

311 at 612-673-3000.

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## City of Minneapolis Licenses and Consumer Services

505 Fourth Ave. S., Room 220 Minneapolis, MN 55415 Telephone: 612-673-2080

www.minneapolismn.gov/businesslicenses

For Office Use Only

AP: Amend/NewOff MCO: 362.100 Adm Issuance: No

### License Application: New Corporate Officer/On Sale Distillery, Cocktail Room

**Definition:** The business continues regular operations but with new officer(s). The business must have a current license in good standing. Publicly traded corporations are not required to complete the information below. If you have questions, send an email to <a href="mailto:businesslicenses@minneapolismn.gov">businesslicenses@minneapolismn.gov</a>, contact your <a href="mailto:businesslicenses@minneapolismn.gov">License Inspector</a>, or call 612-673-2080.

	1. Application Requirements
1.	Complete the application and include all the requirements listed below. Incomplete applications may be returned. You may send your application by email ( <a href="mailto:businesslicenses@minneapolismn.gov">businesslicenses@minneapolismn.gov</a> ), US mail, or drop it
	off at our office.
2.	There is a \$500 fee for this application. You can pay by
	Cash: Drop off your application at our office.
	Check: Mail or drop off your application at our office.
	Credit Card: Mail, drop off or email your application to <a href="mailto:businesslicenses@minneapolismn.gov">businesslicenses@minneapolismn.gov</a> . Do not
	add your credit card information on this application. We will call you to securely charge your credit card.
3.	Alcohol License Change Form (Form #1)
	This must be filled out by a current owner, partner, or principle.
4.	Personal Information Form/License Changes (Form #2)
	Every new officer and director must fill out both sides of this form.
5.	State of Minnesota On Sale Micro Distiller Cocktail Room License Application (Form #3)
6.	Would you like to submit a New Corporate Officer application for your Off Sale Distilled Spirits license?
	Yes. The ownership is exactly the same for both licenses. I understand there is no additional fee. I am
	attaching the State of Minnesota Distillery Off Sale Intoxicating License Application (Form #3A)
	No, I do not have an Off Sale Distillery license.
7.	Corporate Minutes: Attach a copy documenting the election of the new officer(s).
	2. Additional Information
Sto	ck Certificate(s) with Restriction on Stock: Minneapolis Code of Ordinances, Chapter 362.330(b) requires Corporate By
Lav	vs and, by extension LLC Member Control Agreements, contain a restriction stating to the effect that
	1) No transfer of stock is valid or effective unless approved by City Council of Minneapolis and
	2) All stock certificates will contain the following words, "The transfer of this stock certificate is invalid unless approved by the City Council of Minneapolis, MN."
Fo	r reasonable accommodations or alternative formats, please call us at 612-673-2080 or send us an email at
	sinesslicenses@minneapolismn.gov. Individuals who are deaf or hard of hearing can use a relay service to call

Information in other languages: Para asistencia 612-673-2700. Rau kev pab 612-673-2800. Hadii aad Caawimaad

1. Type of License Change							
Amending a Business Pla	n	New Corporate Officer					
Corporate Name Change		New Manager					
Corporate Shares Purcha	se	New Shareholder/Partner					
Downgrading Entertainm	nent Class	Special All Night Bowling /Pool/ Billiards					
Downgrading License Typ	oe .	Special Late Night Food					
Expansion of Premises		Upgrading Entertainment Class					
Internal Transfer of Share	es	Upgrading License Type					
	2. Backgroun	d Information					
request the following (deta	, as [] Owner [	Partner, on behalf of(Legal Corporation Name of Business)					
Business Name (DBA)		Business Address					
Business E-mail Address		Personal E-mail Address					
Business Telephone Number	Cell Phone Number	Type and Class of License(s) Currently Held					
Interior Expansion: Ne	w Seating Capacity:	New Fire Occupancy: or N/A					
Exterior Expansion: Nev	w Seating Capacity:	New Total Customer Capacity: or N/A					
	3. Verifica	tion					
☐ I have read and agree to	A signature the <u>Terms and Conditions</u> for	is required. electronic signatures, records and payment.					
this application, checklist, ar	nd attached documents is true Ainnesota. I understand that	laws of the State of Minnesota that the information on and correct. All information given is subject to false information may result in the denial, suspension, or					
	e electronically signing this ap						
Signature	Title	Date					

# Alcohol License Change Form Officers, Owners and Shareholders

Attach additional sheets if necessary.

