entertainment you are plann	ing to provide at your busi	ness.			
No Live Entertainment: Radio, television, electronica	<i>,</i> , , , , , , , , , , , , , , , , , ,	•			
Limited Entertainment: Literary readings, storytellin nonamplified music by a disc jockey or any number					
establishment. No patron dancing.	or musicians, and group si	iging by patrons	of the		
General Entertainment: All forms of entertainment	described above and patro	on dancing.			
Adult Entertainment: This includes persons who are	•	•	ich		
exposes any portion of female breasts and/or mal	e or female genitals (nude	or semi-nude).			
B. Describe all of the entertainment you are planning t	o provide:				

5. Company Operations					
Give us a brief description of your business.					
Interior		erior			
Gross Square Footage for Business Use:	Gross Square Footage for				
Seating Capacity: Fire Occupancy: Interior Days and Hours of Operation:	Seating Capacity: Exterior Days and Hours of	<u>Max Capacity:</u>			
Interior Days and hours of Operation.	Exterior Days and nours of	o operation.			
Are you sharing the licensed premises with another bus	iness? Yes No If ye	s, describe.			
Are you planning or have you completed any	Name of Contractor or Bu	ilding Manager			
construction or remodeling? Yes No					
Are you adding/changing equipment that requires a a contract of a ventiless cooking equipment and/or a use of a ventiless cooking equipment and/or a second		bing connection			
Explain the scope of the remodeling, construction and/					
6. Owners - Attach addition A. List all officers.	onal sheets if necessary	y.			
B. List all owners, shareholders, and partners unless you up to 100%.	ir company is publicly trad	led. Ownership r	nust add		
N/A – Corporation is publicly traded.					
Full Name: Last, First, Middle		Telephone			
Home Address	City	State	Zip		
Title Date of Birth Ownership %					
Full Name: Last, First, Middle Telephone					
Home Address	City	State	Zip		
Title Date of Birth Ownership %					
Full Name: Last, First, Middle Telephone					
Home Address	City	State	Zip		
Title	Date of Birth	Ownership %	<u> </u>		

Full Name: Last, First, Middle				Telephone	
Home Address		City	State	Zip	
Title			Date of Birth	Ownership %	
Name of Manager(s)				Date(s) of B	irth
Name of person filling out this applicat	tion	Email Add	ress	Telephone N	lumber
Has any owner, officer, shareholder, p If yes, attach specific information abou	•	•		a crime? Yes]No
Does any owner, officer, shareholder, (business or individual) Yes No			have or previousl	y held a license in I	Vinneapolis?
Has any owner, officer, shareholder, p government agency? Yes No If reason for denial/revocation.	-	-			
Name and address of responsible pers	on w/in	75 miles		Telephone N	lumber
Does anyone else share directly or indibusiness? Yes No If Yes, com	-		or in any way wit	h the license or lice	nsed
Name	Address			Date of Birt	h
Interest:	1				
Name	Name Address			Date of Birt	n
Interest:				Ŀ	
Name of the individual or firm that pro	ovides bo	ookkeeping	or accounting serv	vices for the license	d business:
Name Address				Telephone N	lumber
Services:				·	
Do you agree to furnish the Minneapo the licensed business? Yes No	lis Licens	se Division k	oooks of account t	hat pertain to the o	peration of
Are there any delinquent taxes for this	s busines	s? 🗌 Yes	No		
Is any owner, officer, shareholder, par Minneapolis? Yes No If yes, cor	-	-	-	ning body of the Ci	ty of
Name	• ·- ·	Address		Governing B	ody

Will you hire off-duty police officers at any time during the license year? Yes No If yes, send us a copy				
of your insurance approximately two weeks before your Minneapolis license is approved.				
Certificate of Liability Insurance: This must be furnished by your Insurance Agent. You are required to				
have public liability or damages covering during all periods of employment of an off-duty city employee in				
the sum of \$100,000 per occurrence and \$300,000 aggregate for personal injury or death and \$5,000 per				
occurrence for property damage.				
Certificate of the Workers Compensation Policy for off-duty police officer(s) during employment with the				
licensee.				
I agree that the city will be held harmless and the licensee will assume the defense of the city against				
any claim or lawsuit against it by reason of the licensee's employee also being an off-duty city police officer.				
8. Workers Compensation				
Workers' Compensation Company Policy Number Dates of Coverage				
Or				
I certify that I am not required to carry workers compensation insurance because 🗌 I am self-insured. 🗌 I				
am the sole proprietor and I have no employees. I I have no employees who are covered by workers				
compensation law. Only employees who are specifically exempted by statute are not covered by the				
workers compensation law. These include spouse, parents, and children regardless of age. All other workers				
whose work is controllable by the employer must be covered.				
9. Verification				
The City of Minneapolis uses the information on this application to determine qualifications for a license.				
You are not legally required to provide this information. If you refuse, we cannot approve your application.				
MN Statute 270C.72 requires your Minnesota Tax ID Number and either a Social Security Number or				
Individual Tax ID Number. These may be given to the Minnesota Commissioner of Revenue if requested.				
After we approve your license, all information except your Social Security Number is public (MN Statutes,				
Chapter 13).				
A signature is required.				
I have read and agree to the <u>Terms and Conditions</u> for electronic signatures, records and payment.				
I, (print name), certify or declare under penalty				
of perjury under the laws of the State of Minnesota that the information on this application, checklist, and				
attached documents is true and correct. All information given is subject to verification by the State of				
Minnesota. I understand that false information may result in the denial, suspension, or revocation of my				
business license.				
By typing your name, you are electronically signing this application.				
Signature of Applicant Date				
10. Additional Information				
1. No license will be issued for longer than one year.				
 You cannot transfer your license to any other person or location. 				
3. For reasonable accommodations or alternative formats, please call us at 612-673-2080 or send us an				
email at <u>businesslicenses@minneapolismn.gov</u> . Individuals who are deaf or hard of hearing can use a				
relay service to call 311 at 612-673-3000.				
 Information in other languages: Para asistencia 612-673-2700. Rau kev pab 612-673-2800. Hadii aad 				
Caawimaad u baahantahay 612-673-3500.				

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City of Minneapolis Licenses and Consumer Services 505 Fourth Ave. S., Room 220 Minneapolis, MN 55415 Telephone: 612-673-2080 www.minneapolismn.gov/businesslicenses

Every application for a restaurant or alcohol license must include a floor plan. A sample is below. Attach an 8 ½" x 11" diagram of both your **Interior and Exterior** premises. Include dimensions. Hand drawn floor plans are fine if they are legible. Drawings for outdoor areas may be on a separate sheet. If your outdoor area is on the public sidewalk, a <u>Sidewalk Café License</u> is required. Include the following on your plan:

- 1. Business name (DBA), building name, address, contact person and telephone number
- 2. Dimensions and square footage of the food service areas. Label mezzanine levels, fixed seating, etc.
- 3. All doors, windows, other openings and emergency access
- 4. The occupant load calculated by the designer
- 5. The number and size of tables

6. The number of chairs and their location to the tables. Seating needs to equal number of patrons stated in your license application.

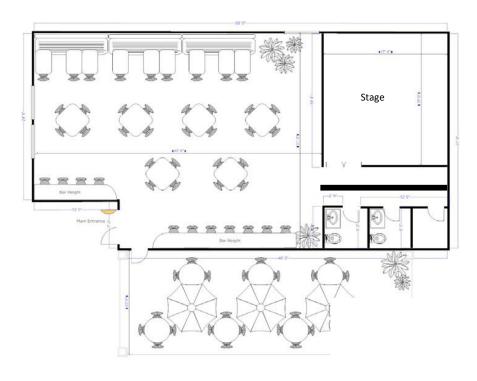
7. Bar Area: The space designed and utilized for drinking alcohol or providing entertainment. Your total indoor bar area cannot be larger the area for your type of license. Include square footage (no more than20% or 30%). This space would include a dance floor, stage, or game room, with no seated food service. Outdoor bar areas may include sport courts such as bocce ball or volleyball, for example.

Outdoor Area Diagrams must also include the following:

1. All outdoor areas accessible to and building and non-building occupants. This includes yards, patios, cafes, courts, dog areas, rooftops, etc.

- 2. Umbrellas, planters, stanchions, fences, lights, signs, etc.
- 3. Planted, groomed or landscaped areas next to the outdoor area
- 4. Heating elements and location of storage area for gas cylinders
- 5. There must be 5% or at least one table which is ADA accessible.
- 6. Access and Egress: Your business plan should describe how you will control this.







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License Application: Off Sale Malt Liquor/Small Brewer			
	Part Two		
Begin completing the forms liste	d in Part Two. Submit them to your <u>License Inspector</u> . Attach all documentation.		
Incomplete applications may be	returned. You may send your application by US mail, drop it off at our office, or		
by email at businesslicenses@m	nneapolismn.gov.		
	Application Requirements		
8. State of Minnesota Smal	I Brewer Off Sale License Application (Form #3)		
9. If you are applying for an	On Sale Taproom License, attach your State of Minnesota On Sale Brewer's		
Taproom and Sunday Sales L	cense Application (Form #4)		
N/A. I am not applying for	or a Taproom license at this time.		
10. Personal Information Fo	rm (Form #5): This is required for the applicant; manager(s); directors; officers;		
and each owner, partner,	and shareholder who own 10% or more of company shares. Everyone must		
complete and sign the form a	and attach a copy of your driver's license or government issued ID.		
If your corporation is put	licly traded, you do not need to complete this for owners, partners, and		
shareholders.			
11. Source of Funds: Comple	te Form #6 and provide documents with the funds to begin operating the		
business. Include expenses (equipment, payroll) and funding resources (bank statements, credit/loan		
documents, etc.)			
12. Business Plan for Bevera	<mark>ge Alcohol</mark> (Form #7)		
Police Safety Plan Re	e <mark>view Form</mark> (Form #8)		
Sound Management			
13. Notification: You need to	send a letter to your City Council Member, Neighborhood Organization, and		
	them your business name, address and type of license; your name, email address		
• •	include your Business Plan. Attach a copy of your <u>letters or emails.</u>		
	sumed Name from the Minnesota Secretary of State's Office if the legal		
	fferent than the DBA (Doing Business As).		
	escription of the premises to be licensed and documentation that property taxes		
	pin.mn.us /Property Information Search.		
	se Agreement, Bill of Sale, Purchase Agreement, Contract for Deed, Loan		
	ory Notes for the business and/or building.		
	Attach a copy of your 2 am license application. You also send the original, with		
	nesota about two weeks before your Minneapolis license is approved.		
N/A - I am not applying fo			
	Card: Mail to Department of Public Safety, Alcohol and Gambling Enforcement		
	uite 222, St. Paul, MN 55101-5133. Send this about two weeks before your		
Minneapolis license is appro			
	required to complete the Department of Treasury Alcohol Dealer Registration and		
	Tax and Trade Bureau, 550 Main Street, Suite 8002, Cincinnati, OH 45202.		
	Insurance (Sample Form #10): This must be prepared by your Insurance Agent		
approximately two weeks be	fore your Minneapolis license is approved.		