<ul><li>New Corporate Officer: List all officers.</li><li>☐ Corporate Shares Purchase: List all officers, owners, and shareholders. Ownership must add up to 100%.</li></ul>										
Internal Transfer of Shares: List all shareholders. Ownership must add up to 100%.  New Shareholder: List all shareholders. Ownership must add up to 100%.										
N/A – If company is publicly traded, you do not need to list owners and shareholders.										
Name	Addres	ss	Telephone	Title	# Shares or % Ownership					
I,, declare under penalty of perjury that as of this date, the following is a true and complete list of all officers,										
owners, and/or shareholders of this com	ipany.									
I have read and agree to the <u>Terms and Conditions</u> for electronic signatures, records and payment.										
By typing your name, you are electronically signing this application.										
Signature	SignatureTitleDate									

# Personal Information Form Alcohol License Changes

This form must be completed by each of the following with a copy of your driver's license or government issued photo ID attached. If your corporation is publicly traded, owners, partners, and shareholders do not need to complete this form.

officer and dire	res Purchase: Every new shar ector e Officer: Every new officer and ler: Every new shareholder w	nd director			es and eve	ry new			
	l.	Background In	formation						
Legal Corporate N	lame of Business	Trade Name	Trade Name of Business (DBA)						
Street Address of	Licensed Premises	Zip Code	Business Pho	ne	Cell Phon	е			
Your Name (First,	Middle, Last)	Place of Bir	th (City, State)		Date of B	irth			
Residential Street	Address	City		State	Zip Code				
Social Security Nu Number-ITIN (Red	mber or Individual Tax ID guired)	First, middl known by:	e, or last names y	you hav	e ever used	d or been			
Email Address		Title % of ownership							
List yo	our residences for the past to	en (10) years. At	tach additional s	heets if	necessary	·			
Street Address		City, State,	Zip	From	То				
lic	t name of employers, occupa	ations and addre	asses for the nas	t ton (1(	n) vears				
Lis		ditional sheets if	-	· · · · · · · · · · · · · · · · · · ·	o, years.				
Employer	Occupation		ess, City, State, Z	<u>'</u> ip	From	То			

II. Spouse's Information								
Spouse's Name Place of Birth (City, State) Date of Birth								
First, middle, or last names your spouse has ever used or been known by:								
Spouse's Home Address	Code							
		III. Li	icense Hi	story				
Have you ever owned or b	een employ	ed by a restaura	ant, bar, oı	other busine	ss of a sir	nilar	nature?	
Yes No If yes,	Address		City		State Zip	Fr	om	То
ranic	71001 033		City		State Zip			
Have you or your spouse h	l reld a City of	Minneanolis R	usiness Lic	ense? Yes	. □ No	If ye	<u> </u>	
Type of License	icia a city oi	Willineapolis B	asiriess Lie	crise: re.		-	om	То
			P					
Have you or your spouse of government entity?		=	eer license	e, revokea, su	spenaea,	or ae	nied by	any
80.00		, co, cripianii						
								<del> </del>
Do you have a business or license? Yes No If		erest in a liquo ndicate name(s)		_	y, wholes	saler,	or off s	ale retail
incerise.	yes, piedse ii	Taleate Harrie(5)	, and addit	233(03).				
Have you or your spouse of		-		-				
misdemeanor, misdemean								
	including Liquor Control penalties. This includes state, local, and federal offenses. Do not include parking violations. Yes No If yes,							
Offense	•	Fine/Penalty		City			State [	Date
Do you or your spouse have any delinquent personal or business taxes?  Yes No If yes,  Date filed:Address:								
County:	State:							
A representative of the Ci	ty of Minnea	polis will make	inquiry of	individuals or	firms nar	ned i	n this a	pplication.
Are those individuals or firms authorized to release information to such representative?								

#### IV. Data Privacy Advisory

The Minnesota Data Practices Act requires us to tell you the following information. As an applicant for a Minneapolis business license, we ask for private and/or confidential information. We use this to check driving history, criminal history, arrest records, warrant information, and other relevant records. You are not legally required to provide this information. If you do not, we cannot complete our investigation or approve your application. The information you provide is public and will be used by the Minneapolis Police Department, License Inspection Unit, the Minneapolis Division of Licenses and Consumer Services, the Minneapolis City Council, and the general public. This Authorization for Release of Information will expire two years from the date you signed it.

#### V. Verification

The City of Minneapolis uses the information on this application to determine qualifications for a license. You are not legally required to provide this information. If you refuse, we cannot approve your application. After we approve your license, all information is public (MN Statutes, Chapter 13).