21. Corporate Documentation – Attach the following:	
Corporations	Limited Liability Companies
Certificate of Incorporation	MN Secretary of State Certificate of
Articles of Incorporation	Organization
Meeting minutes naming the current Directors and	Minutes of organizational meeting
Officers	Member Control Agreement with
Meeting minutes authorizing the purchase of shares	restriction on transfer of membership
Corporation By-laws with restriction on transfer of shares	interest*
Copy of stock certificates with restriction on stock	
*Stock Certificate(s) with Restriction on Stock: Minneapolis Code	of Ordinances, Ch 362.330(b) requires Corporate
By-laws, and by extension LLC Member Control Agreements, contain	in a restriction stating to the effect that
1) No transfer of stock is valid or effective unless approved by City	Council of Minneapolis; and
All stock certificates will contain the following words, "The trans	sfer of this stock certificate is invalid unless
approved by the City Council of Minneapolis, MN."	
22. There is a fee plus an alcohol investigation fee for this application	on. You can pay by
Cash: Drop off your application at our office.	
Check : Mail or drop off your application at our office.	
Credit Card: Mail, drop off or email your application to busin	nesslicenses@minneapolismn.gov. Do not add
vour credit card information on this application. We will call ve	ou to securely charge your credit card.



APPLICATION FOR SMALL BREWER

OFF SALE - 128 ounces per day

Must be a licensed brewer in order to apply for this license

Minnesota Tax ID	Federal Tax ID			Number of Annual Barrels Produced
Licensee Name (Business, partnership, LLC, o	corporation)			E-mail Address
DBA or Trade Name				Phone Number
Business Address				
City		State	Zip Co	de
City or County Issuing License		License Period: From	То	
Print name of applicant and title	Signati	ure of applicant		Date
Issuing Authority Name	Signati	ure of Issuing Authority		Date



MUST BE A LICENSED BREWER IN ORDER TO APPLY FOR THIS LICENSE

Certification of an On Sale Brewer's Taproom License and Sunday License

This license only authorizes the on sale of Malt liquor produced by the brewer for consumption on the premises

Cities and Counties: You are required	by law to com	nplete and sign form to c	certify the issuance of the following License
types: City issued On Sale Brewer's	s Taproom and	d Sunday Liquor License	25
City or County Issuing Liquor License: _		License Peric	od From:To:
Circle One: New License Transfer	er Licensee Name)	Suspension Revo	ocation Cancel (Give Dates)
Fees: On Sale Taproom License Fee: \$		Sunday License Fee:	:\$
License Name:(Corporation, Partnership, LLC	, or Individual)	DOB	Social Security #
Business Trade Name		Business Address _	City
Zip Code County	Business	Phone	Home Phone
Home Address	City	Zip Code	2
Licensee's MN Tax ID #		_ Licensee's Federal Tax I	ID #
If above named licensee is a corporatio	n, partnership	o, or LLC complete the fol	ollowing for each partner/officer :
Partner/Officer Name (First Middle Last)	DOB	Social Security #	Home address
Partner/Officer Name (First Middle Last)	DOB	Social Security #	Home address
Partner/Officer Name (First Middle Last)	DOB	Social Security #	Home address
Must contain: all of the following: Show the exact licensee name (Corp			nce to this form. The Insurance Certificate usiness address of the location listed on the
1) license			
 Cover completely the license period 	set by the loc	al city or county licensing	ng authority as shown on the license.
Yes No During the last year ha	as a summons	been issued to the licens	nsee under the Civil Liquor Liability Law?
Workers Compensation Insurance is als	o required by	all licensees: Please con	mplete the following:
Workers Compensation Insurance Com	pany Name:		Policy #
I Certify that this license(s) has been ap	proved in an c	official meeting by the go	overning body of the city or county.
City Clerk or County Auditor Signature			Date

Personal Information Form New Alcohol License Applications

This form must be completed by each of the following with a copy of your driver's license or government issued photo ID attached.

Applicant

____ Manager(s)

Directors

Officers

Owners, Partners and Shareholders who own 10% or more of company shares. If your

Corporation is publicly traded, owners, partners, and shareholders do not need to complete this form.

	I. Back	ground Info	rmation			
Legal Corporate Name	of Business	Trade Name of Business (DBA)				
Street Address of Licen	sed Premises	Zip Code	Business Pho	ne	Cell Phone	
Your Name (First, Midd	lle, Last)	Place of Birth	n (City, State)		Date of Birth	
Residential Street Addr	ess	City State		Zip Code		
Social Security Number or Individual Tax ID Number (ITIN) Required:		First, middle, or last names you have ever used or been known by:				
Email Address		Title			% of ownership	
List your re	sidences for the past ten (10) years. Atta	ach additional s	heets if	necessary.	
Street Address		City, State, Z	ip		From	То
List nam	ne of employers, occupatio Attach additio	ns, and addres onal sheets if r		t ten (1	0) years.	
Employer	Occupation	Street Addre	ss, City, State, Z	ip	From	То

#5

II. Spouse's Information						
Spouse's Name		Place of Birth (City, State) Date of Birth				
First, middle, or last nam	nes your spouse has ever u	used or been known by:		1		
Spouse's Home Address		City		State	Zip Code	
III. License History						
	been employed by a rest	aurant, bar, or other bu	isiness o	of a sim	ilar nature?	
Yes No If yes,	Address	City	Stat	e Zip	From	То
Have you or your spouse Type of License	held a City of Minneapol	is Business License?	Yes [No	lf yes, From	То
Have you or your spouse government entity?	e ever had a liquor, wine, o YesNo If yes, explair		l, suspe	nded, c	or denied by	any
	or financial interest in a lic f yes, please indicate nam		ewery, v	wholesa	aler, or off s	ale retail
			1:00.000			
misdemeanor, misdeme	•	or felony? This include tate, local, and federal of	es both offense	civil an s. Do no	d criminal o ot include pa	ffenses,
Onense	Fille/Pella	alty C	ity, Stat	.e		ale
Date filed:	ave any delinquent person Address:					
County:	State:					
-	City of Minneapolis will ma firms authorized to releas					oplication.

IV. Data Privacy Advisory

The Minnesota Data Practices Act requires us to tell you the following information. As an applicant for a Minneapolis business license, we ask for private and/or confidential information. We use this to check driving history, criminal history, arrest records, warrant information, and other relevant records. You are not legally required to provide this information. If you do not, we cannot complete our investigation or approve your application. The information you provide is public and will be used by the Minneapolis Police Department, License Inspection Unit, the Minneapolis Division of Licenses and Consumer Services, the Minneapolis City Council, and the general public. This Authorization for Release of Information will expire two years from the date you signed it.

V. Verification

The City of Minneapolis uses the information on this application to determine qualifications for a license. You are not legally required to provide this information. If you refuse, we cannot approve your application. After we approve your license, all information is public (MN Statutes, Chapter 13).

I will strictly comply with all the laws of the State of Minnesota governing the taxation and sale of intoxicating liquor and beer; the rules and regulations enforced by the Liquor Control Commissioner; and all ordinances of the City of Minneapolis. I hereby certify that I that the answer to every question is true of my knowledge, information, and belief. I further understand that the giving of false information in this application, regardless of when it is discovered, and/or the failure to give required pertinent information is cause for the immediate revocation of any and all licenses and/or permits issued hereunder and may be ground for prosecution for perjury.

A signature is required.

I have read and understand the above Data Practices Advisory.

I have read and agree to the <u>Terms and Conditions</u> for electronic signatures, records and payment.

I, _______ certify or declare under penalty of perjury under the laws of the State of Minnesota that the information on this application, checklist, and attached documents is true and correct. All information is subject to verification by the State of Minnesota. I understand that false information may result in the denial, suspension, or revocation of my business license.

By typing your name, you are electronically signing this application.

<u>~</u> ·				
SI	gn	at	ur	e

Title

Date

Source of Funds Statement: Applicant's Information Sheet

Documenting the source of funds for the business venture is one of the more critical aspects of completing a license application. It is important that all financial information related to business start-up is completely documented and verifiable by the City of Minneapolis. Applications will not be processed without complete information about the costs and source of funds for your proposed business. Attach documentation for all sources of your financing.

1. Tax Records: Required

Attach two years of completed and filed 1040 federal tax forms for each applicant and individual providing funding for the business venture or corporate tax records, if applicable.

2. Costs Reporting Form: Required

Attach the Costs Reporting Form on the next page. City staff has the right to request documentation for listed expenses and revenues as well as any unlisted expenses/revenues they feel is related to this application.

3. Funds from Savings/Investments/Corporate Holdings: Required

Attach copies of three months of full official bank statements that show the money being used is available in the first month's statement that is provided.

Alcohol Establishments: Must additionally attach copies of three months of full official bank statements from twelve months prior to the first month's bank statement that is provided.

4. Loans from the Lending Institution

Attach a copy of the loan closing document that clearly sets forth the amount being tendered to the borrower and a copy of any accompanying promissory note; or

Individuals may be eligible for a loan, but approval may be delayed until a license is granted. In instances such as this, a letter of loan commitment from the lending institution setting forth the amount of the loan must be submitted along with a pledge from the applicant that the loan closing documentation shall be submitted upon its completion. A license will not be issued until a copy of the loan closing document is given to the Licenses staff. The business cannot operate until this is completed and approved.

| | N/A

5. Loans from Individuals - Many times applicants obtain personal loans from relatives or other individuals. In cases such as these, the loaning individual must provide the same documentation of the source(s) of these funds as required by the license applicant. For example, if an individual receives a \$10,000 loan from their parents, the applicant must attach the source of the parent's \$10,000 as well as tax records.