I will strictly comply with all the laws of the State of Minnesota governing the taxation and sale of intoxicating liquor and beer; the rules and regulations enforced by the Liquor Control Commissioner; and all ordinances of the City of Minneapolis. I hereby certify that I that the answer to every question is true of my knowledge, information, and belief. I further understand that the giving of false information in this application, regardless of when it is discovered, and/or the failure to give required pertinent information is cause for the immediate revocation of any and all licenses and/or permits issued hereunder and may be ground for prosecution for perjury.

	A signature is required d the above Data Practices Advisory	
	•	nic signatures, records and payment.
attached documents is true ar	nd correct. All information is subject	, certify or declare under penalty mation on this application, checklist, and to verification by the State of Minnesota. I sion, or revocation of my business license.
By typing your name, you are	electronically signing this application	1.
Signature	Title	<u>Date</u>



## Minnesota Department of Public Safety Alcohol and Gambling Enforcement Division (AGED)

445 Minnesota Street, Suite 1600, St. Paul, MN 55101 Telephone 651-201-7504 Fax 651-297-5259 TTY 651-282-6555

#### MUST BE A LICENSED MICRO DISTILLER IN ORDER TO APPLY FOR THIS LICENSE

Certification of an On Sale Micro Distiller Cocktail Room License

This license only authorizes the on sale of Liquor produced by the distiller for consumption on the premises

**Cities and Counties:** You are required by law to complete and sign form to certify the issuance of the following License types: **City issued Micro Distiller's Cocktail Room and Sunday Liquor Licenses** 

City or County Issuing Liquor License: \_\_\_\_\_\_License Period From: \_\_\_\_\_To:\_\_\_\_\_

Circle One: New	v License Transfer		Suspension Revoca	ation Cancel
		(Former Licens	ee Name)	(Give Dates)
Fees: On Sale C	ocktail Room License Fee:	\$Su	ınday License Fee: \$	Food License Type
City or County Er	mail Address:			(If Applying for Sunday Liquor)
License Name: _				Social Security #
	(Corporation, Partners	="	·	6
				City
Zip Code	County	Business Pho	one	Home Phone
Home Address _		City	Zip Code	
Business Email				·····
Licensee's MN Ta	ax ID #	Lic	ensee's Federal Tax ID	#
Partner/Officer Name	(First Middle Last) De	OB	Social Security #	Home address
Partner/Officer Name	(First Middle Last) De	OB	Social Security #	Home address
Partner/Officer Name	(First Middle Last) De	 ЭВ	Social Security #	Home address
Must con 1) Show the exa 2) Cover compl Circle One: (	ntain all of the following: act licensee name (Corpor letely the license period se	ration, partners et by the local c year has a sumi	hip, LLC, etc.) and busi ity or county licensing mons been issued to th	irance to this form. The Insurance Certificate iness address of the location listed on the licer authority as shown on the license. The licensee under the Civil Liquor Liability Law? omplete the following:
Workers Compe	nsation Insurance Compar	ny Name:		_ Policy #
I certify that this	license(s) has been appro	ved in an offici	al meeting by the gove	erning body of the city or county.