Attach a copy of each lender's source of funds and tax records; and

Attach a copy of the loan closing document(s) and/or copies of any accompanying promissory note(s); and

If the lender is not an owner of the business, applicants must provide a notarized statement regarding the terms of the loan; that the lender has no operational, financial or management interest in the business; the terms of the loan are independent of the business; and at no time in the future will the lender have a financial, operational, or management interest in the business. Any such involvement in the business will only be lawful if the lender and licensee go through the appropriate city licensing process.

| | N/A

- 6. Landlord Construction or other Credit/Financing A landlord providing construction or financing will be required to show the same documentation of the source of these funds as the license applicant. If funds are taken from a business account, city staff can accept corporate account statements in lieu of the landlord's personal accounts.
 - Attach a copy of the loan closing document(s) and copies of any accompanying promissory note(s); and
 - Attach a statement about payment terms.

N/A

Acknowledgement

I (printed name) understand that city staff have the right to request other documentation they feel is necessary to properly verify the source of funds for the business venture. Failure to document costs or the source of funds for expenses will result in the denial of this license application. Any errors detected after the issuance of the license may be grounds for license revocation. After approval by the City Council, documentation in this license file becomes public data and is open for review by anyone upon request. Public data includes, but is not limited to, financial statements, tax records and other personal records contained in the license file. Public data will not include Social Security numbers and account numbers. | I have read and agree to the Terms and Conditions for electronic signatures, records and payment.

By typing your name, you are electronically signing this application.

Signature

Source of Funds Costs Reporting Form

An applicant must report all costs and fund sources associated with pursuing this license to demonstrate adequate legal sources of funds. Typical expenses include asset purchases, licensing fees, insurance costs, down payments, remodeling fees and attorney's fees, to name a few. Please use the table below to account for **all** your specific costs and sources of funds. Attach additional sheets if necessary.

Applicant's Name:	Business Name:					
Building Expenses (lea	Building Expenses (lease, equipment purchases, down payments, asset agreement, etc.)					
\$	_ for	-				
\$	_ for	Subtotal \$				
Construction Expense	s (upgrading cooking equipment, installation, r	emodeling, etc.)				
\$	_ for	_				
\$	_ for	Subtotal \$				
Professional Expenses	(attorney fees, architect fees, consultant fees	, etc.)				
\$	_ for	-				
\$	_ for	Subtotal \$				
Start Up Costs (insura	nce, license fees, inventory, etc.)					
\$	_ for	_				
\$	_ for	Subtotal \$				
Other Expenses (payroll, insurance, SAC charges, other)						
\$	_ for	-				
\$	_ for	_Subtotal \$				
Total Costs for pursuin	ng this License:	\$				

Attach plans, leases, contracts, statements from vendors or credit institutions and other documentation you have to support the above figures.

Complete and submit with your license application. A sample is listed below.

Applicant's Name: Business Name (DBA):					
Total Cost to Start the Business (from items listed above.) \$ 30,000					
Fund Source	Amount	Documentation Attached			
TOTAL:					

Here is a sample of your documentation.

Applicant's Name: A. A. Smith		Business Name (DBA): The Company Business		
Total Cost to Start the Bu	siness (from i	tems listed above.) \$ 30,000		
Fund Source	Amount	Documentation Attached		
Savings Account Money	\$10,000	Bank Statements from Jan, Feb, Mar 2013 and 2014		
Bank Loan	\$10,000	Loan Closing Documents from First Bank and Trust		
Loan from Parents	\$10,000	00 Stock Dividend Statement 2013 and 2014		
		Tax Records 2013 and 2014		
		Promissory Note		
		Notarized Statement of Loan Terms		
TOTAL:	\$30,000			



Business Plan Requirements New Alcohol Applications

The Minneapolis Code of Ordinances, Chapters 259.30 and 362.130, requires applicants to describe in detail your business operations. Attach a typed report that includes all the following items. You may attach extra documents to your report.

- 1. Alcohol Server Training Plan. Describe staff training that includes:
 - Name of trainer
 - Topics covered
 - Ongoing training program
 - Policy for carding and the use of electronic ID Scanners
 - Reward and discipline policy for serving alcohol to minors and
 - Self-audits

Our website has for more information about Alcohol Service Resources.

- 2. Safety. Attach your <u>Police Safety Plan Review Form</u> to help prevent illegal behaviors and disorderly customers at your business, parking area, and neighborhood.
- **3.** Noise. Attach your <u>Sound Management Plan</u> which details how you will manage sound from your business. A Sound Plan is not required for Off Sale Alcohol businesses.
- **4.** Litter Removal. You are required to clean litter within a 100 foot radius from your business. Describe your plans for litter, graffiti, and garbage. Include staff and hours assigned and plans during the warm weather months.
- 5. Entertainment. Describe the following:
 - type of entertainment at your business
 - days and hours of the entertainment and
 - age group which the entertainment is directed
- 6. Team Sponsorships. Tell us the types of teams you may sponsor: softball, broomball, soccer, rugby, football, or other competitive sports.