City Clerk or County Auditor Signature \_\_\_\_\_

Date\_\_\_\_





## Minnesota Department of Public Safety ALCOHOL AND GAMBLING ENFORCEMENT DIVISION

445 Minnesota Street, Suite 1600, St. Paul, MN 55101 (651) 201-7504 TDD (651) 282-6555 FAX (651) 297-5259

## APPLICATION FOR MICRO DISTILLERY OFF SALE INTOXICATING LIQUOR LICENSE

Must be a licensed Micro Distillery in order to apply for this license

Fees: Micro Distillery Off Sale Fee: 5	<b>i</b>										
Workers Comp. Ins, Co.					Policy N	umber					
Minnesota Tax ID Number			F	ederal Ta	ax I	D Nun	nber	_			
Licensee's Name (business, partners	nip, LLC, corporation	on)	DOB	Social Se	ecu	rity N	umber	DBA or	Γrade	e Name	
Business address   Phone Number   Fax Number											
Business address Priorie Number Fax Number											
City		Stat	te	Z	ip C	Code		Licens From	e Pe	riod To	
Name of Store Manager		l		P	hor	ne Nui	mber	L	DOE	3 (Individual Applicant)	
If a corporation or LLC state name, da state names, address and date of bird	th of each partner.			address,	titl	e, and					
Partner Officer (First, middle, last)	DOB	SS#	Title				Shares	Busine	ess a	ddress	
Partner Officer (First, middle, last)	DOB	SS#	Title				Shares	Busine	ess a	ddress	
Partner Officer (First, middle, last)	DOB	SS#	Title		Shares		Busine	ess a	ddress		
Partner Officer (First, middle, last)	DOB	SS#	Title				Shares	Busine	ess a	ddress	
If a corporation, date of incorporation	tion		1		, 9	state ii	ncorporat	e in			
, amount paid in capital	. If a s	ubsid	iary of any o	other cor	poı	ration,	, so state				
and give purpose of corporation				If incor	por	ated ເ	under the	laws of a	anotl	her state, is corporation	
authorized to do business in the state	e of Minnesota?	⊖ Ye									
2. Describe premises to which licens	e applies; such as (	first fl	oor, second	floor, ba	ase	ment,	etc.) or if	entire b	uildi	ng, so state.	
3. Is establishment located near any	state university, st	ate ho	ospital, train	ing scho	ol,	reforn	natory or	prison?		Yes O No	
if yes state approximate distance.											
4. Name and address of building own	ner:										
Has owner of building any connection	n, directly or indire	ctly, v	with applica	nt? (	<u>⊃</u> Y	es (	No				
5. Is applicant or any of the associate	es in this applicatio	n, a m	nember of th	ne gover	nin	g body	of the m	unicipali	ty in	which this license is to	
be issued? O Yes O No	If yes, in what cap	acity	?								
6. State whether any person other th	nan applicants has	any ri	ght, title or	interest	in t	he fur	niture, fix	tures or	equi	pment for which license	e
is applied and if so, give name and de	etails.										
7. Have applicants any interest whatsover, directly or indirectly, in any other liquor establishment in the state of Minnesota?											
Yes No If yes, give	name and address	of es	stablishmen	t							

8. Are the premises now occupied or to be occup establishment?   Yes   No	pied by the applicant entirely se	eparate and exclusive from any o	other business							
State whether applicant has or will be granted, an On sale Liquor License in conjunction with this Off Sale Liquor License and for the same premises. Yes No Will be Granted										
10. State whether applicant has or will be granted a Sunday On Sale Liquor License in conjunction with the regular On Sale Liquor License.  Yes No Will be Granted										
11. If this application is for a County Board Off Sa	ale License, state the distance i	n miles to the nearest municipal	ity							
12. State Number of Employees										
13. If this license is being issued by a County Boa	rd, has a public hearing been h	eld as per MN Statute 340A.405	sub2(d)?							
14. If this license is being issued by a County Boa	rd, is it located in an organized	township? If so, attach township	ip approval.							
1. State whether applicant or any of the associate municipality or state authority; if so, give date:		had an application for a liquor l	icense rejected by any							
Has the applicant or any of the associates in the license under the Minnesota Liquor Control Action										
3. Has applicant, partners, officers, or employees including State Liquor penalties? Yes		ions or felony convictions in Min narges and final outcome.	nesota or elsewhere,							
4. During the past license year, has a summons b	een issued under the Liquor Ci ch a copy of the summons.	vil Liability Law (Dram Shop) M.S	5. 340A.802.							
This licensee must have one of the following:	(ATTACH CERTIFIC	CATE OF INSURANCE TO THIS FO	RM.)							
Check one										
Liquor Liability Insurance (Dram Shop) - \$50,000 and \$100,000 for loss of means of support.	00 per person, \$100,000 more	than one person; \$10,000 prope	erty destruction; \$50,000							
A surety bond from a surety company with mi	nium coverage as specified in <i>i</i>	<b>A</b> .								
A certificate from the State Treasurer that the \$100,000 in cash or securities.	licensee has deposited with the	ne state, trust funds having mark	et value of \$100,000 or							
I certify that I have read the above questions and	that the answers are true and	correct of my own knowledge.								
Print name of applicant and title	Signature of applicant		Date							
F	REPORT BY POLICE\SHERIFF'S D	EPARTMENT								
This is to certify that the applicant and the associ of laws of the State of Minnesota or municipal or		<del>-</del>	e years for any violation							
Police/Sheriff's Department [	Title	Signature								
топсе/эпети з рерагинени	THE	Jigilatule								
County Attorney's Signature										

IMPORTANT NOTICE

All retail liquor licensees must have a current Federal Special Occupational Stamp. This stamp is issued by the Bureau of Alcohol, Tobacco, and Firearms. For information call (651) 726-0220