7. Hours of Operation.

- Specify the hours for every day of the week
- Include both inside and outside hours

8. Food Service.

- List the hours of full food service and reduced food service
- Include the staffing model of your kitchen •
- 9. Charitable Gambling Activities. Identify the types of games, hours, gambling manager and name of charity
- 10. Applicant's Experience and Background with Liquor, Restaurant or Retail Sales. Include a resume or summary of work experience.
- 11. Advertising. Attach a copy of all the sites you will advertise, such as social media, website, flyers, coupons, table tents, etc.
- 12. Promoters. If you plan to work with promoters, you must have a written signed contract that includes the following:
 - Statement of truth in advertising
 - Cancellation rights if contract is not followed
 - Promoter contact information
 - Submit a sample contract. Signed contracts must be made available to licensing official upon request.

Acknowledgement and Agreement

I, (print name)	, an	authorized	corporate	officer,	partner	or	owner,	hereby
acknowledge and agree to the following:								

- The attached business plan is a true and correct; and
- Any material change in the business plan must be submitted to an approved by the Business Licenses Division before implementation; and
- Violation of this business plan may result in suspension, revocation, or refusal to renew my license or in a civil fine determined by the Minneapolis City Council.
- I have read and agree to the Terms and Conditions for electronic signatures.
- By typing your name, you are electronically signing this application.

Signature of Applicant: _____ Title: ___ Title: ___ Date:



for Alcohol Businesses or Extended Hours Licenses

All businesses licensed by the City of Minneapolis must provide adequate security to prevent criminal activity, loitering, lurking and disorderly conduct at their business, including parking areas, per MCO 259.250 (4). Additionally, all alcohol license holders must prevent negative secondary effects directly attributable to the existence of their business, per MCO 360.55.

Not providing adequate security to make your business safe could have an impact on the success of your business and your ability to continue to operate.

Adequate security is not "one size fits all". Even a well-vetted plan does not fit every circumstance. The following considerations and major components of a safety plan were developed in collaboration with successful business owners, the Minneapolis Police Department, the Office of Violence Prevention, and the Minnesota Licensed Beverage Association.

It is important that this review happens with the Minneapolis Police Department. Business owners meeting face to face with Police representatives is a way to develop a positive connection.

This portion to be completed by Applicant

Name of Business: ______ Address: ______

Contact Person: Phone Number:

Business Safety Plan Requirements and Process

- 1. Please review the following components of a business safety plan.
- 2. Draft a plan that will best ensure the safety of your business, customers and the surrounding community, according to your business model.
- 3. Schedule a review of your plan by MPD Personnel to be determined by the Precinct Commander. The review should occur at the proposed business, if possible.
- 4. You must include copies of your License Application, Business Plan, Safety Plan and Sound Plan with this form. A Sound Plan is not required for off sale alcohol licenses.
- 5. Request peer support from a member of the business community by contacting your Business Association.

Business Safety Plan

Based on industry best practices, a successful business will have a safety plan that includes the following components:

A. Staffing and Procedures

Definition: Staffing for your business includes the following: Staff levels, different job titles and job expectations. This will include when the business is operating and closed. Please focus on staffing related to providing a safe environment for your customers, staff, and community.

1. Who will perform hiring? Will you perform criminal background checks to inform hiring decisions? Will each job have a detailed job description? Will staff, especially management, have experience in industry or training?

2. Will you have dedicated safety personnel? Will you hire contracted safety personnel? Or, will you have regular in-house staff perform safety duties? If so, what levels during the week and during the weekend? Will you change this during special events or when you increase your occupancy? Will safety personnel be recognizable/wear uniforms?

3. What will your scheduling plan look like? Will it differ from summer to winter? Will special events at the business or in the city impact your staffing numbers? Will there be managers and/or supervisors? Will you cross train your staff to perform many duties?

4. Duties: Inside the premises? Outside the premises? Frequency of rounds? How will you address loitering? Will you have dedicated parking lot security staff if you have a parking lot? Will you work with neighboring parking lots that your customers use? This can help ensure safety.

5. Security Philosophy of Respectful Enforcement considerations: Will you offer regular de-escalation training? What procedures will you follow if it is necessary to escort a patron from the premises? Will your staff work in teams? ***Please note that if you hire an outside professional security company, they must be licensed by the Minnesota Board of Private Detective and Protective Agent Services.*

6. Incident Logs: How will you communicate policies, incidents, and updates to employees?

7. Do you plan to create a No Admittance List? Who has the responsibility for managing the list? What will be your criteria for adding or removing someone? Will you share this list with Business Licensing?

8. Add to your plan how your business will follow Hennepin County curfew times.

B. Exit Strategies

Definition: How you will move customers out of and away from your business before the time you can no longer have them there? This could be 1:30am, 2:30am, or the time your conditions need you to close.

1. Before closing time: Will you inform customers in advance? Alter lights and/or music? At what time will you stop alcohol and/or food service?

2. Closing Time: Will you provide escorts for customers and/or staff? Will you be monitoring your parking lot for illegal activities and/or disturbances? Will your business take part in traffic management?

3. Will you use valet services? Contracted or in-house? Make sure your safety plan includes protecting customers during high volume closing times. In some busy areas of the city, grid-lock can occur at closing time. This could be due to your valet service. How will you work with neighbors to assure access and safety for the neighborhood?

4. What are your plans for an emergency evacuation, sheltering in place, and an active shooter?

C. Training

Definition: Training your staff on your safety plan can be the best way to make sure they follow it. Describe staff training that includes the following:

- staff meetings
- formal presentations
- name of trainer (or training company)
- topics covered
- ongoing training program (and for new-hires)
- policy for carding
- use of electronic ID scanners
- reward/discipline policy for staff that have served alcohol to minors
- self-audits.

The following information is on our website:

<u>Alcohol Server Training</u> <u>De-escalation Presentation Prepared by the Barbara Schneider Foundation (Dec 7, 2020)</u> <u>Trespassing Presentation Prepared by City Attorney's Office and Trespass Notice Form (Nov 18, 2020)</u>

Other types of training can include, but are not limited to:

- racial equity
- sensitivity
- hospitality
- bystander intervention
- sexual harassment
- any others that could help you and your staff create a safe and welcoming place for patrons to visit

D. Crime Prevention Through Environmental Design (CPTED)

Definition: CPTED uses design to discourage crime and promote building security. Architects design buildings/properties to hold up to the elements and natural disasters. We can also design them to prevent crime.

1. Having enough interior and exterior lighting levels can promote safety. What lighting will your business provide?

2. Having video surveillance can help protect your business from crime. It can also help support Police investigating crimes that may happen there or nearby. What video surveillance will your business provide?

3. Natural sight lines allow for you to see someone or something from any given point in a room. It can also add to the safety of your business. Consider how much of the business your staff can see at any given point.

4. Make sure that private spaces are only accessible by staff to prevent a crime from happening in them.

E. Peer and Professional Support

Definition: Peers are other businesses willing to offer guidance to your new business. Professional support would be who you hire or contract to help keep your business safe.

1. Who will be your business peer support?

2. Will you need extra professional support? Professional Security? Part-time Police Officers?

F. Regular Review

Definition: Safety plan review should occur at regular intervals of time to keep it fresh and up to date.

1. How often will you review your safety plan? Annually? Proactively before a special event? Reactively after an incident?

2. When you perform a self-review, will you keep records of this so that you can share it with others if you decide to do so?

G. Large Crowds Arriving at Once

Definition: If an event or bus let out at your business, you could have a large crowd arriving at once, that could overwhelm your staff.

1. What is your occupancy?

2. Will you allow party buses or pedal pubs to drop off a large crowd of people at your business? If so, how will you handle the crowd entering your business?

3. If applicable, how will your business handle let outs from concerts or games?

This portion to be completed by MPD					
Police Representative	Badge #				
Comments:					
MPD Signature	Date				
Applicant Signature	Date				

The Minneapolis Police Department does not approve safety plans or endorse license applicants or applications.

Sound Management Plan Requirements

An effective Sound Plan helps you balance your entertainment goals with those of the community. Not all questions apply to your business. Answer all that are relevant both indoor and outdoor.

1. Speakers

Describe the position of speakers to deflect or absorb excessive noise. How will you minimize low-frequency music beats? What time will your turn down music and what time you turn off speakers?

2. Closing Time

When will you stop serving alcohol? Turn up lights? Turn down music? What time you will seat your last customers? How will you tell customers of closing time? What time will you ask customers to leave?

How will you manage noisy customers?

How will you remind customers to lower their voices to respect residents? This includes customers who park on residential streets.

3. Outdoor Capacity

List the capacity of your outdoor area. Describe how you will manage the area to prevent over occupancy. Describe how the seating design will minimize or deflect excessive sound.

4. Equipment

Describe your sound metering equipment and/or music systems with self-regulators. How do you plan to use them?

5. Staff

Describe sound management training for managers, supervisors, bartenders, hosts, servers, and security staff. What are their duties, including the frequency of rounds? Describe how you will remind, relocate, and/or remove noisy customers? Describe your community outreach. This can include neighborhood association meetings or downtown LINC meetings, for example.

6. Special Events

What are your plans for special events in the city?

7. Complaints

Describe how you will address sound complaints? This can include a telephone number other than your business number for residents to call for sound concerns.

8. Architectural Design or Enhancements

Describe the use of sound blocking walls, fences, and/or landscape. How you plan to direct sound away from occupied buildings.

Additional Resources

For more information about resources and solutions, send an email to <u>EnvServicesInfo@minneapolismn.gov</u> or call 612-673-3867. Here are common concerns.

1.	Do you plan to use an outdoor area? 🗌 Yes 🗌 No
2.	Is your seating capacity over 200 people? 🗌 Yes 🗌 No
3.	Will you have amplified sound? 🗌 Yes 🗌 No
4.	Are you located in a residential area? 🗌 Yes 🗌 No
5.	Do customers tend to all leave at closing time? 🗌 Yes 🗌 No
6.	Do customers park in residential areas? 🗌 Yes 🗌 No
7.	Is your mechanical equipment located within 100 feet of a residential area? 🗌 Yes 🗌 No
8.	Do you have a routine maintenance schedule for mechanical equipment? 🗌 Yes 🗌 No
9.	Have you received complaints about sound? 🗌 Yes 🗌 No
10.	. Do you want to learn more about sound management plans? Products to measure and regulate sound? This includes sound engineers, sound meters, for example.

Part Two - Page 17 of 18 - April 2023

City of Minneapolis Requirements for Liquor Liability Insurance Certificate

Certificate cannot be pending, binder or TBA.

> The Legal/Corporate name must match exactly (word for word) to the **Approved License Name** (including Inc. or LLC), Trade Name (DBA), and address of premises.

Minnesota Statute 340A.409:

Liquor liability insurance policy number must be included on certificate with coverage dates identical to license period or must state: "Liquor liability coverage is continuous until cancelled."

> Personal Injury or Death: \$50,000/\$100,000

> > **Property Damage:** \$10,000

Other Pecuniary Loss: \$50,000/\$100,000

Original signature or

Loss of Means of Support: \$50,000/\$100,000

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.				
	cate holder is an ADDITIONAL INSURED, th the policy, certain policies may require an f such endorsement(s).	endorsement. A statement		
PRODUCER		CONTACT NAME:		
Agency		PHONE (A/C, No, Ext);	FAX (A/C, No):	
Address		E-MAIL ADDRESS:	[(ACC, NO).	
City, State, Zip				
loui, outo, zp		INSURER(S	6) AFFORDING COVERAGE	NAIC #
		INSURER A :		-
INSURED		INSURER B :		
		INSURER C :		
		INSURER D :		
		INSURER E :		
		INSURER F :		
COVERAGES	CERTIFICATE NUMBER:		REVISION NUMBER:	
INDICATED. NOTWITHSTAN	THE POLICIES OF INSURANCE LISTED BELOW DING ANY REQUIREMENT, TERM OR CONDITI ED OR MAY PERTAIN, THE INSURANCE AFFC	ON OF ANY CONTRACT OR C	THER DOCUMENT WITH RESPECT TO	WHICH THIS
	ED OR MAT FERTAIN, THE INSURANCE AFFU			THE TERMS,

,	EXCLUSIONS AND CONDITIONS OF SUC	H POLICIES. LIMITS SHOWN MAY HAVE	BEEN REDUCED BY PAID CLAP	
number must be	INSR LTR TYPE OF INSURANCE	ADDL SUBR INSR WVD POLICY NUMBER	POLIC POLICY	LIMITS
certificate with	GENERAL LIABILITY			EACH CURRENCE \$
ates identical to	COMMERCIAL GENERAL LIABILITY			TO RENTED \$
d or must state:	CLAIMS-MADE OCCUR			MED EXP (Any one person) \$
oility coverage is				PERSONAL & ADV INJURY \$
• •				GENERAL AGGREGATE \$
until cancelled."	GENIL AGGREGATE LIMIT APPLIES PER:			PRODUCTS - COMP/OP AGG \$
	POLICY PRO- JECT LOC			COMBINED SINGLE LIMIT
				(Ea accident) \$ BODILY INJURY (Per person) \$
Injury or Death:	ANY AUTO ALL OWNED CHEDULED			BODILY INJURY (Per accident) \$
0,000/\$100,000	AUTOS HIRED AUTOS			PROPERTY DAMAGE
0,000/3100,000				(Per accident) \$
	UMBRELLA LIAB			EACH OCCURRENCE \$
operty Damage:	EXCESS LIAB CLAIMS-MAD			AGGREGATE \$
\$10,000	DED RETENTION \$			\$
	WORKERS COMPENSATION AND EMPLOYERS' LIABILITY			WC STATU- TORY LIMITS ER
Pecuniary Loss:	ANY PROPRIETOR/PARTNER/EXECUTIVE			E.L. EACH ACCIDENT \$
0,000/\$100,000	(Mandatory in NH)			E.L. DISEASE - EA EMPLOYEE \$
0,000/3100,000	DESCRIPTION OF OPERATIONS below			E.L. DISEASE - POLICY LIMIT \$
eans of Support:		Annual Annual		
0,000/\$100,000	DESCRIPTION OF OPERATIONS / LOCATIONS / VEHI	I FS (Attach ACORD 101 Additional Remarks)	Schedule if more space is required)	l
			schedule, il more apace la requireu;	
,	CERTIFICATE HOLDER		CANCELLATION	
	ADDITIONAL INSURED:		THE EXPIRATION DATE TH	DESCRIBED POLICIES BE CANCELLED BEFORE EREOF, NOTICE WILL BE DELIVERED IN
	City of Minneapolis – Licenses	and Consumer Services	ACCORDANCE WITH THE POLIC	CY PROVISIONS.
	505 Fourth Ave. S., Room 220		AUTHORIZED REPRESENTATIVE	
nal signature or	Minneapolis, MN 55415		AUTHORIZED REPRESENTATIVE	
stamp of agent.			→	
l				

Applications will be returned if requirements are not complete.